

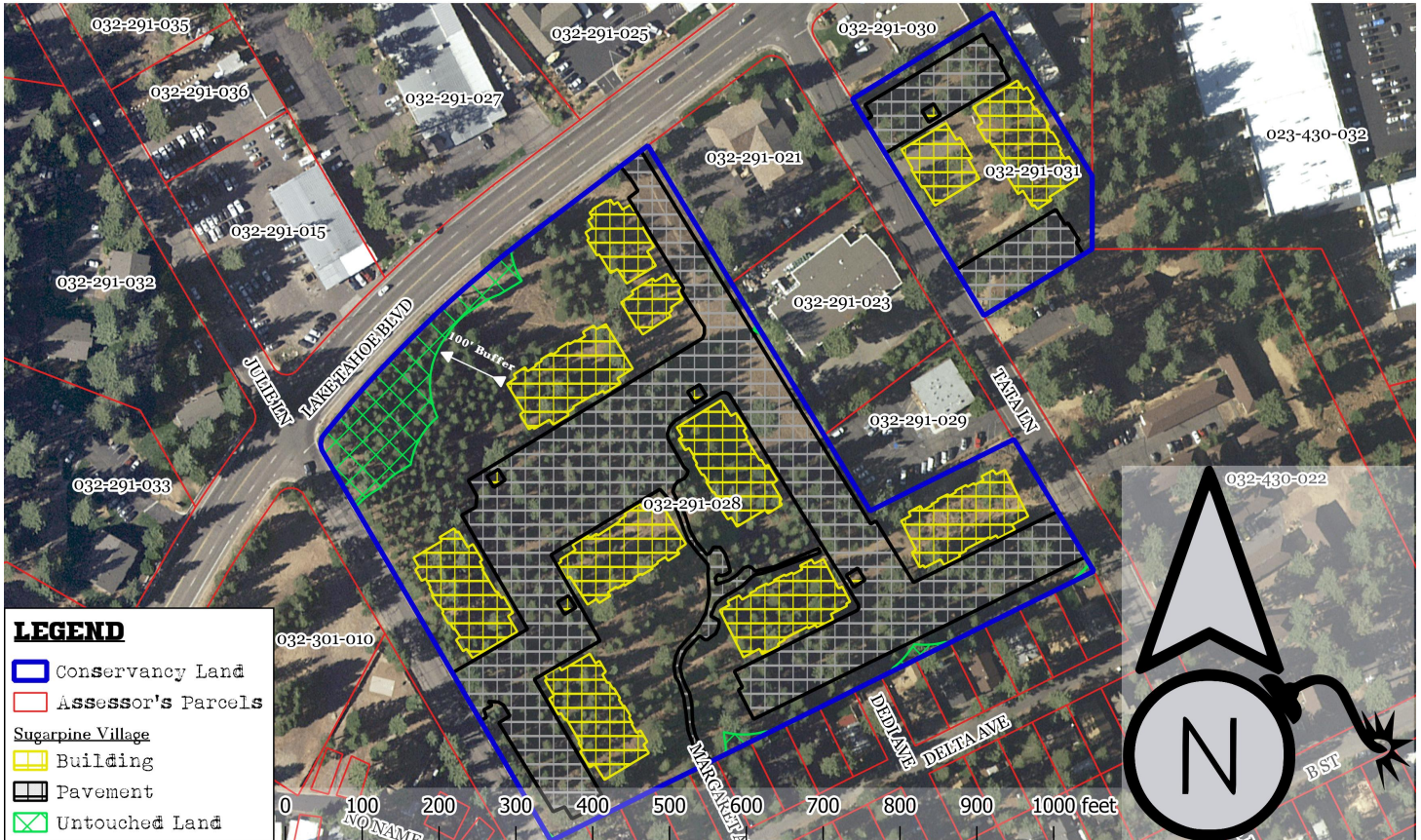
**From:** Sydney B. Griffin <sydney.griffin@pressmail.ch>  
**Sent:** 9/27/2023 5:00:11 PM  
**To:** Public Comment <PublicComment@trpa.gov>  
**Subject:** Governing Board Documents September 27, 2023-Hybrid Meeting — Housing Amendments — Public Commentary  
**Attachments:** [d9b7d042.jpeg](#), [6df0c693.jpeg](#), [b640401a.jpeg](#), [00fb1acc.jpeg](#), [e83b7fb5.jpeg](#), [4ba47355.jpeg](#), [9ec87d83.jpeg](#), [247e2208.jpeg](#), [2f24aa8d.jpeg](#), [9e3f0dbc.jpeg](#), [ae745f50.jpeg](#), [447d2d88.jpeg](#), [Sugar Pine Village.pdf](#), [032-291-028-100\\_ELDCO Recorder.pdf](#), [032-291-028-100\\_HPI.pdf](#), [032-291-031-100\\_ELDCO Recorder.pdf](#), [032-291-031-100\\_HPI.pdf](#), [Up in smoke—California's greenhouse gas reductions could be wiped out by 2020 wildfires.pdf](#), [NATURE—Rate of tree carbon accumulation increases continuously with tree size.pdf](#), [Exceeding 1.5°C Global Warming Could Trigger Multiple Climate Tipping Points.pdf](#), [Tipping elements in the Earth's climate system.pdf](#), [CTC\\_TCAP\\_Amendment\\_Public\\_Comments.pdf](#), [06-LTS\\_1.PDF](#), [Low-elevation conifers in California's Sierra Nevada are out of equilibrium with climate.pdf](#)

Dear City Council,

**Don't destroy our conserved land parcels!** This will release thousands of tons of sequestered carbon dioxide into the atmosphere, and **set a horrible precedent on developing conserved lands.** The highly divisive Tahoe "Prosperity" Center, all its real estate investment capitalist board members, Chase Janvrin, and Heidi Hill-Drum have rigged the housing debate such that we can have housing or save the environment, but not both. It is a completely bogus argument. **Do not develop Tahoe Conservancy lands.** This will have a significant effect on the environment. It is a carbon sink [which is needed more than ever](#) to mitigate climate change. Most of our [carbon offset credits are created by planting trees and promising not to cut them down](#). Your development planning approvals are proving what [a scam](#) this really is. Conserved lands are ineligible for streamlined development into housing projects (PRC §§ [9951-9953](#), & [21159.21\(i\)&\(j\)](#)). This conserved land was supposed to be retired in a natural state without impervious coverage in accordance with the TRPA threshold standards and the regional plan.

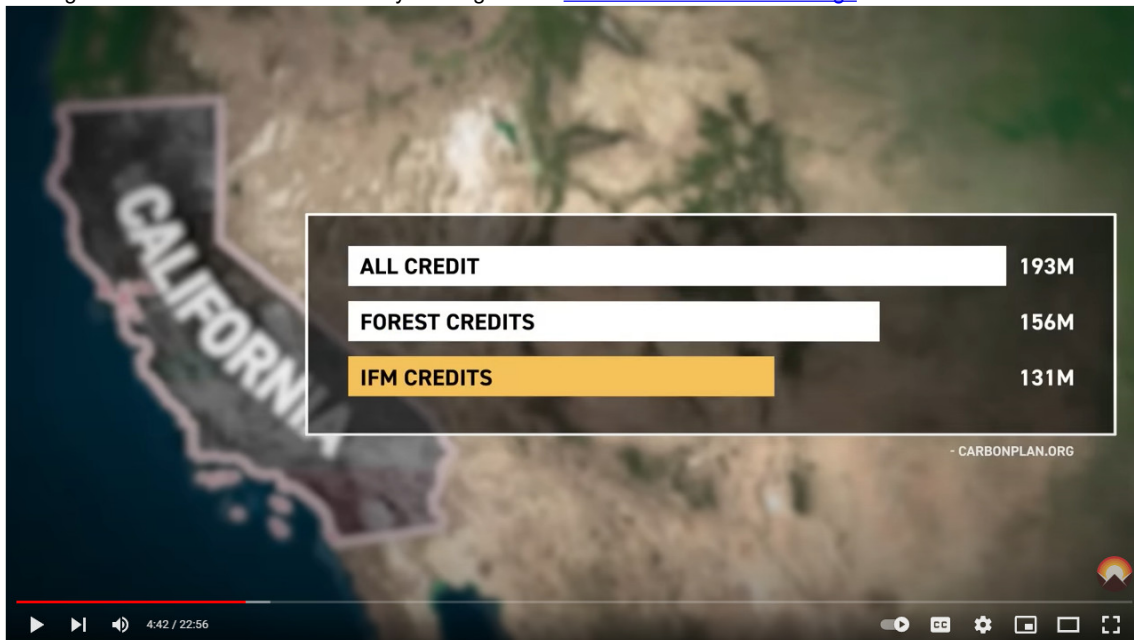
Moreover, the federal TRPA Compact expressly states that "urbanization is threatening the ecological values of the region and threatening the public opportunities for use of the public lands" ([PUBLIC LAW 96-551 – DEC. 19. 1980](#), ARTICLE I(a)(5)). Urbanization of public lands is precisely what is occurring when we inappropriately place high density multi-story apartments on public conservancy land. The Regional Plan and Code of Ordinances may not violate the Compact ([Decker v. Northwest Environmental Defense Center](#), 568 U.S. 597, [609](#) (2013) (Regulations, in order to be valid, must be consistent with the statute under which they are promulgated); [U.S. v. Larionoff](#), 431 U.S. 864, [873](#) (1977) (In order to be valid, regulations must be consistent with the statute under which they are promulgated); [Credit One Bank, N.A. v. Hestrin](#), 60 F.4th 1220, [1231](#) (9th Cir. 2023) (An agency's regulation cannot trump the Supreme Court or Congress)). Agencies must abide by their own rules and regulations ([Accardi v. Shaughnessy](#), 347 U.S. 260, [268](#) (1954) (objecting to administrative body's "failure to exercise its own discretion, contrary to existing valid regulations"); [Service v. Dulles](#), 354 U.S. 363, [388](#) (1957) ("Secretary...could not, so long as the Regulations remained unchanged, proceed without regard to them"); [Vitarelli v. Seaton](#), 359 U.S. 535, [539-40](#) (1959) ("Secretary...was bound by the regulations which he himself had promulgated"); [United States v. Nixon](#), 418 U.S. 683, [695](#) (1974) (So long as regulation was extant, it had force of law, Executive Branch was bound by it, and United States as sovereign composed of three branches was bound to respect and enforce it); [Bennett v. Spear](#), 520 U.S. 154, [172](#) (1997) ("It is rudimentary administrative law that discretion as to the substance of the ultimate decision does not confer discretion to ignore the required procedures of decisionmaking"); see also, [Nat'l Cable & Telecomms. Ass'n v. Brand X Internet Servs.](#), 545 U.S. 967, [981](#) (2005) (an agency action that departs from a prior policy without acknowledging the change, or that creates an "unexplained inconsistency" with prior policy is generally viewed as arbitrary and capricious)). If the regulation's text is unambiguous, there is no deference to an agency's interpretation ([Kisor v. Wilkie](#), 88 U. S. \_\_\_\_, 139 S. Ct. 2400, [2415](#) (2019) ("The regulation then just means what it means"); [Attias v. Crandall](#), 968 F.3d 931, [937](#) (9th Cir. 2020); [Larson v. Saul](#), 967 F.3d 914, [922](#) (9th Cir. 2020)). TRPA ordinances are federal law and may not be preempted by City or State conservancy ([Lake Tahoe Watercraft Recreation Ass'n v. TRPA](#), 24 F.Supp.2d 1062, 1069 (1998) (TRPA ordinance was federal law rather than state law, and compact under which ordinance was adopted did not reserve rights of states which had any bearing on substance of ordinance)). The TRPA Regional Plan itself is being violated (e.g., [CD-2.1\(A\)\(v\)](#), [SR-1](#), & [OS-1](#)) (Planning shall "where feasible, establish park or open space corridors connecting undisturbed sensitive areas within Centers to undisturbed areas outside of Centers"; "Maintain and restore the scenic qualities of the natural appearing landscape"; "Manage areas of open space to promote conservation of vegetation and Protection of watersheds").

# SUGAR PINE VILLAGE WILL DESTROY 11 ACRES OF CONSERVED LANDS



The California Tahoe Conservancy was established in 1985 to lead efforts to restore and enhance the extraordinary natural and recreational resources of the Lake Tahoe Basin. Parcels 28 and 31 were purchased just a few years later in the spring of 1989 towards this goal. In the decades since, development interests have hijacked the Conservancy, with plans to destroy and pave over a healthy stand of forest habitat releasing thousands of tons of climate-warming CO2 from this "carbon sink." The construction footprint will be 6.5 acres of the combined 11.6 acre lot. A statutory (PRC § 4291) 100' buffer from structures for "defensible space" will result in the clearcutting of 95% of the conserved lands with only 0.56 acres of habitat remaining.

Cutting down our last remaining carbon sinks while simultaneously thinking we can [somehow offset climate change](#) is a SCAM.



It is a scam so obvious, even [a comedian can blow it to pieces](#):



Moreover, we pretend to plant new trees to offset carbon emissions, but then they all burn down in a single fire season:

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## Up in smoke: California's greenhouse gas reductions could be wiped out by 2020 wildfires<sup>☆</sup>

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### ARTICLE INFO

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### ABSTRACT

In this short communication, we estimate that California's wildfire carbon dioxide equivalent (CO<sub>2</sub>e) emissions from 2020 are approximately two times higher than California's total greenhouse gas (GHG) emission reductions since 2003. Without considering future vegetation regrowth, CO<sub>2</sub>e emissions from the 2020 wildfires could be the second most important source in the state above either industry or electrical power generation. Regrowth may partly or fully occur over a long period, but due to exigencies of the climate crisis most of the regrowth will not occur quickly enough to avert greater than 1.5 degrees of warming. Global monetized damages caused by CO<sub>2</sub>e from in 2020 wildfire emissions amount to some \$7.1 billion USD. Our analysis suggests that significant societal benefits could accrue from larger investments in improved forest management and stricter controls on new development in fire-prone areas at the wildland-urban interface.

### 1. Introduction

Recent evidence suggests that climate change contributes to increased wildfire activity in the western United States (Abatzoglou and Williams, 2016). California's summer wildfire burned area increased eightfold from 1972 to 2018 (Williams et al., 2019), and statewide climate change projections predict an amplification of wildfire risk due to higher temperatures and drier conditions (Westerling, 2018). Climate change exacerbates fire risks already stoked by increasing development near the wildland-urban interface (WUI) that has made humans the main ignition source in California (Keeley and Syphard, 2018), as well as decades of fire suppression and underinvestment in preventive measures such as mechanical clearing or prescribed burns (Keeley and Syphard, 2021; Kolden, 2019; Radeloff et al., 2018). Wildfires, in turn, release GHG emissions that can contribute to climate change.

California experienced its most disastrous wildfire year on record in 2020. CalFire, the state agency responsible for leading California's wildfire prevention and suppression, reports that 1.7 million hectares burned in 2020 (CalFire, 2022). Many of the worst fire years in California's history have occurred in the past 20 years, with eighteen of the top 20 most destructive fires in terms of loss of life and property since

2000 and five in 2020 alone (CalFire, 2021). The 2020 fires have been followed by another extreme fire season with 1.0 million hectares burned in 2021.

In addition to the immediate loss of life and property, hospital admissions and premature deaths have likely happened because of the smoke exposure (Cascio, 2018; Fann et al., 2018; Reid et al., 2016; Wang et al., 2020), which blanketed large parts of the state with tens of millions of people with unhealthy air quality that persisted for months in some locations. Recent estimates put the economic costs of direct health costs at \$32 billion for 2018 (Wang et al., 2020). Future climate projections suggest that wildfires will become an increasingly important source of air pollution in the western U.S. (Ford et al., 2018; Liu et al., 2016).

When forests burn and are not balanced by vegetation regrowth, they shift from a natural sink to a source of carbon (van der Werf et al., 2017). This can represent a positive climate feedback loop in which increased GHG emissions contribute to climate change and further increase wildfire risk. Although wildfires are a natural feature of many ecosystems in California, the increase in severe and frequent wildfire events has raised the possibility of transformed post-fire ecosystems as new plant communities regrow following fire events that alter carbon

<sup>☆</sup> This paper has been recommended for acceptance by Pavlos Kassomenos.  
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Moreover, mature trees such as this protected Tahoe Conservancy grove—which is also protected by the city from wildfire—obviously store way more carbon dioxide than new carbon offset tree plantings:

# Rate of tree carbon accumulation increases continuously with tree size

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Forests are major components of the global carbon cycle, providing substantial feedback to atmospheric greenhouse gas concentrations<sup>1</sup>. Our ability to understand and predict changes in the forest carbon cycle—particularly net primary productivity and carbon storage—increasingly relies on models that represent biological processes across several scales of biological organization, from tree leaves to forest stands<sup>2,3</sup>. Yet, despite advances in our understanding of productivity at the scales of leaves and stands, no consensus exists about the nature of productivity at the scale of the individual tree<sup>4–7</sup>, in part because we lack a broad empirical assessment of whether rates of absolute tree mass growth (and thus carbon accumulation) decrease, remain constant, or increase as trees increase in size and age. Here we present a global analysis of 403 tropical and temperate tree species, showing that for most species mass growth rate increases continuously with tree size. Thus, large, old trees do not act simply as senescent carbon reservoirs but actively fix large amounts of carbon compared to smaller trees; at the extreme, a single big tree can add the same amount of carbon to the forest within a year as is contained in an entire mid-sized tree. The apparent paradoxes of individual tree growth increasing with tree size despite declining leaf-level<sup>8–10</sup> and stand-level<sup>10</sup> productivity can be explained, respectively, by increases in a tree's total leaf area that outpace declines in productivity per unit of leaf area and, among other factors, age-related reductions in population density. Our results resolve conflicting assumptions about the nature of tree growth, inform efforts to understand and model forest carbon dynamics, and have additional implications for theories of resource allocation<sup>11</sup> and plant senescence<sup>12</sup>.

A widely held assumption is that after an initial period of increasing growth, the mass growth rate of individual trees declines with increasing tree size<sup>4,5,13–16</sup>. Although the results of a few single-species studies have been consistent with this assumption<sup>15</sup>, the bulk of evidence cited in support of declining growth is not based on measurements of individual tree mass growth. Instead, much of the cited evidence documents either the well-known age-related decline in net primary productivity (hereafter 'productivity') of even-aged forest stands<sup>10</sup> (in which the trees are all of a similar age) or size-related declines in the rate of mass gain per

unit leaf area (or unit leaf mass)<sup>8–10</sup>, with the implicit assumption that declines at these scales must also apply at the scale of the individual tree. Declining tree growth is also sometimes inferred from life-history theory to be a necessary corollary of increasing resource allocation to reproduction<sup>11,16</sup>. On the other hand, metabolic scaling theory predicts that mass growth rate should increase continuously with tree size<sup>6</sup>, and this prediction has also received empirical support from a few site-specific studies<sup>6,7</sup>. Thus, we are confronted with two conflicting generalizations about the fundamental nature of tree growth, but lack a global assessment that would allow us to distinguish clearly between them.

To fill this gap, we conducted a global analysis in which we directly estimated mass growth rates from repeated measurements of 673,046 trees belonging to 403 tropical, subtropical and temperate tree species, spanning every forested continent. Tree growth rate was modelled as a function of log(tree mass) using piecewise regression, where the independent variable was divided into one to four bins. Conjoined line segments were fitted across the bins (Fig. 1).

For all continents, aboveground tree mass growth rates (and, hence, rates of carbon gain) for most species increased continuously with tree mass (size) (Fig. 2). The rate of mass gain increased with tree mass in each model bin for 87% of species, and increased in the bin that included the largest trees for 97% of species; the majority of increases were statistically significant (Table 1, Extended Data Fig. 1 and Supplementary Table 1). Even when we restricted our analysis to species achieving the largest sizes (maximum trunk diameter > 100 cm; 33% of species), 94% had increasing mass growth rates in the bin that included the largest trees. We found no clear taxonomic or geographic patterns among the 3% of species with declining growth rates in their largest trees, although the small number of these species (thirteen) hampers inference. Declining species included both angiosperms and gymnosperms in seven of the 76 families in our study; most of the seven families had only one or two declining species and no family was dominated by declining species (Supplementary Table 1).

When we log-transformed mass growth rate in addition to tree mass, the resulting model fits were generally linear, as predicted by metabolic scaling theory<sup>6</sup> (Extended Data Fig. 2). Similar to the results of our main

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# Low-elevation conifers in California's Sierra Nevada are out of equilibrium with climate

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Edited By: Adelia Bovell-Benjamin

## Abstract

Since the 1930s, California's Sierra Nevada has warmed by an average of 1.2°C. Warming directly primes forests for easier wildfire ignition, but the change in climate also affects vegetation species composition. Different types of vegetation support unique fire regimes with distinct probabilities of catastrophic wildfire, and anticipating vegetation transitions is an important but undervalued component of long-term wildfire management and adaptation. Vegetation transitions are more likely where the climate has become unsuitable but the species composition remains static. This vegetation climate mismatch (VCM) can result in vegetation conversions, particularly after a disturbance like wildfire. Here we produce estimates of VCM within conifer-dominated forests in the Sierra Nevada. Observations from the 1930s Wieslander Survey provide a foundation for characterizing the historical relationship between Sierra Nevada vegetation and climate before the onset of recent, rapid climate change. Based on comparing the historical climatic niche to the modern distribution of conifers and climate, ~19.5% of modern Sierra Nevada coniferous forests are experiencing VCM, 95% of which is below an elevation of 2356 m. We found that these VCM estimates carry empirical consequences: likelihood of type-conversion increased by 9.2% for every 10% decrease in habitat suitability. Maps of Sierra Nevada VCM can help guide long-term land management decisions by distinguishing areas likely to transition from those expected to remain stable in the near future. This can help direct limited resources to their most effective uses—whether it be protecting land or managing vegetation transitions—in the effort to maintain biodiversity, ecosystem services, and public health in the Sierra Nevada.

**Keywords:** ecology, habitat suitability modeling, vegetation transitions, vegetation climate mismatch, climate change, California

## Significance Statement

Warming climatic conditions over the last century have led to observable shifts in the spatial organization of dominant tree species in California's Sierra Nevada. Little is known, however, about the extent to which these shifts have tracked the magnitude of climate change. This study maps Vegetation Climate Mismatch in the Sierra Nevada—areas where climate change has left trees in climatic conditions where they have not historically occurred. Different vegetation types support different wildfire regimes, ecosystems, and ecosystem services. Our maps will be useful for anticipating vegetation transitions and informing long-term wildfire and ecosystem management across the Sierra Nevada mountains of California.

## Introduction

Warmer and drier conditions prime forests for ignition (1), but climate change also directly affects the species composition of future vegetation. Climate-driven vegetation conversion is an understudied phenomenon in general and a potentially significant determinant of catastrophic wildfire risk that could require changes in management strategies (2–4).

Broadly, climate change has caused vegetation to shift poleward and up-slope (5–7). In long-lived ecosystems like forests, climate change is occurring faster than the ability of many plants to shift their distributions or adapt, resulting in vegetation disequilibrium (8) or vegetation climate mismatch (VCM). Forests

experiencing VCM are at risk of converting to alternative species assemblages, particularly after stand-replacing disturbances such as severe wildfire (4). In some cases, VCM can even make forests more susceptible to wildfires (9).

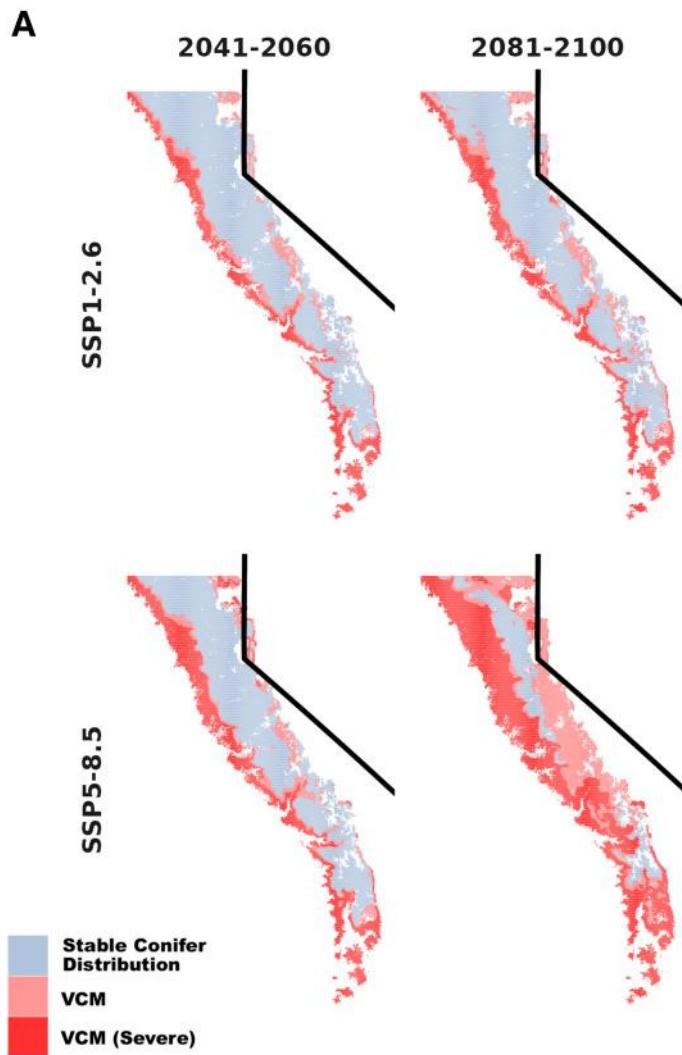
VCM is likely to be found in California's transition zone between low-elevation conifer-dominated forest and angiosperm-dominated vegetation (including mixed chaparral, oak woodland, and mixed broadleaf forest) (Fig. 1; elevation ~1000–1400 m)—where the foothills of the Sierra Nevada end and the mountains of the western flank begin. These forests lie on the warm end of mixed conifer distributions, where canopy dominants include ponderosa pine, sugar pine, and Douglas-fir (10), and understories



**Competing Interest:** The authors declare that they have no competing interests.

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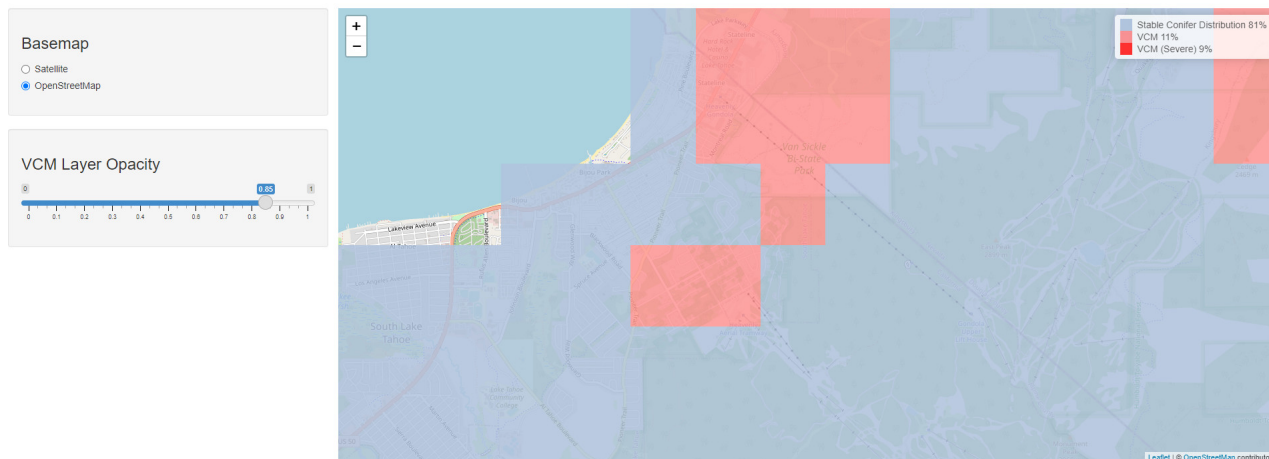


The black angled line is the California-Nevada Border which changes direction in the middle of Lake Tahoe. The colored areas are conifer forests along the 400 mile-long Sierra Nevada mountain range. The red areas are where current forests will become [zombie forests](#) in the near future meaning **they will not naturally regrow once they are cut or burnt down**. The columns depict the forests at the future years 2041 and 2081, the rows bracket the forest degradation between the expected future CO2 emissions range. The image below shows the forest's current status:

#### Sierra Nevada Conifer Vegetation Climate Mismatch

(Hill et al., 2023)

Since the 1930s, California's Sierra Nevada has warmed by an average of 1.2C. Vegetation transitions are more likely where the climate has become unsuitable but the species composition remains static. This vegetation climate mismatch (VCM) can result in vegetation conversions, particularly after a disturbance like wildfire. Here we produce estimates of VCM within conifer-dominated forests in the Sierra Nevada. Observations from the 1930s Wieslander Survey provide a foundation for characterizing the historical relationship between Sierra Nevada vegetation and climate before the onset of recent, rapid climate change. Maps of Sierra Nevada VCM can help guide long-term land management decisions by distinguishing areas likely to transition from those expected to remain stable in the near future.
















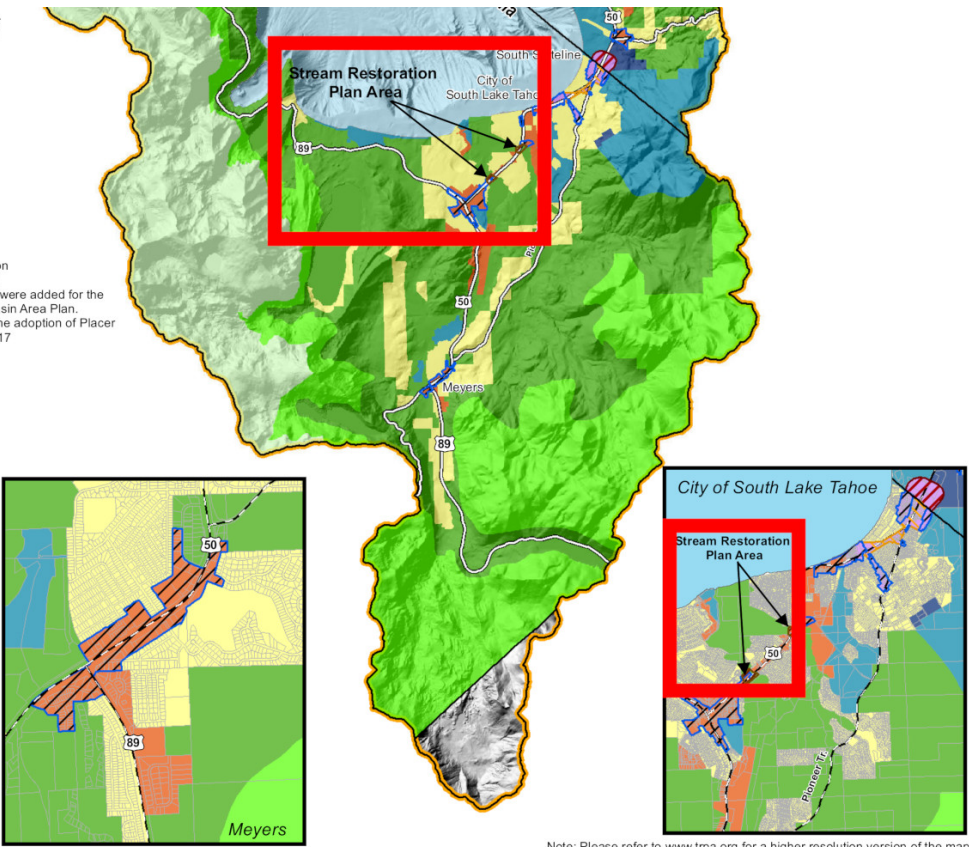
Current **"zombie forest"** areas include the upper Ski Run neighborhood being [diseased by a macro cell tower](#) and the trees immediately south of Montreal Road which are to be clear cut for [high-end condos](#). **The TRPA has failed to protect current and anticipated Zombie's forests** with much needed [threshold standards](#).

The corrupt Tamara Wallace and [Stuart Roll](#) ([Julie Roll's](#) spouse) are undermining the environmental mission of the Tahoe Conservancy through Wallace's board membership. Despite her bragging how well she understood city planning laws and plans, she already illegally tried to stop the restoration of the "Motel 6" stream environmental zone, despite such being [mandated by the regional plan](#):

in general to specific geographic areas. Changes to these detailed Plan Area Statements, Community Plans and other adopted plans prevail until superseded by conforming Area Plans. Amendments that are included in the 2012 Regional Plan Update include the following:

1. Amended Conservation Classification to Recognize USFS Ownership
2. Minor Boundary Modifications to Recognize Public Land Acquisitions by USFS, CA and NV
3. Minor Boundary correction to change Heavenly Ski Area property from Residential to Recreation
4. Recognize Commercial Districts as Mixed-Use Areas
5. Parcels adjoining the High Density Tourist District designated Recreation. This includes 479 acres of the Van Sickle State Park and approximately 256 acres of private land
6. Added Residential and Recreation Land Use Classification amendments, per Ordinance 2014-02 (amended 05/24/14).
7. Center and regional land use classification amendments were added for the Tahoe Valley Area Plan and for the Placer County Tahoe Basin Area Plan. This layer was edited in July 2017 to reflect changes from the adoption of Placer County Tahoe Basin Area Plan (adopted on January 25, 2017 pursuant to Ordinance # 2017-01).

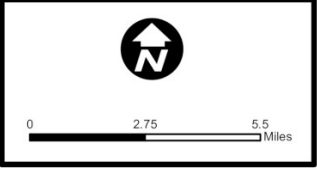
-  TRPA Jurisdiction
- Special Planning Districts
  -  High Density Tourist District
  -  Regional Center District
  -  Town Center District
  -  Stream Restoration
- Land Use Classifications
  -  Wilderness
  -  Backcountry
  -  Conservation
  -  Recreation
  -  Resort Recreation
  -  Residential
  -  Mixed-Use
  -  Tourist



Note: Please refer to [www.trpa.org](http://www.trpa.org) for a higher resolution version of the map. See Land Use Policy LU-4.1 for Land Use Classification definitions.



## Map 1 Conceptual Regional Land Use Lake Tahoe Region



TRPA MAP DISCLAIMER: This map was developed and produced by the TRPA GIS department. It is provided for reference only and is not intended to show map scale accuracy or all inclusive map features. The material on this map was compiled using the most current data available, but the data is dynamic and accuracy cannot be guaranteed. Document Path: F:\GIS\MXDS\Regional Plan Update\Jan2014Recreated\Map1\_ConceptualRegLandUse\_2017amendment.mxd

We already have enough vacant housing stock (BAE Urban Economics. "Analysis of Local Funding Source(s) for Housing Initiatives," p.18 (01/13/2023)):

To estimate the possible order of magnitude of the revenue that may be generated under a likely residential vacancy tax scenario, BAE assumed a tax of \$3,000 per vacant unit, which is generally consistent with the tax being charged in Oakland. Assuming that all housing units held vacant for seasonal or occasional use would be subject to the tax, BAE estimates that a vacancy tax could theoretically generate up to around \$16.8 million per year.

**Table 6: Housing Units by Vacancy Status and Tenure, 2020**

| Units in Structure      | Vacant       | Occupied     |              | Total         |
|-------------------------|--------------|--------------|--------------|---------------|
|                         |              | Renter       | Owner        |               |
| 1, detached             | 4,507        | 1,751        | 3,314        | 9,609         |
| 1, attached             | 175          | 396          | 73           | 636           |
| 2                       | 292          | 526          | 162          | 971           |
| 3 or 4                  | 566          | 729          | 17           | 1,315         |
| 5 to 9                  | 397          | 750          | 3            | 1,144         |
| 10 to 19                | 161          | 575          | 13           | 738           |
| 20 to 49                | 197          | 319          | 21           | 536           |
| 50 or more              | 296          | 89           | 34           | 428           |
| Mobile home             | 103          | 254          | 240          | 585           |
| Boat, RV, van, etc.     | 0            | 0            | 0            | 0             |
| <b>Total, All Units</b> | <b>6,695</b> | <b>5,390</b> | <b>3,876</b> | <b>15,961</b> |
| Percent                 | 42%          | 34%          | 24%          | 100%          |

Source: U.S. Census Bureau, 2020 Decennial Census, Table H1; U.S. Census Bureau, 2020 American Community Survey 5-Year, Tables B25024 and B25032; BAE, 2022.

This report also considered applying the residential vacancy tax only to single-family properties held for seasonal or occasional use. While the Census Bureau does not specifically report the required statistic, the available data does indicate that there were around 4,500 single-family homes that were vacant for any reason as of the 2020 Decennial Census (i.e., roughly 47 percent of the single-family housing stock).<sup>32</sup> Assuming that the vacancy tax applies to single-family properties only, that are vacant for any reason, the tax could yield roughly \$13.5 million per year in revenue.

Applying the citywide seasonal vacancy rate of 84 percent (i.e., the percent of vacant units held for seasonal or occasional use) to the 2020 vacant single-family inventory produces a conservative estimate of around 3,800 single-family units held for seasonal or occasional use. If the vacancy tax is applied only to single-family units held vacant for seasonal or occasional use, the tax may generate approximately nearly \$11.4 million per year in revenue.

And there is a high enough housing turnover rate to buy them (2,610 units in six years—we can't even build that fast!) (supra at p.8):

**Table 2: Residential Sales Activity, 2017 to 2022 YTD**

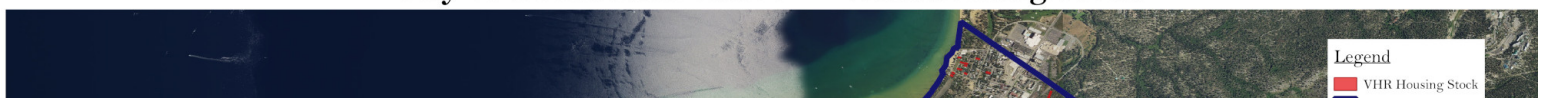
| All Residential Units      | 2017        | 2018        | 2019        | 2020        | 2021        | 2022        | All Sales   |
|----------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
|                            |             |             |             |             |             | YTD (a)     |             |
| Number of Sales            | 348         | 341         | 389         | 625         | 513         | 394         | 2,610       |
| Median Sale Price          | \$385,000   | \$420,000   | \$435,000   | \$500,000   | \$602,000   | \$642,500   | \$500,000   |
| Ave. Sale price            | \$462,097   | \$514,954   | \$557,259   | \$624,223   | \$765,300   | \$791,868   | \$631,386   |
| Ave. Size (sq. ft.)        | 1,494       | 1,495       | 1,552       | 1,626       | 1,561       | 1,548       | 1,556       |
| Ave. Sale Price per sq.ft. | \$311       | \$345       | \$365       | \$384       | \$493       | \$521       | \$408       |
| Maximum Sale Price         | \$2,337,500 | \$2,450,000 | \$5,300,000 | \$5,200,000 | \$5,550,000 | \$5,300,000 | \$5,550,000 |
| Minimum Sale Price         | \$71,000    | \$100,500   | \$46,000    | \$3,500     | \$50,000    | \$75,000    | \$3,500     |
| <b>Single Family Homes</b> |             |             |             |             |             |             |             |
| Number of Sales            | 333         | 322         | 368         | 585         | 483         | 367         | 2,458       |
| Median Sale Price          | \$389,000   | \$425,000   | \$440,000   | \$505,000   | \$613,000   | \$650,000   | \$505,000   |
| Ave. Sale price            | \$462,217   | \$525,288   | \$563,064   | \$632,835   | \$783,050   | \$809,834   | \$641,131   |
| Ave. Size (sq. ft.)        | 1,505       | 1,512       | 1,577       | 1,659       | 1,585       | 1,579       | 1,580       |
| Ave. Sale Price per sq.ft. | \$310       | \$349       | \$362       | \$381       | \$496       | \$522       | \$408       |
| Maximum Sale Price         | \$2,200,000 | \$2,450,000 | \$5,300,000 | \$5,200,000 | \$5,550,000 | \$5,300,000 | \$5,550,000 |
| Minimum Sale Price         | \$71,000    | \$100,500   | \$46,000    | \$3,500     | \$75,000    | \$75,000    | \$3,500     |
| <b>Condominiums</b>        |             |             |             |             |             |             |             |
| Number of Sales            | 15          | 19          | 21          | 40          | 30          | 27          | 152         |
| Median Sale Price          | \$295,000   | \$265,000   | \$346,500   | \$354,250   | \$417,500   | \$480,000   | \$363,750   |
| Ave. Sale price            | \$459,433   | \$339,816   | \$455,524   | \$498,275   | \$479,533   | \$547,667   | \$473,803   |
| Ave. Size (sq. ft.)        | 1,236       | 1,203       | 1,106       | 1,144       | 1,171       | 1,118       | 1,156       |
| Ave. Sale Price per sq.ft. | \$328       | \$289       | \$421       | \$417       | \$445       | \$505       | \$414       |
| Maximum Sale Price         | \$2,337,500 | \$799,000   | \$1,250,000 | \$2,700,000 | \$1,180,000 | \$1,349,000 | \$2,700,000 |
| Minimum Sale Price         | \$180,000   | \$168,000   | \$97,500    | \$165,000   | \$50,000    | \$232,500   | \$50,000    |

Note:  
(a) As of December 15, 2022.

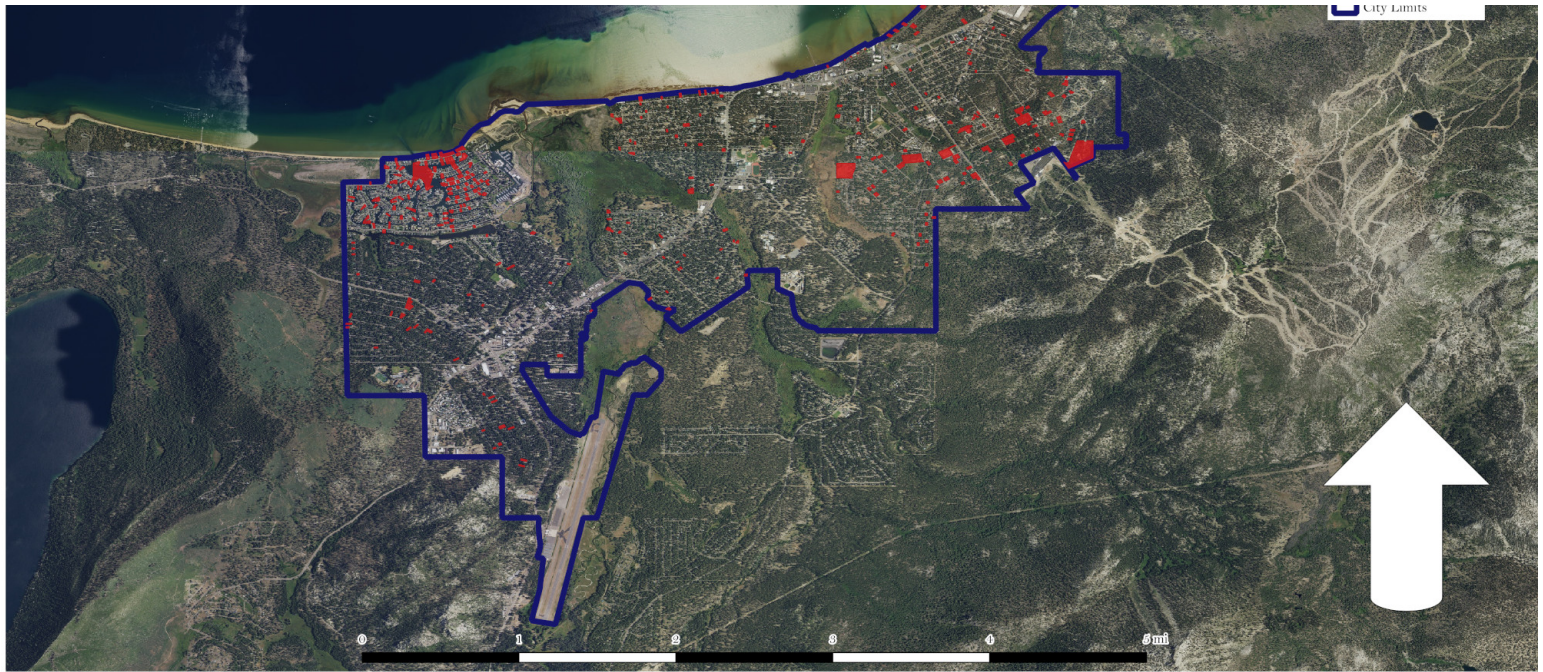
Sources: ListSource; BAE, 2022.

Here is the housing stock—as you can see it is cheaper per room than Sugarpine's \$800,000 dollar per unit (Each unit...will cost about \$808,823):

## City of South Lake Tahoe — VHR Housing Stock







Within the City of South Lake Tahoe's municipal boundary limits, there are nearly 800 residences with five or more bedrooms. Depicted in this map are just the 360 "VHR mansion" style residences with five or more bedrooms, with 88 of them having six or more bedrooms. The City is currently spending over \$800,000 per unit on the wasteful Sugarpine Village debacle which destroyed, urbanized, and privatized public conservancy land. The price per bedroom of these mansions is well below the cost the City is currently spending on low income housing. The City should acquire these mansions and deed restrict them into a workforce housing cooperative. One primary purpose of "Measure T" was to free up VHR residences for local residents.

| ASSESSOR'S # | PROPERTY ADDRESS             | OWNER NAME   | C/O DBA                   | OWNER ADDRESS              | CITY                     | STATE | ZIP   | BEDROOMS | VALUE       | Value/Bedroom |
|--------------|------------------------------|--|---------------------------|----------------------------|--------------------------|-------|-------|----------|-------------|---------------|
| 022-031-067  | 2137 VENICE DR               | 2137 VENICE A DELAWARE LLC                         |                           | 529 GREENWICH ST           | SAN FRANCISCO            | CA    | 94133 | 9        | \$3,111,274 | \$345,697     |
| 030-380-070  | 3739 SADDLE RD               | MORAN NEIL   |                           | 3219 E CAMELBACK ROAD #120 | PHOENIX                  | AZ    | 85018 | 9        | \$3,650,000 | \$405,556     |
| 022-261-005  | 287 BEACH DR                 | CAREN BARRY L TR & B LIV TRUST 10/9/98             |                           | PO BOX 5365                | CARSON                   | CA    | 90749 | 8        | \$1,899,699 | \$237,462     |
| 025-510-072  | 1466 FRONTIER CT             | SNYDER DARIN & CLAIRE                              |                           | 15 MAOLI DRIVE             | SAN RAFAEL               | CA    | 94903 | 8        | \$3,253,800 | \$406,725     |
| 026-061-012  | 3135 BELLEVUE AVE            | SUTTLE JOHN C & JARIRI VIJEH MOJDEH & MCBRIDE SEAN |                           | 162 TOYON ROAD             | ATHERTON                 | CA    | 94027 | 8        | \$2,741,760 | \$342,720     |
| 026-061-015  | 3123 BELLEVUE AVE            | MOONHAVEN LODGE LLC                                |                           | 251 OAKHURST PL            | MENLO PARK               | CA    | 94025 | 8        | \$4,029,000 | \$503,625     |
| 031-121-010  | 1068 RENO AVE                | CASALINO FAMILY TRUST 5/23/2006                    |                           | 1636 POMONA AVE            | SAN JOSE                 | CA    | 95110 | 8        | \$126,000   | \$15,750      |
| 022-081-001  | 327 BEACH DR                 | ROSENDIN RAYMOND J TR & NANCY BURKE TR             |                           | 443 WHISKEY HILL RD        | WOODSIDE                 | CA    | 94062 | 7        | \$991,846   | \$141,692     |
| 022-231-031  | 1975 MARCONI WAY             | ELLENOFF ERIC MATTHEW & BISHOP OLIVIA HSU          | DBA RECOVERY RANCH        | 1975 MARCONI WAY           | SOUTH LAKE TAHOE         | CA    | 96150 | 7        | \$2,050,000 | \$292,857     |
| 022-262-004  | 296 BEACH DR                 | XATIVA NV LLC                                      | DBA ORDAZ VACATION RENTAL | 13 THORNHURST              | SAN ANTONIO              | TX    | 78218 | 7        | \$2,257,890 | \$322,556     |
| 025-021-038  | 3425 PIONEER TRL             | WIRJO MAX & STECIA ANGELINE                        |                           | 11303 WINTER COTTAGE       | LAS VEGAS                | NV    | 89135 | 7        | \$1,147,500 | \$163,929     |
| 025-032-003  | 1460 SKI RUN BLVD            | BEECH CRAIG  |                           | KINGSWOOD GORSE AVE        | LITTLE HAMPTON BN 16 15G |       |       | 7        | \$1,716,222 | \$245,175     |
| 025-032-016  | 3639 SADDLE RD               | PAUL JASON MATTHEW & JANINE PANIAGUA               |                           | 3639 SADDLE ROAD           | SOUTH LAKE TAHOE         | CA    | 96150 | 7        | \$2,648,000 | \$378,286     |
| 026-084-004  | 3121 PASADENA AVE APT 1      | LEE SUNG WOOK                                      |                           | 12045 LLGAS AVE            | SAN MARTIN               | CA    | 95046 | 7        | \$950,000   | \$135,714     |
| 026-154-009  | 2861 OAKLAND AVE             | KALI KONA REAL ESTATE INVESTMENTS LLC CA LLC       |                           | 9515 SOQUEL DR #211        | APTOS                    | CA    | 95003 | 7        | \$1,917,600 | \$273,943     |
| 028-062-013  | 3908 BRIDLE RD               | POONJA MOHAMED TR & ZAITUN TR                      |                           | 630 MILVERTON RD           | LOS ALTOS                | CA    | 94022 | 7        | \$1,465,683 | \$209,383     |
| 028-070-018  | 1387 NEBELHORN CT            | DINEEN STEPHANIE A TR & FAMILY TRUST OF 2/13/80    |                           | 31620 CITRUS AVE           | REDLANDS                 | CA    | 92374 | 7        | \$1,197,590 | \$171,084     |
| 028-090-045  | 1399 WILDWOOD AVE            | ODLAND DERRICK & KIMBERLEY                         |                           | 1780 CALLE PACIFICO        | ARROYO GRANDE            | CA    | 93420 | 7        | \$1,740,592 | \$248,656     |
| 028-090-070  | 1393 WILDWOOD AVE            | CHANG HARRISON K TR                                |                           | 4354 SILVA CT              | PALO ALTO                | CA    | 94306 | 7        | \$1,118,500 | \$159,786     |
| 028-123-009  | 3752 OVERLOOK CT             | NASH DANIEL TR & BETH TR                           |                           | 83 TUM SUDEN WAY           | WOODSIDE                 | CA    | 94062 | 7        | \$1,698,787 | \$242,684     |
| 029-041-038  | 4081 MANZANITA AVE           | MORENO EDDIE J & PATRICIA                          |                           | PO BOX 16367               | SOUTH LAKE TAHOE         | CA    | 96151 | 7        | \$313,765   | \$44,824      |
| 029-403-033  | 3778 PIONEER TRL             | NYE WILLIAM THOMAS TR & HULL NYE THERESA LORRAINE  |                           | 16083 GRAMERCY DR          | SAN LEANDRO              | CA    | 94578 | 7        | \$251,659   | \$35,951      |
| 030-352-023  | 3717 REGINA RD               | GALLATA JEFFREY J & SUSAN J                        |                           | 973 PALMER CIRCLE          | FOLSOM                   | CA    | 95630 | 7        | \$1,494,320 | \$213,474     |
| 030-402-001  | 1707 SHERMAN WAY             | MCGLYNN DANIEL J                                   |                           | 861 DELGADO DRIVE          | BATON ROUGE              | LA    | 70808 | 7        | \$1,488,000 | \$212,571     |
| 032-251-016  | 1231 TATA LN                 | NISHIHARA GAVIN & ARNETT SAVANNA                   |                           | 1231 TATA LANE             | SOUTH LAKE TAHOE         | CA    | 96150 | 7        | \$540,000   | \$77,143      |
| 022-051-008  | 2168 MONTEREY DR             | CURRY DERRICK D TR & MAXINE A TR                   |                           | 17 FAIRWAY PL              | HALF MOON BAY            | CA    | 94019 | 6        | \$828,518   | \$138,086     |
| 022-051-060  | 2144 INVERNESS DR            | MCNEAL MICHAEL & CHALLENGOR ANGELA                 |                           | PO BOX 66832               | SCOTTS VALLEY            | CA    | 95067 | 6        | \$1,550,000 | \$258,333     |
| 022-051-065  | 2131 INVERNESS DR            | KUNHART JOHN & PAMELA                              |                           | 6015 ALTA LOMA PL          | GRANITE BAY              | CA    | 95746 | 6        | \$1,415,000 | \$235,833     |
| 022-061-024  | 2175 CATALINA DR             | PHILIP BRIDGET M & BARRETT DIANA MARCHETTI         |                           | 1440 VANCOUVER AVE         | BURLINGAME               | CA    | 94010 | 6        | \$629,552   | \$104,925     |
| 022-061-056  | 2158 BALBOA DR               | NIKKAR ARASH & EDIE NICOLE TR                      |                           | 13 WOODHILL DRIVE          | REDWOOD CITY             | CA    | 94061 | 6        | \$2,698,776 | \$449,796     |
| 022-061-068  | 2229 BALBOA DR               | MCCARTHY ROBERT J TR & SUZANNE B TR                |                           | 354 SANTA CLARA AVE        | SAN FRANCISCO            | CA    | 94127 | 6        | \$486,958   | \$81,160      |
| 022-081-003  | 343 BEACH DR                 | MENG JIANHUA & JI JIANHUA                          |                           | 849 MELVILLE AVE           | PALO ALTO                | CA    | 94301 | 6        | \$3,171,079 | \$528,513     |
| 022-134-010  | 2197 TEXAS AVE               | JIA JI 2015 FAM TR 11/2/2015                       |                           | 241 CLARA COURT            | FREMONT                  | CA    | 94539 | 6        | \$1,326,000 | \$221,000     |
| 022-161-026  | 741 COLORADO CT              | DEL NORTE LLC CA LLC                               |                           | PO BOX 18533               | SOUTH LAKE TAHOE         | CA    | 96151 | 6        | \$552,110   | \$92,018      |
| 022-222-025  | 1997 GARMISH CT              | SOTOODEH JOHN K TR & DELAINEA C TR                 |                           | 133 15TH ST                | MANHATTAN BEACH          | CA    | 90266 | 6        | \$1,106,814 | \$184,469     |
| 022-251-071  | 2016 KOKANEE WAY             | GUERRA FRANK VINCENT                               |                           | 45 SUNRISE DR              | HOLLISTER                | CA    | 95023 | 6        | \$691,991   | \$115,332     |
| 022-261-004  | 295 BEACH DR                 | PAVICICH ANDREW P TR & HELEN K TR                  |                           | 2435 FOREST AVE #200       | SAN JOSE                 | CA    | 95128 | 6        | \$2,256,281 | \$376,047     |
| 022-262-003  | 300 BEACH DR                 | CASTANON PAULINA TR & DOZAR SEP PROP TR OF 11-12-8 |                           | 13 THORNHURST              | SAN ANTONIO              | TX    | 78218 | 6        | \$1,077,502 | \$179,584     |
| 022-301-011  | 2051 ALOHA DR                | VICARIO ANDRE & KIMBERLY                           |                           | 8814 E ANN WAY             | SCOTTSDALE               | AZ    | 85260 | 6        | \$2,503,591 | \$417,265     |
| 022-332-055  | 618 ALPINE DR                | YUAN XINGCHAO CHUCK TR & YU JACKIE JIE TR          |                           | 818 MELVILLE AVE           | PALO ALTO                | CA    | 94301 | 6        | \$796,812   | \$132,802     |
| 022-332-062  | 576 ALPINE DR                | BAILON JOEL & RAYCHEL                              |                           | 730 VISTA GRANDE AVE       | LOS ALTOS                | CA    | 94024 | 6        | \$2,091,000 | \$348,500     |
| 023-152-007  | 703 ROGER AVE                | LE LEON HUJULAN & NGUYEN MINH CHAU LE CO TR        |                           | 3913 CADWALLADER AVE       | SAN JOSE                 | CA    | 95121 | 6        | \$2,575,000 | \$429,167     |
| 025-031-020  | 3583 MACKEDIE WAY            | TAHO LTD CA LLC                                    |                           | 6918 HIGHLAND SPRING LANE  | HIGHLAND                 | CA    | 92346 | 6        | \$2,193,000 | \$365,500     |
| 025-261-004  | 1291 HEATHER LAKE RD         | SALOMON WAYNE                                      |                           | 3863 ARBUTUS CT            | HAYWARD                  | CA    | 94542 | 6        | \$95,649    | \$15,942      |
| 025-510-067  | 3478 SADDLE RD               | KATANA GROUP LLC CA LLC                            |                           | 912 DIABLO DOWNS DRIVE     | CLAYTON                  | CA    | 94517 | 6        | \$1,453,500 | \$242,250     |
| 025-552-001  | 3574 APRIL DR                | HERMAN BRADLEY & TIMKINA ALENA                     |                           | 3574 APRIL DRIVE           | SOUTH LAKE TAHOE         | CA    | 96150 | 6        | \$1,798,000 | \$299,667     |
| 025-552-008  | 3482 EDNA ST                 | KELBUS KRISTY SUCC TR                              |                           | 3482 EDNA ST               | SOUTH LAKE TAHOE         | CA    | 96150 | 6        | \$99,236    | \$16,539      |
| 025-580-002  | 3353 NEEDLE PEAK RD          | COLTON BENJAMIN ROWLAND TR & AUBREE NICOLE TR      |                           | 8925 PLAZA PARK DR         | ELK GROVE                | CA    | 95624 | 6        | \$559,380   | \$93,230      |
| 025-580-006  | 3605 NEEDLE PEAK RD          | DWELLEY MARK S & JEANNETTE M TR                    |                           | P O BOX 1081               | BRENTWOOD                | CA    | 94513 | 6        | \$1,800,000 | \$300,000     |
| 025-670-018  | 3511 PONY EXPRESS WAY        | LE TOAN & DAO TRUONG AN THI                        |                           | 3511 PONY EXPRESS RD       | SOUTH LAKE TAHOE         | CA    | 96150 | 6        | \$862,068   | \$143,678     |
| 026-032-012  | 833 LAKEVIEW AVE             | BETSCHART DANIEL E TR & B E LIV TR 10/11/07        |                           | 827 LAKEVIEW AVE           | SOUTH LAKE TAHOE         | CA    | 96150 | 6        | \$306,756   | \$51,126      |
| 026-061-030  | 888 LILY AVE                 | SAVAS NIKKOS M C/O ANTHONY SAVAS & TERRY SAVAS     | C/O ANTHONY SAVAS & TERRY |                            | SOUTH LAKE TAHOE         | CA    | 96150 | 6        | \$3,029,400 | \$504,900     |
| 026-102-027  | 630 SAN FRANCISCO AVE UNIT A | HUCK PROPERTIES CA LLC                             |                           | 57 GREEN HILLS COURT       | ENDERSON                 | NV    | 89012 | 6        | \$512,190   | \$85,365      |
| 027-075-013  | 3669 FOREST AVE              | EYMANN DARELL R & THERESA C                        |                           | 3669 SOUTH FOREST AVE      | SOUTH LAKE TAHOE         | CA    | 96150 | 6        | \$205,887   | \$34,315      |
| 027-083-007  | 1033 PINE GROVE AVE          | STATE OF CALIFORNIA & CALIFORNIA TAHOE CONSERVANCY |                           | 1061 3RD ST                | SOUTH LAKE TAHOE         | CA    | 96150 | 6        | \$1,040     | \$173         |
| 027-155-012  | 3689 SPRUCE AVE              | D COSTA FAMILY TRUST 8/25/2005                     |                           | 21095 GARY DR # 306        | HAYWARD                  | CA    | 94546 | 6        | \$683,400   | \$113,900     |
| 027-344-039  | 1144 FAIRWAY AVE             | MILLER TERRY E TR & T E TR TRUST 6/29/12           |                           | 1120 SPRING ST #1604       | SEATTLE                  | WA    | 98104 | 6        | \$409,415   | \$68,236      |
| 028-061-034  | 1475 BONITA RD               | HEAVENLY HIDEAWAY CA LLC                           | DBA HEAVENLY HIDEAWAY VAC | 4394 PEBBLE BEACH RD       | ROCKLIN                  | CA    | 95765 | 6        | \$2,024,700 | \$337,450     |
| 028-082-002  | 3673 DAVID LN                | KEMP CHRISTINA & GANJEI JAY                        |                           | 44815 INDUSTRIAL DR        | FREMONT                  | CA    | 94538 | 6        | \$727,739   | \$121,290     |
| 028-082-003  | 3677 DAVID LN                | GUPTA SARJU & UMESH                                |                           | 3520 LAKE TAHOE BLVD       | SOUTH LAKE TAHOE         | CA    | 96150 | 6        | \$795,600   | \$132,600     |
| 028-123-004  | 3774 OVERLOOK CT             | RABISHAW ELISABETH & GARY                          |                           | 1829 ENCINO HILLS PLACE    | ENCINO                   | CA    | 91436 | 6        | \$1,152,600 | \$192,100     |
| 028-320-004  | 3861 NEEDLE PEAK RD          | SHEARER JOHN LYLE & SHANNON M TR                   |                           | 3861 NEEDLE PEAK RD        | SOUTH LAKE TAHOE         | CA    | 96150 | 6        | \$1,892,076 | \$315,346     |
| 029-101-023  | 3779 BEACH RD                | SPELLMAN PATRICK M & WENDY A TR                    |                           | 38539 MISSION BLVD         | FREMONT                  | CA    | 94536 | 6        | \$2,754,000 | \$459,000     |

|             |                     |  |                        |                               |                  |    |       |   |             |             |
|-------------|---------------------|--|------------------------|-------------------------------|------------------|----|-------|---|-------------|-------------|
| 029-103-001 | 3820 MEADOW RD      | MCKEE REBECCA I TR   |                        | 425 61ST ST                   | OAKLAND          | CA | 94609 | 6 | \$1,125,000 | \$187,500   |
| 029-106-001 | 3809 AZURE AVE      | ROZANCE PAUL JOSEPH & BIRCHLER BRENDA MICHELE              |                        | 130 SOUTH IVY STREET          | DENVER           | CO | 80224 | 6 | \$1,223,490 | \$203,915   |
| 029-161-010 | 3835 PENTAGON RD    | HILDEBRANDT ELZBIETA & DANIEL                              |                        | 1096 DAPHNE CT                | MINDEN           | NV | 89423 | 6 | \$559,080   | \$93,180    |
| 029-181-020 | 3821 PIONEER TRL    | JWD VENTURES CA LLC  |                        | PO BOX 1690                   | DIAMOND SPRINGS  | CA | 95619 | 6 | \$657,900   | \$109,650   |
| 029-422-031 | 3540 ROCKY POINT RD | LAWTON BRADLEY G & ROSEMARY N                              |                        | PO BOX 1725                   | ZEPHYR COVE      | NV | 89448 | 6 | \$207,861   | \$34,644    |
| 029-604-004 | 4069 GREENWOOD RD   | JEUNG PATRICIA Y TR & FONG JANICE                          | C/O JANICE FONG        | 156 CSM DR                    | SAN MATEO        | CA | 94402 | 6 | \$193,248   | \$32,208    |
| 029-710-007 | UNASSIGNED          | GONDOLA VISTA DEVE COMPANY LLC                             |                        | PO BOX 121                    | ZEPHYR COVE      | NV | 89448 | 6 | \$1,619,446 | \$269,908   |
| 029-710-009 | UNASSIGNED          | GONDOLA VISTA DEVE COMPANY LLC                             |                        | PO BOX 121                    | ZEPHYR COVE      | NV | 89448 | 6 | \$1,619,446 | \$269,908   |
| 029-710-011 | UNASSIGNED          | GONDOLA VISTA DEVE COMPANY LLC                             |                        | PO BOX 121                    | ZEPHYR COVE      | NV | 89448 | 6 | \$1,619,446 | \$269,908   |
| 029-710-013 | UNASSIGNED          | GONDOLA VISTA DEVE COMPANY LLC                             |                        | PO BOX 121                    | ZEPHYR COVE      | NV | 89448 | 6 | \$1,619,446 | \$269,908   |
| 029-710-015 | UNASSIGNED          | GONDOLA VISTA DEVE COMPANY LLC                             |                        | PO BOX 121                    | ZEPHYR COVE      | NV | 89448 | 6 | \$1,619,446 | \$269,908   |
| 030-352-009 | 3708 VERDON LN      | SAFDIE JUSTIN & GRETCHEN                                   |                        | 971 PLEASANT HILL RD          | LAFAYETTE        | CA | 94549 | 6 | \$1,351,500 | \$225,250   |
| 030-352-010 | 3714 VERDON LN      | WILSON GERALD & TIFFANY                                    |                        | PO BOX 9050                   | SOUTH LAKE TAHOE | CA | 96150 | 6 | \$700,000   | \$116,667   |
| 030-581-004 | 1468 JUNE WAY       | NATSUM INVESTMENTS LLC CA LLC                              |                        | 1046 AL TAHOE BLVD BOX #13151 | SOUTH LAKE TAHOE | CA | 96150 | 6 | \$1,339,737 | \$223,290   |
| 031-174-008 | 1169 O MALLEY DR    | BERMAN HAVA A TR & IRWIN IRREV TR 2/18/03                  |                        | 636 NORTH KIEL ST             | HENDERSON        | NV | 89015 | 6 | \$113,777   | \$18,963    |
| 031-322-003 | 957 SILVERWOOD CIR  | PITCHER EDWARD J & KATHY D                                 |                        | 957 SILVERWOOD CIR            | SOUTH LAKE TAHOE | CA | 96150 | 6 | \$253,209   | \$42,202    |
| 031-331-015 | 2853 SPRINGWOOD DR  | SZYPULA MICHAEL EDWARD & ERMINERO ELISA TR                 |                        | 222 8TH AVE                   | SAN MATEO        | CA | 94401 | 6 | \$601,503   | \$100,251   |
| 032-252-031 | 1220 TATA LN        | HENDRIX B & C REV TRUST 8/14/2019                          |                        | 8855 ROCKY CANYON ROAD        | ATASCADERO       | CA | 93422 | 6 | \$1,150,000 | \$191,667   |
| 032-282-060 | 1271 MARGARET AVE   | DOHERTY FRANCES E & FAULKNER GRAHAM DBA SHARED HOME RENTAL | DBA SHARED HOME RENTAL |                               | SOUTH LAKE TAHOE | CA | 96150 | 6 | \$566,100   | \$94,350    |
| 022-031-044 | 2156 MORRO DR       | SCHONES HAUS CA LLC  |                        | 2156 MORRO DR                 | SOUTH LAKE TAHOE | CA | 96150 | 5 | \$1,576,869 | \$315,374   |
| 022-032-013 | 2196 VENICE DR      | GAVRILOV ARTEM & GAVRILOVA HELEN                           |                        | 40353 LESLIE ST               | FREMONT          | CA | 94538 | 5 | \$715,961   | \$143,192   |
| 022-032-025 | 2140 VENICE DR      | PAKES FLOYD KIRK & BONNIE JEAN                             |                        | 2140 VENICE DR                | SOUTH LAKE TAHOE | CA | 96150 | 5 | \$287,135   | \$57,427    |
| 022-041-008 | 445 CAPRI DR        | YING DANIEL & LIU HONGYING TR                              |                        | 10496 SOUTH STELLING RD       | CUPERTINO        | CA | 95014 | 5 | \$699,318   | \$139,864   |
| 022-042-003 | 2249 INVERNESS DR   | KULKA PETER & MARIA CO TR                                  |                        | 5587 FORBES DR                | NEWARK           | CA | 94560 | 5 | \$896,557   | \$179,311   |
| 022-051-013 | 2146 MONTEREY DR    | CHEN LIANG L & LU QIAOLING                                 |                        | 16799 POTTER CT               | LOS GATOS        | CA | 95032 | 5 | \$867,108   | \$173,422   |
| 022-051-017 | 2126 MONTEREY DR    | FRY MICHAEL FRANCIS CO TR & DEBORAH FAY CO TR              |                        | 2733 WELLINGTON SOUTH         | CARSON CITY      | NV | 89703 | 5 | \$984,928   | \$196,986   |
| 022-051-023 | 2151 MONTEREY DR    | TAHOE HOUSE LLC  |                        | 2400 MAIN ST #201             | IRVINE           | CA | 92614 | 5 | \$351,529   | \$70,306    |
| 022-051-025 | 2161 MONTEREY DR    | GOLDENBERG THOMAS A TR & GOLDENBERG T A REV TRUST          |                        | 2161 MONTEREY DR              | SOUTH LAKE TAHOE | CA | 96150 | 5 | \$629,998   | \$126,000   |
| 022-051-046 | 2210 INVERNESS DR   | HERSHEY STEVEN & LISA                                      |                        | 1369 CORVIDAE ST              | CARLSBAD         | CA | 92011 | 5 | \$734,524   | \$146,905   |
| 022-051-069 | 2149 INVERNESS DR   | TRITSCHLER CHARLES G & DALE O TR                           |                        | 486 PACO DRIVE                | LOS ALTOS        | CA | 94024 | 5 | \$1,234,312 | \$244,862   |
| 022-051-070 | 2155 INVERNESS DR   | IWATA TOMOKO & TASHIRO MASAYUKI                            |                        | 2155 INVERNESS DR             | SOUTH LAKE TAHOE | CA | 96150 | 5 | \$1,440,240 | \$288,048   |
| 022-051-075 | 2179 INVERNESS DR   | LEWALLEN LARRY DAVID & DEBRA DIANE CO TR                   |                        | 1040 E HERNDON #205           | FRESNO           | CA | 93720 | 5 | \$1,098,480 | \$219,696   |
| 022-051-080 | 2205 INVERNESS DR   | MCDEVITT ANDREW & KRISTEN                                  |                        | 27 CORTE MORADA               | KENTFIELD        | CA | 94904 | 5 | \$3,250,000 | \$650,000   |
| 022-051-081 | 2211 INVERNESS DR   | WERCHICK GARY SR   |                        | 2211 INVERNESS DR             | SOUTH LAKE TAHOE | CA | 96150 | 5 | \$366,339   | \$73,268    |
| 022-051-083 | 2221 INVERNESS DR   | OGNESS MARTHA TR & MARTHA REV TR 7/14/10                   |                        | 22649 E JUDE LN               | GILBERT          | AZ | 85298 | 5 | \$851,449   | \$170,290   |
| 022-051-087 | 534 TAHOE KEYS BLVD | FAETH JAMES J PATRICK & CAROL ANNE TR                      |                        | 6181 COBBLESTONE ROAD         | PLACERVILLE      | CA | 95667 | 5 | \$1,257,291 | \$251,458   |
| 022-051-088 | 2170 INVERNESS DR   | JOHNSON ROBERT B   |                        | 2170 INVERNESS SR             | SOUTH LAKE TAHOE | CA | 96150 | 5 | \$1,655,457 | \$331,091   |
| 022-061-001 | 470 CAPRI DR        | KUNDU NIRVANA  |                        | 470 CAPRI DR                  | SOUTH LAKE TAHOE | CA | 96150 | 5 | \$888,141   | \$177,628   |
| 022-061-005 | 2240 CATALINA DR    | KNISLEY MARK E & DEBORAH E TR                              |                        | 2240 CATALINA DR              | SOUTH LAKE TAHOE | CA | 96150 | 5 | \$604,921   | \$120,984   |
| 022-061-007 | 2228 CATALINA DR    | PEKOWSKY KEVIN & JANET TR                                  |                        | 3031 MELBOURNE CT             | PLEASANTON       | CA | 94588 | 5 | \$1,000,000 | \$200,000   |
| 022-061-010 | 2210 CATALINA DR    | ORDEMANN WALT & CAROL                                      |                        | 108 KINGS COURT               | SAN CARLOS       | CA | 94070 | 5 | \$3,366,000 | \$673,200   |
| 022-061-013 | 2190 CATALINA DR    | HAGENS PETER A JR TR & CATHERINE R TR                      |                        | 930 SOMERSBY WAY              | SACRAMENTO       | CA | 95864 | 5 | \$644,391   | \$128,878   |
| 022-061-019 | 2154 CATALINA DR    | MCCOSKER BRIAN & JACQUELINE TR                             |                        | 3165 SANTA MARIA DR           | CONCORD          | CA | 94518 | 5 | \$2,019,426 | \$403,885   |
| 022-061-021 | 2155 CATALINA DR    | SFC LEASING CA LP  |                        | 11390 WHITE ROCK RD STE 200   | RANCHO CORDOVA   | CA | 95742 | 5 | \$2,399,000 | \$479,800   |
| 022-061-028 | 2201 CATALINA DR    | SFC LEASING LP   |                        | 11390 WHITE ROCK RD STE 200   | RANCHO CORDOVA   | CA | 95742 | 5 | \$1,160,446 | \$232,089   |
| 022-061-032 | 2227 CATALINA DR    | SHORTRIDGE CHAD & LAURA                                    |                        | 2227 CATALINA DR              | SOUTH LAKE TAHOE | CA | 96150 | 5 | \$864,275   | \$172,855   |
| 022-061-043 | 2236 BALBOA DR      | MCLOUGHLIN PATRICK R & KATHERINE M                         |                        | 44410 S EL MACERO DR          | EL MACERO        | CA | 95618 | 5 | \$1,092,399 | \$218,480   |
| 022-061-045 | 2220 BALBOA DR      | CONNELLY DOUGLAS RICHARD & BONETTI PAULETTE                |                        | 2220 BALBOA DRIVE             | SOUTH LAKE TAHOE | CA | 96150 | 5 | \$1,453,500 | \$290,700   |
| 022-061-046 | 2216 BALBOA DR      | LAGORIO BRETT & LORA                                       |                        | 20001 E FLOOD RD              | LINDEN           | CA | 95236 | 5 | \$1,453,500 | \$290,700   |
| 022-061-050 | 2190 BALBOA DR      | DIGIORNO A & S REV TRUST 3/14/2006                         |                        | 2190 BALBOA DRIVE             | SOUTH LAKE TAHOE | CA | 96150 | 5 | \$494,869   | \$98,974    |
| 022-061-052 | 2176 BALBOA DR      | BARSEMA DENNIS TR & STACEY TR                              |                        | 128 BRINKER RD                | BARRINGTON       | IL | 60010 | 5 | \$763,172   | \$152,634   |
| 022-061-053 | 2172 BALBOA DR      | LAWSON BALBOA PROPERTIES LLC C/O LAWSON RODNEY K           | C/O LAWSON RODNEY K    |                               | RANCHO MURIETA   | CA | 95683 | 5 | \$1,500,000 | \$300,000   |
| 022-061-055 | 2160 BALBOA DR      | MUNCE A CURRIE JR TR & CLAUDIA FAN TR                      |                        | 2322 MAR EAST STREET          | TIBURON          | CA | 94920 | 5 | \$1,711,584 | \$342,317   |
| 022-061-059 | 2165 BALBOA DR      | DILLIE JOHN & MAUREEN C                                    |                        | 365 ELVIES LANE               | FOLSOM           | CA | 95630 | 5 | \$1,094,827 | \$218,965   |
| 022-061-060 | 2175 BALBOA DR      | BARBA JORGE L TR & MARCY TR                                |                        | 1675 DAVIS LN                 | RENO             | NV | 89511 | 5 | \$659,843   | \$131,969   |
| 022-061-061 | 2181 BALBOA DR      | GANN JEFFERY F & MALIA A TR                                |                        | 9373 SOUTH PRIEST             | FRENCH CAMP      | CA | 95231 | 5 | \$1,918,399 | \$383,680   |
| 022-061-064 | 2201 BALBOA DR      | 2201 BALBOA DRIVE LLC                                      |                        | 39 REGINA WAY                 | SAN RAFAEL       | CA | 94903 | 5 | \$3,003,900 | \$600,780   |
| 022-061-065 | 2209 BALBOA DR      | MAIER FRED TR & KATHARINE E TR                             |                        | PO BOX 7675                   | SOUTH LAKE TAHOE | CA | 96158 | 5 | \$559,080   | \$111,816   |
| 022-081-002 | 335 BEACH DR        | GILL STEPHEN F TR & LINDA L TR                             |                        | 731 HILLCREST AVE             | LA CANADA        | CA | 91011 | 5 | \$3,684,266 | \$736,853   |
| 022-082-014 | 346 BEACH DR        | CRAWFORD WILLIAM H TR & LINDA C TR                         |                        | 3429 CIMMERON CT              | ROCKLIN          | CA | 95677 | 5 | \$1,024,842 | \$204,968   |
| 022-082-015 | 359 BEACH LN        | WEBER RALF   |                        | 840 S RANCHO DR STE 4-257     | LAS VEGAS        | NV | 89106 | 5 | \$1,116,458 | \$223,292   |
| 022-084-002 | 2252 WHITE SANDS DR | KNIESEL ROBERT L & KELLI A TR                              |                        | 9713 CHELEN CT                | GRANITE BAY      | CA | 95746 | 5 | \$788,865   | \$157,773   |
| 022-111-003 | 602 LUCERNE WAY     | HELPERT GARY G TR & VU HELPERT LIV REV TR 11/20/01         |                        | 925 PEREGRINE CT              | SANTA CLARA      | CA | 95051 | 5 | \$213,335   | \$42,667    |
| 022-111-017 | 565 LUCERNE WAY     | ROKITTA FAM TR   |                        | 15759 OAK KNOLL COURT         | LOS GATOS        | CA | 95030 | 5 | \$3,091,700 | \$618,340   |
| 022-111-020 | 581 LUCERNE WAY     | FOEY SHIRLEY J TR & S J LIV REV TRUST 8/14/07              |                        | 32151 NARROW LN               | SCAPPOOSE        | OR | 97056 | 5 | \$268,844   | \$53,769    |
| 022-111-023 | 597 LUCERNE WAY     | ELSTOP MARK & ELSTOB ERIN CO TR                            |                        | 415 CROCKER AVE               | PACIFIC GROVE    | CA | 93950 | 5 | \$1,891,089 | \$378,218   |
| 022-123-013 | 802 LASSEN DR       | KNUTSON HARRY LOUIS III                                    |                        | 802 LASSEN DR                 | SOUTH LAKE TAHOE | CA | 96150 | 5 | \$833,634   | \$166,727   |
| 022-171-020 | 394 WEDELN CT       | RENALDI MARCIA TR  |                        | 49524 REDFORD WAY             | INDIO            | CA | 92201 | 5 | \$191,485   | \$38,297    |
| 022-171-021 | 392 WEDELN CT       | GLEIN RANDALL S & SHARON J TR                              |                        | 364 BLANCA AVE                | TAMPA            | FL | 33606 | 5 | \$2,293,011 | \$458,602   |
| 022-182-040 | 2078 SLALOM CT      | GREEN WILLIAM M & MARY M                                   |                        | PO BOX 800                    | SHINGLE SPRINGS  | CA | 95682 | 5 | \$684,569   | \$136,914   |
| 022-221-009 | 2028 GARMISH CT     | LAM KWOK H TR & LAURA R TR                                 |                        | 2161 GOLDORADO TRAIL          | EL DORADO        | CA | 95623 | 5 | \$641,924   | \$128,385   |
| 022-231-032 | 453 LIDO DR         | PANAYOTOU NICKITAS & NARIMANE H                            |                        | 2200 REDINGTON ROAD           | HILLSBOROUGH     | CA | 94010 | 5 | \$2,805,000 | \$561,000   |
| 022-232-014 | 2016 MARCONI WAY    | SCHUBERT ROBERT C & PATRICIA L                             |                        | 202 GLORIETTA BLVD            | ORINDA           | CA | 94563 | 5 | \$657,371   | \$131,474   |
| 022-241-011 | 1950 MARCONI WAY    | QVISTGAARD FAM TRUST 1/29/2002                             |                        | 4216 BOXELDER PLACE           | DAVIS            | CA | 95618 | 5 | \$2,195,000 | \$439,000   |
| 022-251-003 | 426 EMERALD DR      | MORRIS JIMMIE C TR & MELINDA L TR                          |                        | 827 ROJO WAY                  | GARDNERVILLE     | NV | 89460 | 5 | \$289,665   | \$57,933    |
| 022-251-006 | 412 EMERALD DR      | CALLAHAN HAROLD P SURV TR & FAM TR 10/19/01 (LE)           |                        | 1604 SIR FRANCIS DRAKE BLVD   | SAN ANSELMO      | CA | 94960 | 5 | \$237,188   | \$47,438    |
| 022-251-043 | 382 CRYSTAL CT      | SOKOLOFF IGOR & GALINA                                     |                        | 150 SAN MARCOS AVE            | SAN FRANCISCO    | CA | 94116 | 5 | \$814,460   | \$162,892   |
| 022-251-087 | 1990 KOKANEY WAY    | TOUCHE STEVEN DAVID TR & PERRI SUNDT TR                    |                        | 6256 PASEO TIERRA ALTA        | TUCSON           | AZ | 85715 | 5 | \$2,338,344 | \$467,669   |
| 022-261-002 | 315 BEACH DR        | GREEN BANKER CA LLC  |                        | 398 PRIMROSE RD FL 1          | BURLINGAME       | CA | 94010 | 5 | \$2,066,755 | \$413,351   |
| 022-261-003 | 305 BEACH DR        | GARNETT LINDA H TR & HARRISON EXEMPT TRUST FBO             |                        | 1710 GRANGER AVE              | LOS ALTOS        | CA | 94024 | 5 | \$1,692,803 | \$338,561   |
| 022-262-005 | 288 BEACH DR        | LAURSEN STEFAN   |                        | 2236 PARK PL STE D            | MINDEN           | NV | 89423 | 5 | \$1,501,291 | \$300,258   |
| 022-301-009 | 2045 ALOHA DR       | LARRY J RITCHIE FAMILY LIMITED PARTNERSHIP CA LP           |                        | 11878 AVE 328                 | VISALIA          | CA | 93291 | 5 | \$5,150,000 | \$1,030,000 |
| 022-301-010 | 2049 ALOHA DR       | TAHOE BELLA VISTA CA LLC                                   |                        | 1430 SAN RAYMUENDO RD         | HILLSBOROUGH     | CA | 94010 | 5 | \$1,457,500 | \$291,500   |
| 022-301-018 | 2064 ALOHA DR       | SPURRELL SANDRA A SURV TR & REV TR OF 02/08/2000           |                        | 2064 ALOHA DR                 | SOUTH LAKE TAHOE | CA | 96150 | 5 | \$1,500,000 | \$300,000   |
| 022-301-023 | 2046 ALOHA DR       | NELSEN KAREN TR  |                        | 1575 STERLING OAKS CT         | MORGAN HILL      | CA | 95037 | 5 | \$978,810   | \$195,762   |
| 022-301-026 | 477 CARSON CT       | KHATOONJIAN KATHERINE ANN TR & KA REV TR 6/16/17           |                        | 44444 EL MACERO DR            | EL MACERO        | CA | 95618 | 5 | \$898,000   | \$179,600   |
| 022-301-027 | 481 CARSON CT       | CARSON COURT A CA LLC                                      |                        | 9812 CARLTON COURT            | GRANITE BAY      | CA | 95746 | 5 | \$905,000   | \$181,000   |
| 022-301-037 | 2030 ALOHA DR       | WAGNER NICHOLAS J & BECKHAM RHONDA                         |                        | 2030 ALOHA DR                 | SOUTH LAKE TAHOE | CA | 96150 | 5 | \$901,634   | \$180,327   |
| 022-301-044 | 1966 DAGGETT CT     | BARSTOW KAREN TR & K 2005 REV TRUST                        |                        | 1966 DAGGETT CT               | SOUTH LAKE TAHOE | CA | 96150 | 5 | \$376,537   | \$75,307    |
| 022-301-045 | 1962 DAGGETT CT     | HOSKING JOANN H TR & LIV RESID TRUST                       |                        | PO BOX 689                    | GOLD HILL        | OR | 97525 | 5 | \$1,141,    |             |

| UZZ-381-002 | L/10 BEACH DR              | LOUVAIGUE DEDELL & JAMES FRANKS JR                            |                         | PU BOX 2271                    | 31A1E1INE        | NV | 899492 | 5 | \$2,490,211 | \$199,309   |
|-------------|----------------------------|---|-------------------------|--------------------------------|------------------|----|--------|---|-------------|-------------|
| 022-381-008 | 234 BEACH DR               | MCNAUGHTON FOY SCOTT TR & BARBARA G TR                        |                         | 2908 CORONA DR                 | DAVIS            | CA | 95616  | 5 | \$1,339,984 | \$267,997   |
| 022-381-011 | 218 BEACH DR               | VINCIQUERRA WAYNE D & SUSAN R TR                              | C/O COLO STEVEN         | 5002 CORONADO DR               | EL DORADO HILLS  | CA | 95762  | 5 | \$3,876,000 | \$775,200   |
| 022-381-016 | 241 BEACH DR               | KEYS 241 RENTAL CA LLC  |                         | 3585 WOODSIDE RD               | WOODSIDE         | CA | 94062  | 5 | \$4,101,927 | \$820,385   |
| 022-381-021 | 281 BEACH DR               | TERSIGNI TAHOE PROPERTIES LLC                                 |                         | 1830 HAMILTON AVE              | SAN JOSE         | CA | 95125  | 5 | \$2,019,633 | \$403,927   |
| 022-381-022 | 259 BEACH DR               | BEACH TANGO LLC DE LLC  |                         | 259 BEACH DRIVE                | SOUTH LAKE TAHOE | CA | 96150  | 5 | \$9,174,900 | \$1,834,980 |
| 022-391-004 | 2144 WHITE SANDS DR        | MARIETTI GARY L & BENITO VALERIE A TR                         |                         | 126 ESTATES DR                 | SANTA CRUZ       | CA | 95060  | 5 | \$3,076,241 | \$615,248   |
| 022-391-005 | 2140 WHITE SANDS DR        | FAUPS DE LLC  |                         | 548 MARKET STREET #55801       | SAN FRANCISCO    | CA | 94104  | 5 | \$5,750,000 | \$1,150,000 |
| 022-391-006 | 2141 WHITE SANDS DR        | SOUZA WILLIAM D & MCCLELLAND GREGORY D & MERILYN H            |                         | 23731 EL TORO RD STE A         | LAKE FOREST      | CA | 92630  | 5 | \$2,645,146 | \$529,029   |
| 022-391-008 | 2151 WHITE SANDS DR        | GEISLER ERIC GERARD TR & JENNIFER ANN TR                      |                         | 6060 ROCK CREEK CT             | RENO             | NV | 89511  | 5 | \$2,208,427 | \$441,685   |
| 022-401-006 | 2214 WHITE SANDS DR        | GIUSTI MARCIA CUSTODIAN & THOMPSON YOLANDA CUSTODI            |                         | 121 GOLD RUSH CT               | CLAYTON          | CA | 94517  | 5 | \$613,876   | \$122,775   |
| 022-401-013 | 2168 WHITE SANDS DR        | GOEJENOLA PAUL A & GOJEJANA LINDA A TR                        |                         | 13941 CAMINO BARCO             | SARATOGA         | CA | 95070  | 5 | \$2,316,340 | \$463,268   |
| 022-402-007 | 2209 WHITE SANDS DR        | MALMED LEON TR & PATRICIA TR                                  |                         | 2209 WHITE SANDS DR            | SOUTH LAKE TAHOE | CA | 96150  | 5 | \$1,478,702 | \$295,740   |
| 022-402-008 | 2219 WHITE SANDS DR        | TSANG AUDREY KON LO & THEODORE HING JOE                       |                         | 16115 GREENWOOD LN             | MONTE SERENO     | CA | 95030  | 5 | \$902,130   | \$180,426   |
| 022-431-006 | 16 LIGHTHOUSE SHORES DR    | MENDEZ MARCO A TR & M A QLFD PERS RES TRUST                   |                         | 747 ARROYO RD                  | LOS ALTOS        | CA | 94024  | 5 | \$5,662,645 | \$1,132,529 |
| 023-102-041 | 2107 LUKINS WAY            | JUNQUEIRO STEVEN A TR & THERESA F TR                          |                         | 3321 VANCOUVER DR              | MODESTO          | CA | 95355  | 5 | \$753,518   | \$150,704   |
| 023-102-046 | 2127 LUKINS WAY            | MAREK JOHN T JR TR & JT JR & MK REV TR/10/05                  |                         | 1960 CAZADERO HWY              | CAZADERO         | CA | 95421  | 5 | \$245,233   | \$49,047    |
| 023-102-051 | 2147 LUKINS WAY            | TESSIER G ANTHONY JR TR & ELLEENE K TR                        |                         | 4432 THOR WAY                  | SACRAMENTO       | CA | 95864  | 5 | \$145,332   | \$29,066    |
| 023-111-052 | 2038 LUKINS WAY            | SHORT DAVID E TR & JULIE A TR                                 |                         | 1505 EUREKA RD                 | ROSEVILLE        | CA | 95661  | 5 | \$120,805   | \$24,161    |
| 023-152-005 | 735 GLORENE AVE            | MCCARTHY KEITH D & DENISE M CO TR                             |                         | 735 GLORENE AVE                | SOUTH LAKE TAHOE | CA | 96150  | 5 | \$921,217   | \$184,243   |
| 023-271-020 | 2056 12TH ST               | BRAYTON SARAH JANE & ERICSON BRADLEY LOGAN                    |                         | 2056 12TH STREET               | SOUTH LAKE TAHOE | CA | 96150  | 5 | \$1,475,000 | \$295,000   |
| 023-341-021 | 0                          | LAMBERT ANDREW JON  |                         | 11 PARK CIRCLE                 | SAUSALITO        | CA | 94965  | 5 | \$510,000   | \$102,000   |
| 023-451-006 | 719 TATA LN                | CLINTON ROSE MARY & LUCKIE TAMI RENE                          |                         | 719 TATA LN                    | SOUTH LAKE TAHOE | CA | 96150  | 5 | \$1,504,500 | \$300,900   |
| 023-461-001 | 745 TATA LN                | BENNETT EDWARD & CYNTHIA                                      |                         | 21025 PASEO MONTANA            | MURRIETA         | CA | 92562  | 5 | \$1,770,493 | \$354,099   |
| 023-461-013 | 795 TATA LN                | ABBOTT SUSAN  |                         | PO BOX 10526                   | SOUTH LAKE TAHOE | CA | 96158  | 5 | \$163,178   | \$32,636    |
| 023-462-009 | 786 TATA LN                | BLADES JANELLE A TR   |                         | 3846 MADERA ST                 | PINOLE           | CA | 94564  | 5 | \$265,631   | \$53,126    |
| 023-471-023 | 1934 10TH ST               | SITES MADISON MARIE & HOFFMAN SHAWN PATRICK                   |                         | 1934 10TH STREET               | SOUTH LAKE TAHOE | CA | 96150  | 5 | \$510,000   | \$102,000   |
| 023-482-009 | 956 TATA LN                | GOLDAMMER ERIK  |                         | 956 TATA LANE                  | SOUTH LAKE TAHOE | CA | 96150  | 5 | \$469,171   | \$93,834    |
| 023-491-003 | 629 CLEMENT ST             | XIAO KEVIN & MA YEFEI   |                         | 310 SCHROEDER STREET           | SUNNYVALE        | CA | 94085  | 5 | \$1,110,000 | \$222,000   |
| 023-541-002 | 625 TAHOE ISLAND DR        | BAILEY DAVID H TR & LINDA J TR                                |                         | 35 TODD CT                     | ALAMO            | CA | 94507  | 5 | \$987,014   | \$197,403   |
| 023-542-014 | 600 TAHOE ISLAND DR        | MASELLI DOUGLAS L & SINGLER DUSTIN R                          |                         | 600 TAHOE ISLAND DR            | SOUTH LAKE TAHOE | CA | 96150  | 5 | \$298,670   | \$59,734    |
| 023-551-015 | 653 TAHOE ISLAND DR        | WHITTEN ANDREW C TR & CARLA W TR                              |                         | 8232 THORNTREE DR              | SCOTTSDALE       | AZ | 85266  | 5 | \$1,065,000 | \$213,000   |
| 023-551-016 | 649 TAHOE ISLAND DR        | CELENTANO PAUL JOSEPH & MARIA BEATRICE TR                     |                         | 16 ACACIA LANE                 | REDWOOD CITY     | CA | 94062  | 5 | \$1,280,100 | \$256,020   |
| 023-561-011 | 739 PATRICIA LN            | ST MICHEL MICHAEL TR & MICHEL LUCINDA TR                      |                         | 739 PATRICIA LN                | SOUTH LAKE TAHOE | CA | 96150  | 5 | \$224,308   | \$44,862    |
| 023-591-005 | 544 GARDNER ST             | NGUYEN ANDREW K TR & VU MINHDIU T TR                          |                         | 21150 HAZELBROOK DR            | CUPERTINO        | CA | 95014  | 5 | \$720,440   | \$144,088   |
| 023-591-008 | 556 GARDNER ST             | PENCE RICHARD DON TR & COLLEEN C PENCE TR                     |                         | 556 GARDNER ST                 | SOUTH LAKE TAHOE | CA | 96150  | 5 | \$68,311    | \$13,662    |
| 023-623-010 | 1804 13TH ST               | WALKER SETH JONATHAN & APRIL ANN                              |                         | 1804 13TH STREET               | SOUTH LAKE TAHOE | CA | 96150  | 5 | \$569,160   | \$113,832   |
| 023-731-003 | 2360 CALIFORNIA AVE        | LEDESMA MICHAEL & CAROL L                                     |                         | PO BOX 16312                   | SOUTH LAKE TAHOE | CA | 96151  | 5 | \$264,078   | \$52,816    |
| 023-781-006 | 809 SOUTH SHORE DR         | JONES DAVID M & ALICE H                                       |                         | 809 SOUTH SHORE DR             | SOUTH LAKE TAHOE | CA | 96150  | 5 | \$885,277   | \$177,055   |
| 023-782-007 | 895 LAPHAM DR              | BRAUN ANTHONY WAYSON & KUNIBE BRAUN CHARLENE R                |                         | 895 LAPHAM DR                  | SOUTH LAKE TAHOE | CA | 96150  | 5 | \$250,615   | \$50,123    |
| 023-891-011 | 2215 TAHOE VISTA DR        | FONG DENNIS E & MARTIN TR                                     |                         | 37 KILLBEGGS RD                | ALAMEDA          | CA | 94502  | 5 | \$206,576   | \$41,315    |
| 023-902-005 | 2281 CALIFORNIA AVE        | DOAK JEFFERY M TR & NANCY L TR                                |                         | 10916 MISSION LAKES AVE        | LAS VEGAS        | NV | 89134  | 5 | \$395,053   | \$79,011    |
| 023-911-013 | 786 JEFFERY ST             | SIMPKINS MCGEE BRENDA LYNN                                    |                         | 786 JEFFERY ST                 | SOUTH LAKE TAHOE | CA | 96150  | 5 | \$1,270,688 | \$254,138   |
| 023-912-008 | 783 JEFFERY ST             | PUSZKA JOANNA   |                         | PO BOX 16860                   | SOUTH LAKE TAHOE | CA | 96151  | 5 | \$375,956   | \$75,191    |
| 025-031-013 | 3633 MACKEDIE WAY          | JLSC FAMILY PARTNERSHIP CA LP                                 |                         | 412 VILLA TER                  | SAN MATEO        | CA | 94401  | 5 | \$977,749   | \$195,550   |
| 025-032-018 | 3606 MACKEDIE WAY          | HAMMOND PAUL TR   |                         | 236 CLIPPER STREET             | SAN FRANCISCO    | CA | 94114  | 5 | \$1,366,800 | \$273,360   |
| 025-201-019 | 1407 WALKUP RD             | STRAIN R ANDREW TR & KATHERINE L TR                           |                         | PO BOX 822                     | ZEPHYR COVE      | NV | 89448  | 5 | \$481,862   | \$96,372    |
| 025-214-001 | 1381 WALKUP RD             | OBERHAUSER CHRISTIAN & ANDERSON MARK R                        |                         | 1381 WALKUP RD                 | SOUTH LAKE TAHOE | CA | 96150  | 5 | \$750,000   | \$150,000   |
| 025-214-003 | 3350 WOODLAND RD           | LEW MICHAEL & TSAI LYNETTE                                    |                         | 55 S KUKUI ST APT 1403         | HONOLULU         | HI | 96813  | 5 | \$501,125   | \$100,225   |
| 025-223-007 | 1363 SUSIE LAKE RD         | LITTLEFIELD ANDREW W  |                         | PO BOX 1414                    | ZEPHYR COVE      | NV | 89448  | 5 | \$919,850   | \$183,970   |
| 025-231-008 | 1316 HERBERT AVE           | WEBSTER DONALD L JR & BAIK MARY ANN                           |                         | 614 HARBOR COLONY CT           | REDWOOD CITY     | CA | 94065  | 5 | \$633,077   | \$126,615   |
| 025-231-010 | 1308 ANGORA LAKE RD        | ELIAS JOEL & IRMA   |                         | 120 GREENBANK DR               | LAFAYETTE        | CA | 94549  | 5 | \$821,077   | \$164,215   |
| 025-282-016 | 3738 ROCKWOOD RD           | WILLIAMS ROGER S CO TR & MICHELLE C CO TR                     |                         | 3738 ROCKWOOD DR               | SOUTH LAKE TAHOE | CA | 96150  | 5 | \$477,372   | \$95,474    |
| 025-291-003 | 1278 GILMORE LAKE RD       | ZHAI HUILING & CAI JIAN                                       |                         | 50 ROXBURY LANE                | SAN MATEO        | CA | 94402  | 5 | \$1,734,000 | \$346,800   |
| 025-371-003 | 1191 JOBS PEAK DR          | SIN HON CHAY CO TR & TRAN MAI NGOC CO TR                      |                         | PO BOX 13486                   | SOUTH LAKE TAHOE | CA | 96151  | 5 | \$487,208   | \$97,442    |
| 025-371-004 | 1193 JOBS PEAK DR          | CASTILLO GONZALEZ KAREM & ABIGAIL GONZALEZ                    |                         | P O BOX 19985                  | SOUTH LAKE TAHOE | CA | 96151  | 5 | \$450,356   | \$90,071    |
| 025-376-008 | 1229 MONUMENT DR           | VIAU ELSIE DORIS TR & LIV TRUST DATED 4/24/12                 |                         | 5452 SANDPIPER LN              | LAS VEGAS        | NV | 89146  | 5 | \$99,272    | \$19,854    |
| 025-483-009 | 1624 GLENWOOD WAY          | ALONZO JOHN L TR  |                         | 1624 GLENWOOD WAY              | SOUTH LAKE TAHOE | CA | 96150  | 5 | \$276,954   | \$55,391    |
| 025-541-010 | 3511 BODE DR               | WINKELMAN KARL B & KATHERINE R CO TR                          |                         | 16395 ROSELEAF CT              | LOS GATOS        | CA | 95032  | 5 | \$613,532   | \$122,706   |
| 025-542-008 | 3482 BODE DR               | RAMIREZ PETER & SELINA  |                         | 1215 LOMOND TR                 | EL DORADO HILLS  | CA | 95762  | 5 | \$1,224,000 | \$244,800   |
| 025-543-005 | 3548 BODE DR               | KLEBIG MICHAEL J & JANIS L                                    |                         | 5047 AMETHYST CT               | SAN JOSE         | CA | 95136  | 5 | \$803,077   | \$160,615   |
| 025-544-010 | 3470 APRIL DR              | BREWER DANIEL   |                         | PO BOX 17922                   | SOUTH LAKE TAHOE | CA | 96151  | 5 | \$1,096,500 | \$219,300   |
| 025-554-005 | 3552 RALPH DR              | HAASE CAROL S TR & C LIV REV TR 4/08/1993                     |                         | 3552 RALPH DR                  | SOUTH LAKE TAHOE | CA | 96150  | 5 | \$395,211   | \$79,042    |
| 025-580-009 | 3624 NEEDLE PEAK RD        | NEL GUILLIAM  |                         | PO BOX 19531                   | SOUTH LAKE TAHOE | CA | 96151  | 5 | \$300,632   | \$60,126    |
| 025-580-015 | 1400 SKI RUN BLVD          | REINHARD TRACY L  |                         | PO BOX 14464                   | SOUTH LAKE TAHOE | CA | 96151  | 5 | \$184,138   | \$36,828    |
| 025-633-013 | 3477 RANCHO CIR            | HETHERTON JONATHAN P & WENCK HETHERTON KELARY                 |                         | 3477 RANCHO CIR                | SOUTH LAKE TAHOE | CA | 96150  | 5 | \$795,000   | \$159,000   |
| 025-770-002 | 1460 FRONTIER CT           | RAUT DEVENDRA & GOTMARE SONALI                                |                         | 21150 MARIA LN                 | SARATOGA         | CA | 95070  | 5 | \$1,124,418 | \$224,884   |
| 025-770-004 | 1440 FRONTIER CT           | DELANEY KATHERINE ELIZABETH TR                                |                         | 764 CRANE AVE                  | STOCKTON         | CA | 94404  | 5 | \$1,336,380 | \$267,276   |
| 026-022-014 | 771 LAKEVIEW AVE           | TETZ LAURIE TR  |                         | PO BOX 100                     | DEER PARK        | CA | 94576  | 5 | \$1,278,942 | \$255,788   |
| 026-025-003 | 768 LAKEVIEW AVE           | FERNANDEZ P & A M 2022 REV TRUST 8/2/2022                     |                         | 1579 26TH AVENUE               | SAN FRANCISCO    | CA | 94122  | 5 | \$433,175   | \$86,635    |
| 026-026-026 | 3149 FRESNO AVE            | SRIRO ANDREW I TR & FAM TRUST 8/14/09 C/O TAHOE PROPERTY MGMT | C/O TAHOE PROPERTY MGMT |                                | SOUTH LAKE TAHOE | CA | 96150  | 5 | \$729,809   | \$145,962   |
| 026-033-015 | 881 LAKEVIEW AVE           | MALIK ROMAN TR & NORMA JEAN TR                                |                         | 2298 HERITAGE DR               | SAN JOSE         | CA | 95124  | 5 | \$1,706,465 | \$341,293   |
| 026-038-003 | 842 MERCED AVE             | FARNWORTH DON & JEONG SARAH TR                                |                         | 1436 HIDDEN BRIDGE RD          | EL DORADO HILLS  | CA | 95762  | 5 | \$447,465   | \$89,493    |
| 026-042-002 | 3192 PASADENA AVE          | TEIXEIRA DEAN M TR & NANCY M TR                               |                         | 1975 PRELL DR                  | SANTA MARIA      | CA | 93454  | 5 | \$1,706,086 | \$341,217   |
| 026-042-008 | 949 LAKEVIEW AVE           | COCKRUM DANIEL J TR & SUZANNE M TR                            |                         | PO BOX 2348                    | TRUCKEE          | CA | 96160  | 5 | \$905,182   | \$181,036   |
| 026-043-017 | 981 LAKEVIEW AVE           | CERESKE WILLIAM H JR CO TR & JEANETTE A CO TR                 |                         | 1193 S KINGFISHER WAY          | BOISE            | ID | 83709  | 5 | \$1,340,490 | \$268,098   |
| 026-046-014 | 988 LAKEVIEW AVE           | PHILLIPS DAVID & MARIA ISABEL                                 |                         | 4146 MARSTON LANE              | SANTA CLARA      | CA | 95054  | 5 | \$1,173,000 | \$234,600   |
| 026-046-018 | 992 LAKEVIEW AVE           | AMIRI SERIO TR  |                         | 11040 BOLLINGER CANYON RD ES10 | SAN RAMON        | CA | 94582  | 5 | \$566,132   | \$113,226   |
| 026-061-013 | 3131 BELLEVUE AVE          | PLATCHECK TERRY SCOTT   |                         | 1618 ALABAMA ST                | SAN FRANCISCO    | CA | 94110  | 5 | \$3,250,000 | \$650,000   |
| 026-061-014 | 3127 BELLEVUE AVE          | GONZALO MARGOS G & BETTY S CO TR                              |                         | 6517 CRYSTAL SPRINGS DRIVE     | SAN JOSE         | CA | 95120  | 5 | \$2,397,000 | \$479,400   |
| 026-063-013 | 765 ALAMEDA AVE            | GOGUELY HERVE TR  |                         | 2911 OAKLAND AVE               | SOUTH LAKE TAHOE | CA | 96150  | 5 | \$1,224,000 | \$244,800   |
| 026-079-002 | 885 SAN FRANCISCO AVE      | CHRISTIANSEN ROBERT A & TERRI J TR & BUCK ROBERT W            |                         | 25605 WILLOW POND LANE         | LOS ALTOS HILLS  | CA | 94022  | 5 | \$214,732   | \$42,946    |
| 026-094-026 | 724 MODESTO AVE            | HONOROF ANETA   |                         | P O BOX 18109                  | SOUTH LAKE TAHOE | CA | 96151  | 5 | \$793,820   | \$158,764   |
| 026-094-042 | 737 LOS ANGELES AVE        | WANG WEIJA TR & LIU JING TR                                   |                         | 1214 RUPPELL PL                | CUPERTINO        | CA | 95014  | 5 | \$486,670   | \$97,334    |
| 026-096-003 | 688 LOS ANGELES AVE        | MCCORMACK BRUCE TR & B TRUST                                  |                         | 1581 BRENTWOOD CT              | WALNUT CREEK     | CA | 94595  | 5 | \$379,996   | \$75,999    |
| 026-105-022 | 3067 SACRAMENTO AVE UNIT 7 | SCHLAEFER JOHN B & LIN JOAN Y                                 |                         | 17510 MANZANITA DR             | MORGAN HILL      | CA | 95037  | 5 | \$669,867   | \$133,973   |
| 026-109-018 | 873 STANFORD AVE           | BEZEMER JASON R & PEREZ JANELLE M TR                          |                         | 5541 MONTE CLAIRE LN           | LOOMIS           | CA | 95650  | 5 | \$661,980   | \$132,396   |
| 026-123-011 | 730 STANFORD AVE           | KASPAR MICHAEL F & CHRISTOPHER C                              |                         | 107 CLARK AVE                  | SANTA CRUZ       | CA | 95060  | 5 | \$591,076   | \$118,215   |
| 026-146-018 | 2907 OAKLAND AVE           | CASTEJON BRUNO TR & VALERIE TR                                |                         | 2907 OAKLAND AVE               | SOUTH LAKE TAHOE | CA | 96150  | 5 | \$604,235   | \$120,847   |

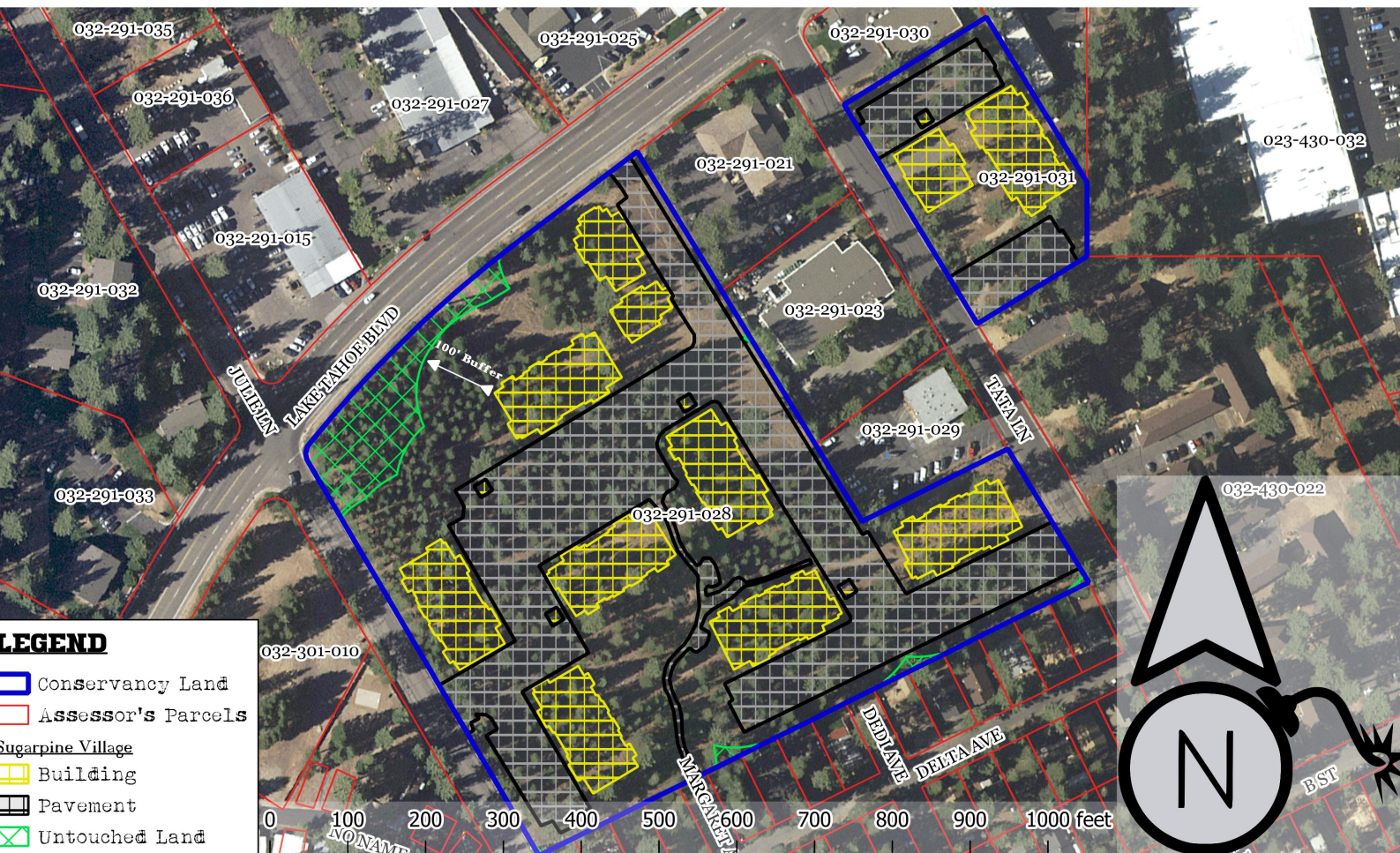
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|-------------|-------------------------------|--|------------------------------|-----------------------------|------------------|----|-------|----|--------------|-----------|
| 027-344-050 | 1209 GLENWOOD WAY             | MORGAN BRIAN MICHAEL TR                                      |                              | 16755 VISTA DEL VALLE COURT | MORGAN HILL      | CA | 95037 | 5  | \$723,180    | \$144,636 |
| 027-371-013 | 940 BAL BIIJOU RD             | FREDERICKSON ROBERT B TR & KATHLEEN M TR C/O SMITH STEVEN    | C/O SMITH STEVEN             |                             | PARK CITY        | UT | 84098 | 5  | \$494,893    | \$98,979  |
| 027-451-007 | 3535 LAKE TAHOE BLVD UNIT 607 | TWENTY TWENTY NINETY LLC CA LLC DBA 20 20 90 VACATION RENTAL | DBA 20 20 90 VACATION RENTAL |                             | SAN DIEGO        | CA | 92169 | 5  | \$655,785    | \$131,157 |
| 027-491-007 | 3535 LAKE TAHOE BLVD UNIT 619 | TAYLOR GREG STERLING & TAYLOR GREG STERLING                  |                              | 2654 DEL SUR CT             | MINDEN           | NV | 89423 | 5  | \$2,506,189  | \$501,238 |
| 027-521-007 | 3535 LAKE TAHOE BLVD UNIT 642 | AZIMI SHAHRAM TR & REV TRUST OF 11/1/91                      |                              | 26770 DURHAM WAY            | HAYWARD          | CA | 94542 | 5  | \$345,208    | \$69,042  |
| 027-551-008 | 3535 LAKE TAHOE BLVD UNIT 632 | FOSTER KIMBERLY M TR & BLAKE SEAN H & JENNIFER M C           |                              | 11303 LAKE RIM ROAD         | SAN DIEGO        | CA | 92131 | 5  | \$1,239,382  | \$247,876 |
| 028-051-010 | 3737 TERRACE DR               | PAC 1 CA 2020 LLC CA LLC                                     |                              | 18 EAST 4TH ST SUITE 902    | CINCINNATI       | OH | 45202 | 5  | \$1,728,900  | \$345,780 |
| 028-052-012 | 3692 TERRACE DR               | BARRAGAN RAYMOND JR  |                              | 3125 E ROBERTSON BLVD STE A | CHOWCHILLA       | CA | 93610 | 5  | \$1,850,652  | \$370,130 |
| 028-061-027 | 3932 BRIDLE RD                | LIBOV KSENIA & ANDREY  |                              | 1956 SAN CARLOS AVE         | SAN CARLOS       | CA | 94070 | 5  | \$649,546    | \$129,909 |
| 028-070-010 | 3933 BRIDLE RD                | BUKOV KONSTANTIN DAMIANOV                                    |                              | 9 BELL WAVER WAY            | OAKLAND          | CA | 94619 | 5  | \$1,120,000  | \$224,000 |
| 028-070-026 | 1392 BONITA RD                | BAMA TWO FL LLC C/O STEPHENS JOHN                            | C/O STEPHENS JOHN            |                             | TREASURE ISLAND  | FL | 33706 | 5  | \$1,044,000  | \$208,800 |
| 028-070-027 | 1323 KELLER RD                | ANGELL JOHN B & SIDHU JAISIMARAN                             |                              | 2574 WEST MEMORY LANE       | PORTERVILLE      | CA | 93257 | 5  | \$1,213,800  | \$242,760 |
| 028-090-093 | 1325 WILDWOOD AVE             | BURGESS STUART & HRDLICKA ZUZANA K                           |                              | 1717 SE 7 STREET            | FORT LAUDERDALE  | FL | 33316 | 5  | \$4,300,000  | \$860,000 |
| 028-100-030 | 1318 KNOLL LN                 | AVERY TIMOTHY K & SANDRA F                                   |                              | 2644 TORREY PINES DR        | BRENTWOOD        | CA | 94513 | 5  | \$745,518    | \$149,104 |
| 028-100-046 | 1321 SKI RUN BLVD             | BROWN MARK J & MELISSA M TR                                  |                              | 1600 LOS ALTOS COURT        | EL DORADO HILLS  | CA | 95762 | 5  | \$2,888,000  | \$577,600 |
| 028-122-009 | 3730 RUBY WAY UNIT A          | SMITH JONATHAN   |                              | 3730 RUBY WAY UNIT A        | SOUTH LAKE TAHOE | CA | 96150 | 5  | \$382,265    | \$76,453  |
| 028-123-007 | 3758 OVERLOOK CT              | BOREN PHILIP JAMES III                                       |                              | 3758 OVERLOOK COURT         | SOUTH LAKE TAHOE | CA | 96150 | 5  | \$1,224,000  | \$244,800 |
| 028-131-002 | 1396 KELLER RD                | SAIDIN ZAIN K TR & SPETZ JOANNE TR                           |                              | 545 EDINBURGH ST            | SAN MATEO        | CA | 94402 | 5  | \$778,685    | \$155,737 |
| 028-131-006 | 1381 TIMBER LAKE PL           | MILLIKEN ENTERPRISES CA LP                                   |                              | 111 NEWTON DR               | BURLINGAME       | CA | 94010 | 5  | \$727,500    | \$145,500 |
| 028-153-012 | 4210 SADDLE RD                | YOKOTA RONALD TR & BONITA A T R                              |                              | 96 SAN BENANCIO RD          | SALINAS          | CA | 93908 | 5  | \$865,578    | \$173,116 |
| 028-154-003 | 4027 CREST RD                 | FRIDLAND ALEXANDER TR & PETROSOVA NATALIA TR                 |                              | 640 RIVERA ST               | SAN FRANCISCO    | CA | 94116 | 5  | \$1,255,063  | \$251,013 |
| 028-162-034 | 4228 SADDLE RD                | FANUCCI SHELBA R SURV TR                                     |                              | 1415 LOVALL VALLEY RD       | SONOMA           | CA | 95476 | 5  | \$289,945    | \$57,989  |
| 028-163-003 | 3961 CREST RD                 | DICKERSON JUNE D TR & REV TR OF 08/02/01                     |                              | 3961 CREST RD               | SOUTH LAKE TAHOE | CA | 96150 | 5  | \$397,176    | \$79,435  |
| 028-171-010 | 1322 KELLER RD                | STEIN ANATOLI & SIMA   |                              | 7 COWELL LN                 | ATHERTON         | CA | 94027 | 5  | \$630,500    | \$126,100 |
| 028-190-031 | 3854 REGINA RD                | TAHOE PALACE ONE CA LLC                                      |                              | 25521 ALTAMONT RD           | LOS ALTOS HILLS  | CA | 94022 | 5  | \$1,491,489  | \$298,298 |
| 028-301-060 | 4042 CREST RD                 | FURGERSON SCOTT P & PAULA L TR                               |                              | 1570 RIVERVIEW CIR E        | RIPON            | CA | 95366 | 5  | \$1,400,000  | \$280,000 |
| 028-301-062 | 4041 CREST RD                 | REVZINA L & REVZINE L FAMILY TR                              |                              | 1631 HOLT AVENUE            | LOS ALTOS        | CA | 94024 | 5  | \$802,825    | \$160,565 |
| 028-320-012 | 3852 PRIVATE RD               | MARGERUM TERRY R TR & CHRISTINE TR                           |                              | 990 EUCLID AVE              | BERKELEY         | CA | 94708 | 5  | \$282,802    | \$56,560  |
| 029-072-001 | 840 PARK AVE                  | GIANNOTTI FRANCES JOANNE & DOMINIKUS JAIMIE                  |                              | PO BOX 16070                | SOUTH LAKE TAHOE | CA | 96151 | 5  | \$216,290    | \$43,258  |
| 029-072-004 | 4020 LAKESHORE BLVD           | VANACHT FILIP JMCM TR & LISA SHARPLEY TR                     |                              | 633 HOLLINGSWORTH DR        | LOS ALTOS        | CA | 94022 | 5  | \$968,307    | \$193,661 |
| 029-096-023 | 3912 CEDAR DR                 | HUGHES TIMOTHY H TR & STACI D TR                             |                              | 3912 CEDAR AVE              | SOUTH LAKE TAHOE | CA | 96150 | 5  | \$734,000    | \$146,800 |
| 029-101-001 | 3811 BEACH RD                 | JAMIESON TOM TR & TERRI TR                                   |                              | PO BOX 82515                | BAKERSFIELD      | CA | 93380 | 5  | \$1,323,326  | \$264,665 |
| 029-101-013 | 3889 BEACH RD                 | 3889 BEACH ROAD CA LLC                                       |                              | PO BOX 354                  | ORINDA           | CA | 94563 | 5  | \$1,796,182  | \$359,236 |
| 029-101-020 | 3755 BEACH RD                 | KALETA PAUL FREDERICK TR & VICKY ELIZABETH B TR              |                              | PO BOX 2508                 | STATELINE        | NV | 89449 | 5  | \$1,247,013  | \$249,403 |
| 029-181-031 | 3809 PIONEER TRL              | WADE TERENCE C & DARLENE KAREN                               |                              | 1188 BISHOP ST #3205        | HONOLULU         | HI | 96813 | 5  | \$309,445    | \$61,889  |
| 029-352-013 | 1026 MOSS RD                  | MEKRAKSEREE CHIRAWAT   |                              | 904 PATRICIA LANE           | SOUTH LAKE TAHOE | CA | 96150 | 5  | \$452,005    | \$90,401  |
| 029-374-006 | 3704 ROCKY POINT RD           | KOPANOS SANDRA LEE   |                              | PO BOX 32504                | SAN JOSE         | CA | 95152 | 5  | \$339,502    | \$67,900  |
| 029-404-003 | 1129 KELLER RD                | BARAJAS JOEL MEDINA & LOPEZ ITZAYARY ACOSTA                  |                              | PO BOX 13098                | SOUTH LAKE TAHOE | CA | 96151 | 5  | \$453,448    | \$90,690  |
| 029-603-001 | 4087 SUNRISE LN               | QUEIROLO SILVIO J TR & QUEIROLO SILVIO J TR & LIND           |                              | PO BOX 802                  | HOPLAND          | CA | 95449 | 5  | \$966,003    | \$193,201 |
| 029-604-002 | 4081 GREENWOOD RD             | DE LA FUENTE VALENTIN SHAUN                                  |                              | 855 FOLSOM ST #541          | SAN FRANCISCO    | CA | 94107 | 5  | \$2,050,000  | \$410,000 |
| 029-604-020 | 4046 SUNRISE LN               | HOZZ JASON L TR & JULIE G TR                                 |                              | 325 MUJR DR                 | SOQUEL           | CA | 95073 | 5  | \$320,071    | \$64,014  |
| 029-604-024 | 4070 SUNRISE LN               | TURNER JULIE ANN & KENNETH M TR                              |                              | 1348 MILLBRAE AVE           | MILLBRAE         | CA | 94030 | 5  | \$1,041,619  | \$208,324 |
| 029-605-007 | 4043 AZURE AVE                | HASMAN NORMAN & SHIH ESTHER                                  |                              | 35 28TH AVENUE 101          | SAN MATEO        | CA | 94403 | 5  | \$2,150,000  | \$430,000 |
| 029-610-010 | 4104 AZURE AVE                | WILLIAMS KIRK W TR & K W REV TRUST                           |                              | 32504 RIVER RD              | SOLEDAD          | CA | 93960 | 5  | \$1,339,713  | \$267,943 |
| 029-710-008 | UNASSIGNED                    | GONDOLA VISTA DEVE COMPANY LLC                               |                              | PO BOX 121                  | ZEPHYR COVE      | NV | 89448 | 5  | \$1,598,835  | \$319,767 |
| 029-710-010 | UNASSIGNED                    | GONDOLA VISTA DEVE COMPANY LLC                               |                              | PO BOX 121                  | ZEPHYR COVE      | NV | 89448 | 5  | \$1,598,835  | \$319,767 |
| 029-710-012 | UNASSIGNED                    | GONDOLA VISTA DEVE COMPANY LLC                               |                              | PO BOX 121                  | ZEPHYR COVE      | NV | 89448 | 5  | \$1,598,835  | \$319,767 |
| 029-710-014 | UNASSIGNED                    | GONDOLA VISTA DEVE COMPANY LLC                               |                              | PO BOX 121                  | ZEPHYR COVE      | NV | 89448 | 5  | \$1,598,835  | \$319,767 |
| 029-710-016 | UNASSIGNED                    | GONDOLA VISTA DEVE COMPANY LLC                               |                              | PO BOX 121                  | ZEPHYR COVE      | NV | 89448 | 5  | \$1,598,835  | \$319,767 |
| 030-352-008 | 3702 VERDON LN                | BOOKER RACHEL DEANN  |                              | PO BOX 14149                | SOUTH LAKE TAHOE | CA | 96151 | 5  | \$972,500    | \$194,500 |
| 030-363-012 | 3832 WOODS AVE                | BUROLA GLORIA DEMAY TR & G D REV TRUST 12/13/17              |                              | 3832 WOODS AVE              | SOUTH LAKE TAHOE | CA | 96150 | 5  | \$286,654    | \$57,331  |
| 030-363-014 | 3822 WOODS AVE                | SERRANO MAURILLO & OPELIA                                    |                              | P O BOX 2382                | SALINAS          | CA | 93902 | 5  | \$995,000    | \$199,000 |
| 030-401-001 | 3914 SADDLE RD                | PAC 23 CA 2021 LLC CA LLC                                    |                              | 18 E 4TH ST                 | CINCINNATI       | OH | 45202 | 5  | \$2,856,000  | \$571,200 |
| 030-401-003 | 3930 SADDLE RD                | HOLDEN BRENT C CO TR & KATHRYN G CO TR                       |                              | 5251 PEARCE DR              | HUNTINGTON BEACH | CA | 92649 | 5  | \$400,354    | \$80,071  |
| 030-401-005 | 3946 SADDLE RD                | HOFFMAN ROBERT B & ROBERT B & LINDA J                        |                              | 5758 RIVER BIRCH DR         | RENO             | NV | 89511 | 5  | \$195,835    | \$39,167  |
| 030-581-003 | 1474 JUNE WAY                 | INDIANOS CATHERINE R TR                                      |                              | PO BOX 17173                | SOUTH LAKE TAHOE | CA | 96151 | 5  | \$248,372    | \$49,674  |
| 031-044-002 | 1045 TRUCKEE DR               | KUDEY EDMUND A & KRISTINE M                                  |                              | 20 NORTHVIEW CT             | DANVILLE         | CA | 94506 | 5  | \$319,561    | \$63,912  |
| 031-076-012 | 2569 CHRIS AVE                | BOONE SCOTT C  |                              | 2055 CENTER ST APT 218      | BERKELEY         | CA | 94704 | 5  | \$612,000    | \$122,400 |
| 031-095-005 | 1079 BLUE LAKE AVE            | JOHNSON KENT   |                              | PO BOX 17691                | SOUTH LAKE TAHOE | CA | 96151 | 5  | \$198,668    | \$39,734  |
| 031-122-006 | 2616 WILLIAM AVE              | PALFY KURT N TR & FAM TRUST U/T/A 3/01/13                    |                              | 14932 BLAKELY WAY           | ALEDO            | TX | 76008 | 5  | \$212,996    | \$42,599  |
| 031-123-012 | 2581 ARMSTRONG AVE            | HAM LIVING TRUST   |                              | 2129 OSPREY DRIVE           | FOLSOM           | CA | 95630 | 5  | \$601,800    | \$120,360 |
| 031-123-014 | 2573 ARMSTRONG AVE            | JOICE TERRI L & STEADMAN TIMOTHY L                           |                              | 3313 ARISTIDES CT           | MODESTO          | CA | 95355 | 5  | \$289,167    | \$57,833  |
| 031-143-014 | 2493 BERTHA AVE               | LOGE ALAIN & SANTANE SANDRA                                  |                              | 911 HAN ST                  | SOUTH LAKE TAHOE | CA | 96150 | 5  | \$343,072    | \$68,614  |
| 031-162-020 | 2707 KNOX AVE                 | BUECKER ANDREW WILLIAM & AMIE JO TR                          |                              | 1170 IDYLBERRY ROAD         | SAN RAFAEL       | CA | 94903 | 5  | \$589,000    | \$117,800 |
| 031-174-006 | 1163 O MALLEY DR              | SCARDINA ROBERT A CO TR & KATHRYN L CO TR                    |                              | 200 IRIS CANYON RD APT D5   | MONTEREY         | CA | 93940 | 5  | \$99,183     | \$19,837  |
| 031-203-009 | 1183 CARSON AVE               | BUTZ GARTH A & KRISTAL L                                     |                              | 1183 CARSON AVE             | SOUTH LAKE TAHOE | CA | 96150 | 5  | \$279,588    | \$55,918  |
| 031-236-015 | 1301 O MALLEY DR              | KELLY JAMES & ANNA TR  |                              | 2 SAN BENITO WAY            | NOVATO           | CA | 94945 | 5  | \$1,597,403  | \$319,481 |
| 031-256-006 | 2609 SUSSEX AVE               | BARRY CHARLES  |                              | 23451 E CLIFF DRIVE         | SANTA CRUZ       | CA | 95062 | 5  | \$451,860    | \$90,372  |
| 031-261-057 | 1186 LINDBERG AVE             | BURBA DAVID TR & MARY D TR                                   |                              | 1186 LINDBERG AVE           | SOUTH LAKE TAHOE | CA | 96150 | 5  | \$373,729    | \$74,746  |
| 031-261-064 | 1120 LINDBERG AVE             | RAU LESLIE & UTTER RITA                                      |                              | 1120 LINDBERG AVE           | SOUTH LAKE TAHOE | CA | 96150 | 5  | \$253,211    | \$50,642  |
| 031-284-017 | 2499 PONDEROSA ST             | HERNANDEZ LAZARO & MARIA                                     |                              | 2499 PONDEROSA ST           | SOUTH LAKE TAHOE | CA | 96150 | 5  | \$447,154    | \$89,431  |
| 031-321-032 | 952 SILVERWOOD CIR            | GLENN NORMAN F & MATUS GLENN VIRGINIA TR                     |                              | 952 SILVERWOOD CIRCLE       | SOUTH LAKE TAHOE | CA | 96150 | 5  | \$767,771    | \$153,554 |
| 031-322-017 | 957 SPRINGWOOD CT             | LABRADO JOHN & KILEIGH                                       |                              | 957 SPRINGWOOD CT           | SOUTH LAKE TAHOE | CA | 96150 | 5  | \$498,722    | \$99,744  |
| 031-331-010 | 2875 SPRINGWOOD DR            | ARCHIBALD DONALD F TR & VICTORIA J TR                        |                              | PO BOX 14194                | SOUTH LAKE TAHOE | CA | 96151 | 5  | \$696,596    | \$139,319 |
| 031-333-006 | 926 CREEKWOOD DR              | ODEN ROBERT O & SVEA J                                       |                              | 926 CREEKWOOD DR            | SOUTH LAKE TAHOE | CA | 96150 | 5  | \$251,399    | \$50,268  |
| 032-241-013 | 1129 TATA LN                  | FIGEROA D TRUST 5/17/2022                                    |                              | PO BOX 7178                 | SOUTH LAKE TAHOE | CA | 96158 | 5  | \$148,247    | \$29,649  |
| 032-241-017 | 1117 TATA LN                  | GUARDINO RICHARD V TR & LORI ANNE TR                         |                              | 30 ANALISA LN               | WALNUT CREEK     | CA | 94586 | 5  | \$825,000    | \$165,000 |
| 032-242-027 | 1098 TATA LN                  | PHAM KEVIN QUANG ANH & BILLER DANIEL EDWARD                  |                              | 129 N 13TH ST               | SAN JOSE         | CA | 95112 | 5  | \$384,662    | \$76,932  |
| 032-261-001 | 1931 G ST                     | WENDELL TED C TR & TC LV RV TRUST 1/19/11                    |                              | 1931 G ST                   | SOUTH LAKE TAHOE | CA | 96150 | 5  | \$212,790    | \$42,558  |
| 032-262-009 | 1365 TATA LN                  | MUONIO FRANCIS & VALERIE                                     |                              | PO BOX 8380                 | SOUTH LAKE TAHOE | CA | 95158 | 5  | \$840,941    | \$168,188 |
| 032-281-006 | 1197 JULIE LN                 | WALLACE JON K II   |                              | PO BOX 14483                | SOUTH LAKE TAHOE | CA | 96151 | 5  | \$601,800    | \$120,360 |
| 032-281-023 | 1265 JULIE LN                 | SHIELDS GORDON S TR & JANE M TR                              |                              | 1265 JULIE LN               | SOUTH LAKE TAHOE | CA | 96150 | 5  | \$217,300    | \$43,460  |
| 023-392-015 | 1134 3RD ST                   | CLEAR WATER CADRE INVEST LLC CA LLC                          |                              | P O BOX 304                 | CAMINO           | CA | 95709 | 14 | \$1,133,622  | \$80,973  |
| 030-421-002 | 1639 SHERMAN WAY              | LA BANDERA RANCH TX LP                                       |                              | PO BOX 2135                 | LAKE DALLAS      | TX | 75065 | 14 | \$12,469,862 | \$890,704 |
| 025-021-080 | 1379 HERBERT AVE              | CLARK T J & P T FAM TRUST 2/14/2000                          |                              | PO BOX 88                   | LODI             | CA | 95241 | 13 | \$5,000,000  | \$384,615 |
| 025-360-018 | 1340 GLENWOOD WAY             | OKLAHOMA STEEL & WIRE CO OK LLC                              |                              | PO BOX 220                  | MADILL           | OK | 73446 | 12 | \$8,030,000  | \$669,167 |
| 025-032-002 | 1468 SKI RUN BLVD             | CLARK TERENCE J & PAULETTE T TR                              |                              | PO BOX 88                   | LODI             | CA | 95241 | 10 | \$3,927,000  | \$392,700 |
| 030-380-055 | 3773 REGINA RD                | A P A S FAM TRUST  |                              | 8915 LOS LAGOS CIR          | GRANITE BAY      | CA | 95746 | 10 | \$2,850,000  | \$285,000 |

QED

Stop this nonsense.

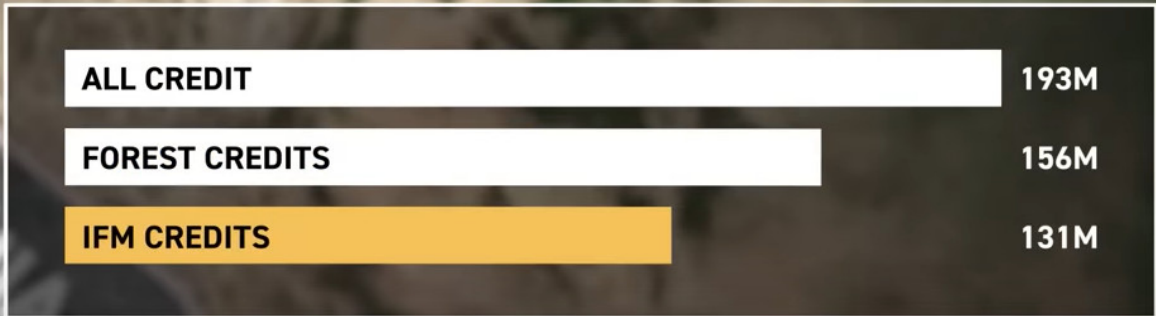
Sydney B. Griffin

# SUGAR PINE VILLAGE WILL DESTROY 11 ACRES OF CONSERVED LANDS

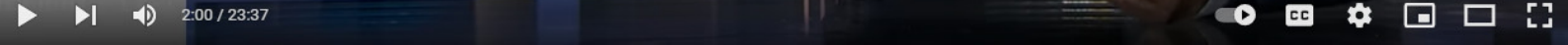


The California Tahoe Conservancy was established in 1985 to lead efforts to restore and enhance the extraordinary natural and recreational resources of the Lake Tahoe Basin. Parcels 2 and 31 were purchased just a few years later in the spring of 1989 towards this goal. In the decades since, development interests have hijacked the Conservancy, with plans to destroy and pave over a healthy stand of forest habitat releasing thousands of tons of climate-warming CO2 from this "carbon sink." The construction footprint will be 6.5 acres of the combined 11.6 acres. A statutory (PRC § 4291) 100' buffer from structures for "defensible space" will result in the clearcutting of 95% of the conserved lands with only 0.56 acres of habitat remaining.

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# Up in smoke: California's greenhouse gas reductions could be wiped out by 2020 wildfires<sup>☆</sup>

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## ABSTRACT

In this short communication, we estimate that California's wildfire carbon dioxide equivalent (CO<sub>2</sub>e) emissions from 2020 are approximately two times higher than California's total greenhouse gas (GHG) emission reductions since 2003. Without considering future vegetation regrowth, CO<sub>2</sub>e emissions from the 2020 wildfires could be the second most important source in the state above either industry or electrical power generation. Regrowth may partly of fully occur over a long period, but due to exigencies of the climate crisis most of the regrowth will not occur quickly enough to avert greater than 1.5 degrees of warming. Global monetized damages caused by CO<sub>2</sub>e from in 2020 wildfire emissions amount to some \$7.1 billion USD. Our analysis suggests that significant societal benefits could accrue from larger investments in improved forest management and stricter controls on new development in fire-prone areas at the wildland-urban interface.

## 1. Introduction

Recent evidence suggests that climate change contributes to increased wildfire activity in the western United States (Abatzoglou and Williams, 2016). California's summer wildfire burned area increased eightfold from 1972 to 2018 (Williams et al., 2019), and statewide climate change projections predict an amplification of wildfire risk due to higher temperatures and drier conditions (Westerling, 2018). Climate change exacerbates fire risks already stoked by increasing development near the wildland-urban interface (WUI) that have made humans the main ignition source in California (Keeley and Syphard, 2018), as well as decades of fire suppression and underinvestment in preventive measures such as mechanical clearing or prescribed burns (Keeley and Syphard, 2021; Kolden, 2019; Radeloff et al., 2018). Wildfires, in turn, release GHG emissions that can contribute to climate change.

California experienced its most disastrous wildfire year on record in 2020. CalFire, the state agency responsible for leading California's wildfire prevention and suppression, reports that 1.7 million hectares burned in 2020 (CalFire, 2022). Many of the worst fire years in California's history have occurred in the past 20 years, with eighteen of the top 20 most destructive fires in terms of loss of life and property since

2000 and five in 2020 alone (CalFire, 2021). The 2020 fires have been followed by another extreme fire season with 1.0 million hectares burned in 2021.

In addition to the immediate loss of life and property, hospital admissions and premature deaths have likely happened because of the smoke exposure (Cascio, 2018; Fann et al., 2018; Reid et al., 2016; Wang et al., 2020), which blanketed large parts of the state with tens of millions of people with unhealthy air quality that persisted for months in some locations. Recent estimates put the economic costs of direct health costs at \$32 billion for 2018 (Wang et al., 2020). Future climate projections suggest that wildfires will become an increasingly important source of air pollution in the western U.S. (Ford et al., 2018; Liu et al., 2016).

When forests burn and are not balanced by vegetation regrowth, they shift from a natural sink to a source of carbon (van der Werf et al., 2017). This can represent a positive climate feedback loop in which increased GHG emissions contribute to climate change and further increase wildfire risk. Although wildfires are a natural feature of many ecosystems in California, the increase in severe and frequent wildfire events has raised the possibility of transformed post-fire ecosystems as new plant communities regrow following fire events that alter carbon

<sup>☆</sup> This paper has been recommended for acceptance by Pavlos Kassomenos.

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# Rate of tree carbon accumulation increases continuously with tree size

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**Forests are major components of the global carbon cycle, providing substantial feedback to atmospheric greenhouse gas concentrations<sup>1</sup>. Our ability to understand and predict changes in the forest carbon cycle—particularly net primary productivity and carbon storage—increasingly relies on models that represent biological processes across several scales of biological organization, from tree leaves to forest stands<sup>2,3</sup>. Yet, despite advances in our understanding of productivity at the scales of leaves and stands, no consensus exists about the nature of productivity at the scale of the individual tree<sup>4–7</sup>, in part because we lack a broad empirical assessment of whether rates of absolute tree mass growth (and thus carbon accumulation) decrease, remain constant, or increase as trees increase in size and age. Here we present a global analysis of 403 tropical and temperate tree species, showing that for most species mass growth rate increases continuously with tree size. Thus, large, old trees do not act simply as senescent carbon reservoirs but actively fix large amounts of carbon compared to smaller trees; at the extreme, a single big tree can add the same amount of carbon to the forest within a year as is contained in an entire mid-sized tree. The apparent paradoxes of individual tree growth increasing with tree size despite declining leaf-level<sup>8–10</sup> and stand-level<sup>10</sup> productivity can be explained, respectively, by increases in a tree's total leaf area that outpace declines in productivity per unit of leaf area and, among other factors, age-related reductions in population density. Our results resolve conflicting assumptions about the nature of tree growth, inform efforts to understand and model forest carbon dynamics, and have additional implications for theories of resource allocation<sup>11</sup> and plant senescence<sup>12</sup>.**

A widely held assumption is that after an initial period of increasing growth, the mass growth rate of individual trees declines with increasing tree size<sup>4,5,13–16</sup>. Although the results of a few single-species studies have been consistent with this assumption<sup>15</sup>, the bulk of evidence cited in support of declining growth is not based on measurements of individual tree mass growth. Instead, much of the cited evidence documents either the well-known age-related decline in net primary productivity (hereafter 'productivity') of even-aged forest stands<sup>10</sup> (in which the trees are all of a similar age) or size-related declines in the rate of mass gain per

unit leaf area (or unit leaf mass)<sup>8–10</sup>, with the implicit assumption that declines at these scales must also apply at the scale of the individual tree. Declining tree growth is also sometimes inferred from life-history theory to be a necessary corollary of increasing resource allocation to reproduction<sup>11,16</sup>. On the other hand, metabolic scaling theory predicts that mass growth rate should increase continuously with tree size<sup>6</sup>, and this prediction has also received empirical support from a few site-specific studies<sup>6,7</sup>. Thus, we are confronted with two conflicting generalizations about the fundamental nature of tree growth, but lack a global assessment that would allow us to distinguish clearly between them.

To fill this gap, we conducted a global analysis in which we directly estimated mass growth rates from repeated measurements of 673,046 trees belonging to 403 tropical, subtropical and temperate tree species, spanning every forested continent. Tree growth rate was modelled as a function of log(tree mass) using piecewise regression, where the independent variable was divided into one to four bins. Conjoined line segments were fitted across the bins (Fig. 1).

For all continents, aboveground tree mass growth rates (and, hence, rates of carbon gain) for most species increased continuously with tree mass (size) (Fig. 2). The rate of mass gain increased with tree mass in each model bin for 87% of species, and increased in the bin that included the largest trees for 97% of species; the majority of increases were statistically significant (Table 1, Extended Data Fig. 1 and Supplementary Table 1). Even when we restricted our analysis to species achieving the largest sizes (maximum trunk diameter >100 cm; 33% of species), 94% had increasing mass growth rates in the bin that included the largest trees. We found no clear taxonomic or geographic patterns among the 3% of species with declining growth rates in their largest trees, although the small number of these species (thirteen) hampers inference. Declining species included both angiosperms and gymnosperms in seven of the 76 families in our study; most of the seven families had only one or two declining species and no family was dominated by declining species (Supplementary Table 1).

When we log-transformed mass growth rate in addition to tree mass, the resulting model fits were generally linear, as predicted by metabolic scaling theory<sup>6</sup> (Extended Data Fig. 2). Similar to the results of our main

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# Low-elevation conifers in California's Sierra Nevada are out of equilibrium with climate

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Edited By: Adelia Bovell-Benjamin

## Abstract

Since the 1930s, California's Sierra Nevada has warmed by an average of 1.2°C. Warming directly primes forests for easier wildfire ignition, but the change in climate also affects vegetation species composition. Different types of vegetation support unique fire regimes with distinct probabilities of catastrophic wildfire, and anticipating vegetation transitions is an important but undervalued component of long-term wildfire management and adaptation. Vegetation transitions are more likely where the climate has become unsuitable but the species composition remains static. This vegetation climate mismatch (VCM) can result in vegetation conversions, particularly after a disturbance like wildfire. Here we produce estimates of VCM within conifer-dominated forests in the Sierra Nevada. Observations from the 1930s Wieslander Survey provide a foundation for characterizing the historical relationship between Sierra Nevada vegetation and climate before the onset of recent, rapid climate change. Based on comparing the historical climatic niche to the modern distribution of conifers and climate, ~19.5% of modern Sierra Nevada coniferous forests are experiencing VCM, 95% of which is below an elevation of 2356 m. We found that these VCM estimates carry empirical consequences: likelihood of type-conversion increased by 9.2% for every 10% decrease in habitat suitability. Maps of Sierra Nevada VCM can help guide long-term land management decisions by distinguishing areas likely to transition from those expected to remain stable in the near future. This can help direct limited resources to their most effective uses—whether it be protecting land or managing vegetation transitions—in the effort to maintain biodiversity, ecosystem services, and public health in the Sierra Nevada.

**Keywords:** ecology, habitat suitability modeling, vegetation transitions, vegetation climate mismatch, climate change, California

## Significance Statement

Warming climatic conditions over the last century have led to observable shifts in the spatial organization of dominant tree species in California's Sierra Nevada. Little is known, however, about the extent to which these shifts have tracked the magnitude of climate change. This study maps Vegetation Climate Mismatch in the Sierra Nevada—areas where climate change has left trees in climatic conditions where they have not historically occurred. Different vegetation types support different wildfire regimes, ecosystems, and ecosystem services. Our maps will be useful for anticipating vegetation transitions and informing long-term wildfire and ecosystem management across the Sierra Nevada mountains of California.

## Introduction

Warmer and drier conditions prime forests for ignition (1), but climate change also directly affects the species composition of future vegetation. Climate-driven vegetation conversion is an understudied phenomenon in general and a potentially significant determinant of catastrophic wildfire risk that could require changes in management strategies (2–4).

Broadly, climate change has caused vegetation to shift poleward and up-slope (5–7). In long-lived ecosystems like forests, climate change is occurring faster than the ability of many plants to shift their distributions or adapt, resulting in vegetation disequilibrium (8) or vegetation climate mismatch (VCM). Forests

experiencing VCM are at risk of converting to alternative species assemblages, particularly after stand-replacing disturbances such as severe wildfire (4). In some cases, VCM can even make forests more susceptible to wildfires (9).

VCM is likely to be found in California's transition zone between low-elevation conifer-dominated forest and angiosperm-dominated vegetation (including mixed chaparral, oak woodland, and mixed broadleaf forest) (Fig. 1; elevation ~1000–1400 m)—where the foothills of the Sierra Nevada end and the mountains of the western flank begin. These forests lie on the warm end of mixed conifer distributions, where canopy dominants include ponderosa pine, sugar pine, and Douglas-fir (10), and understories

**Competing Interest:** The authors declare that they have no competing interests.

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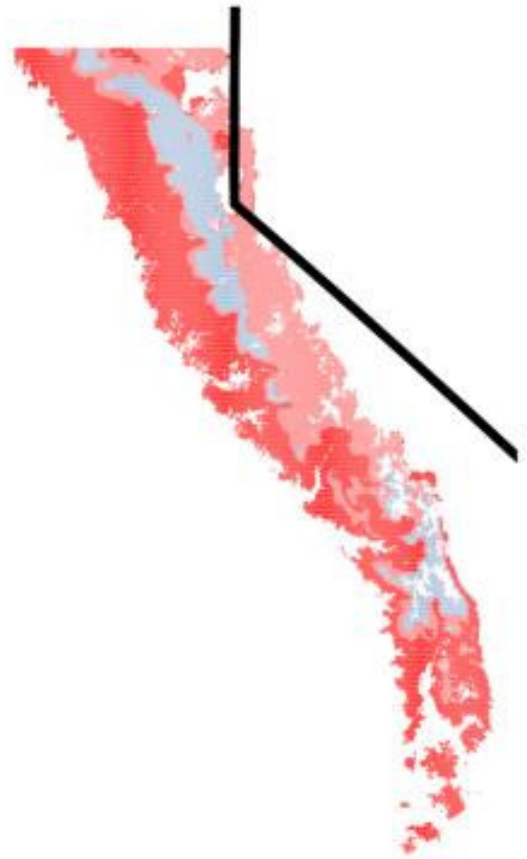
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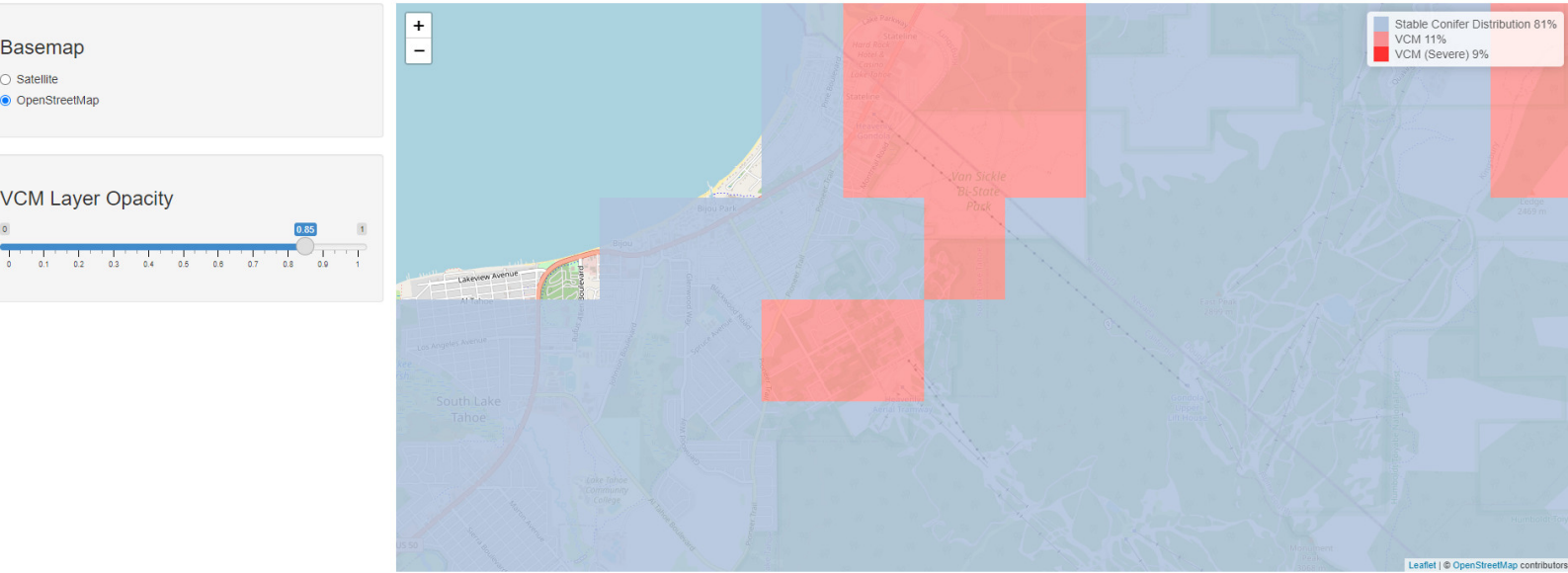
SSP5-8.5



# Sierra Nevada Conifer Vegetation Climate Mismatch

Hill et al., 2023)

Since the 1930s, California's Sierra Nevada has warmed by an average of 1.2C. Vegetation transitions are more likely where the climate has become unsuitable but the species composition remains static. This vegetation climate mismatch (VCM) can result in vegetation conversions, particularly after a disturbance like wildfire. Here we produce estimates of VCM within conifer-dominated forests in the Sierra Nevada. Observations from the 1930s Wieslander Survey provide a foundation for characterizing the historical relationship between Sierra Nevada vegetation and climate before the onset of recent, rapid climate change. Maps of Sierra Nevada VCM can help guide long-term land management decisions by distinguishing areas likely to transition from those expected to remain stable in the near future.



in plans for specific geographic areas. If revisions or more detailed Plan Area Statements, Community Plans and other adopted plans prevail until superseded by conforming Area Plans. Amendments that are included in the 2012 Regional Plan Update include the following:

1. Amended Conservation Classification to Recognize USFS Ownership
2. Minor Boundary Modifications to Recognize Public Land Acquisitions by USFS, CA and NV
3. Minor Boundary correction to change Heavenly Ski Area property from Residential to Recreation
4. Recognize Commercial Districts as Mixed-Use Areas
5. Parcels adjoining the High Density Tourist District designated Recreation. This includes 479 acres of the Van Sickle State Park and approximately 256 acres of private land
6. Added Residential and Recreation Land Use Classification amendments, per Ordinance 2014-02 (amended 05/24/14).
7. Center and regional land use classification amendments were added for the Tahoe Valley Area Plan and for the Placer County Tahoe Basin Area Plan. This layer was edited in July 2017 to reflect changes from the adoption of Placer County Tahoe Basin Area Plan (adopted on January 25, 2017 pursuant to Ordinance # 2017-01).

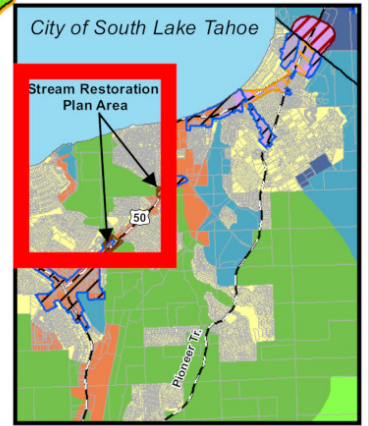
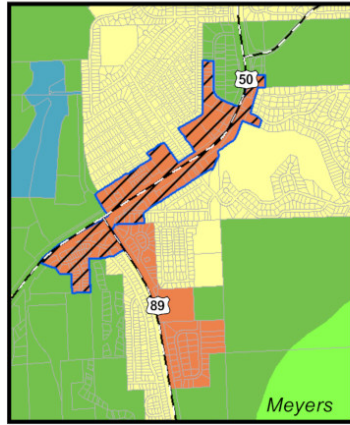
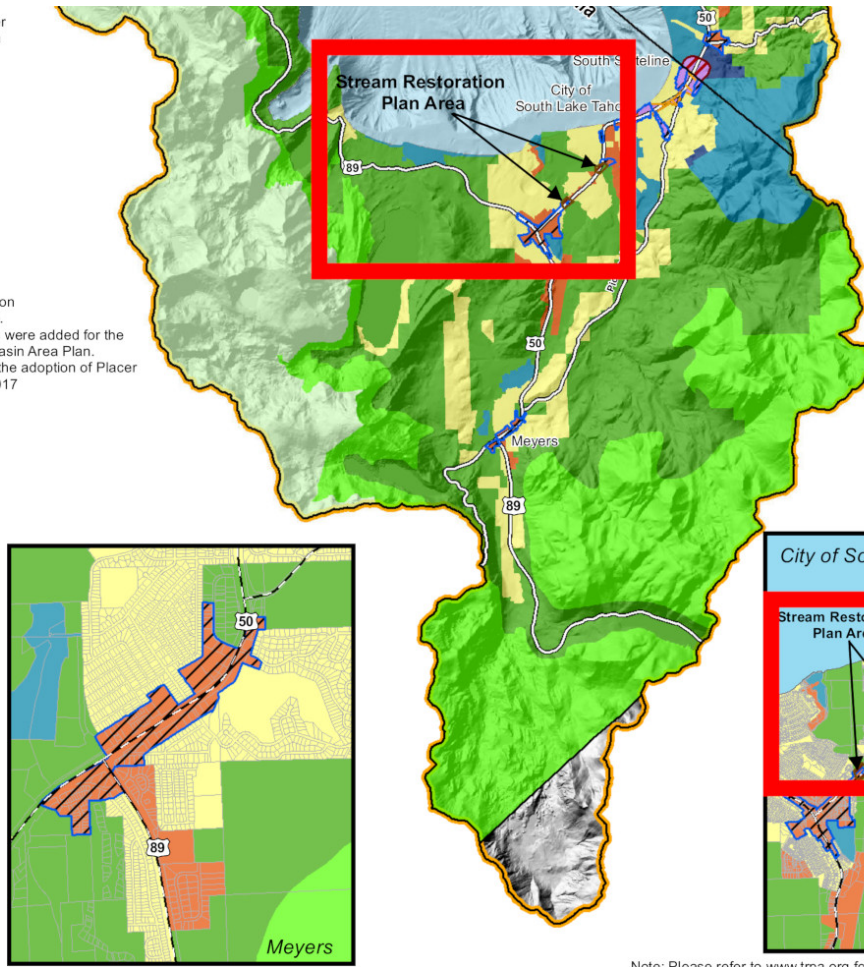
**TRPA Jurisdiction**

**Special Planning Districts**

- High Density Tourist District
- Regional Center District
- Town Center District
- Stream Restoration

**Land Use Classifications**

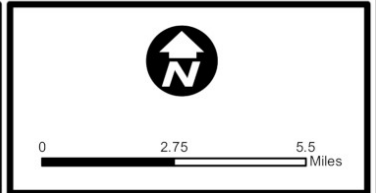
- Wilderness
- Backcountry
- Conservation
- Recreation
- Resort Recreation
- Residential
- Mixed-Use
- Tourist



Note: Please refer to [www.trpa.org](http://www.trpa.org) for a higher resolution version of the map. See Land Use Policy LU-4.1 for Land Use Classification definitions.



# Map 1 Conceptual Regional Land Use Lake Tahoe Region



TRPA MAP DISCLAIMER: This map was developed and produced by the TRPA GIS department. It is provided for reference only and is not intended to show map scale accuracy or all inclusive map features. The material on this map was compiled using the most current data available, but the data is dynamic and accuracy cannot be guaranteed. Document Path: F:\GIS\MXDS\Regional Plan Update\Jan2014Recreated\Map1\_ConceptualRegLandUse\_2017amendment.mxd

To estimate the possible order of magnitude of the revenue that may be generated under a likely residential vacancy tax scenario, BAE assumed a tax of \$3,000 per vacant unit, which is generally consistent with the tax being charged in Oakland. Assuming that all housing units held vacant for seasonal or occasional use would be subject to the tax, BAE estimates that a vacancy tax could theoretically generate up to around \$16.8 million per year.

**Table 6: Housing Units by Vacancy Status and Tenure, 2020**

| Units in Structure      | Vacant       | Occupied     |              | Total         |
|-------------------------|--------------|--------------|--------------|---------------|
|                         |              | Renter       | Owner        |               |
| 1, detached             | 4,507        | 1,751        | 3,314        | 9,609         |
| 1, attached             | 175          | 396          | 73           | 636           |
| 2                       | 292          | 526          | 162          | 971           |
| 3 or 4                  | 566          | 729          | 17           | 1,315         |
| 5 to 9                  | 397          | 750          | 3            | 1,144         |
| 10 to 19                | 161          | 575          | 13           | 738           |
| 20 to 49                | 197          | 319          | 21           | 536           |
| 50 or more              | 296          | 89           | 34           | 428           |
| Mobile home             | 103          | 254          | 240          | 585           |
| Boat, RV, van, etc.     | 0            | 0            | 0            | 0             |
| <b>Total, All Units</b> | <b>6,695</b> | <b>5,390</b> | <b>3,876</b> | <b>15,961</b> |
| <i>Percent</i>          | <i>42%</i>   | <i>34%</i>   | <i>24%</i>   | <i>100%</i>   |

Source: U.S. Census Bureau, 2020 Decennial Census, Table H1; U.S. Census Bureau, 2020 American Community Survey 5-Year, Tables B25024 and B25032; BAE, 2022.

This report also considered applying the residential vacancy tax only to single-family properties held for seasonal or occasional use. While the Census Bureau does not specifically report the required statistic, the available data does indicate that there were around 4,500 single-family homes that were vacant for any reason as of the 2020 Decennial Census (i.e., roughly 47 percent of the single-family housing stock).<sup>32</sup> Assuming that the vacancy tax applies to single-family properties only, that are vacant for any reason, the tax could yield roughly \$13.5 million per year in revenue.

Applying the citywide seasonal vacancy rate of 84 percent (i.e., the percent of vacant units held for seasonal or occasional use) to the 2020 vacant single-family inventory produces a conservative estimate of around 3,800 single-family units held for seasonal or occasional use. If the vacancy tax is applied only to single-family units held vacant for seasonal or occasional use, the tax may generate approximately nearly \$11.4 million per year in revenue.

**Table 2: Residential Sales Activity, 2017 to 2022 YTD**

| <b>All Residential Units</b> | <b>2017</b> | <b>2018</b> | <b>2019</b> | <b>2020</b> | <b>2021</b> | <b>2022</b>    | <b>All Sales</b> |
|------------------------------|-------------|-------------|-------------|-------------|-------------|----------------|------------------|
|                              |             |             |             |             |             | <b>YTD (a)</b> |                  |
| <b>Number of Sales</b>       | 348         | 341         | 389         | 625         | 513         | 394            | <b>2,610</b>     |
| Median Sale Price            | \$385,000   | \$420,000   | \$435,000   | \$500,000   | \$602,000   | \$642,500      | \$500,000        |
| Ave. Sale price              | \$462,097   | \$514,954   | \$557,259   | \$624,223   | \$765,300   | \$791,868      | \$631,386        |
| Ave. Size (sq. ft.)          | 1,494       | 1,495       | 1,552       | 1,626       | 1,561       | 1,548          | 1,556            |
| Ave. Sale Price per sq.ft.   | \$311       | \$345       | \$365       | \$384       | \$493       | \$521          | \$408            |
| Maximum Sale Price           | \$2,337,500 | \$2,450,000 | \$5,300,000 | \$5,200,000 | \$5,550,000 | \$5,300,000    | \$5,550,000      |
| Minimum Sale Price           | \$71,000    | \$100,500   | \$46,000    | \$3,500     | \$50,000    | \$75,000       | \$3,500          |
| <b>Single Family Homes</b>   |             |             |             |             |             |                |                  |
|                              | <b>2017</b> | <b>2018</b> | <b>2019</b> | <b>2020</b> | <b>2021</b> | <b>2022</b>    | <b>All Sales</b> |
|                              |             |             |             |             |             | <b>YTD (a)</b> |                  |
| Number of Sales              | 333         | 322         | 368         | 585         | 483         | 367            | 2,458            |
| Median Sale Price            | \$389,000   | \$425,000   | \$440,000   | \$505,000   | \$613,000   | \$650,000      | \$505,000        |
| Ave. Sale price              | \$462,217   | \$525,288   | \$563,064   | \$632,835   | \$783,050   | \$809,834      | \$641,131        |
| Ave. Size (sq. ft.)          | 1,505       | 1,512       | 1,577       | 1,659       | 1,585       | 1,579          | 1,580            |
| Ave. Sale Price per sq.ft.   | \$310       | \$349       | \$362       | \$381       | \$496       | \$522          | \$408            |
| Maximum Sale Price           | \$2,200,000 | \$2,450,000 | \$5,300,000 | \$5,200,000 | \$5,550,000 | \$5,300,000    | \$5,550,000      |
| Minimum Sale Price           | \$71,000    | \$100,500   | \$46,000    | \$3,500     | \$75,000    | \$75,000       | \$3,500          |
| <b>Condominiums</b>          |             |             |             |             |             |                |                  |
|                              | <b>2017</b> | <b>2018</b> | <b>2019</b> | <b>2020</b> | <b>2021</b> | <b>2022</b>    | <b>All Sales</b> |
|                              |             |             |             |             |             | <b>YTD (a)</b> |                  |
| Number of Sales              | 15          | 19          | 21          | 40          | 30          | 27             | 152              |
| Median Sale Price            | \$295,000   | \$265,000   | \$346,500   | \$354,250   | \$417,500   | \$480,000      | \$363,750        |
| Ave. Sale price              | \$459,433   | \$339,816   | \$455,524   | \$498,275   | \$479,533   | \$547,667      | \$473,803        |
| Ave. Size (sq. ft.)          | 1,236       | 1,203       | 1,106       | 1,144       | 1,171       | 1,118          | 1,156            |
| Ave. Sale Price per sq.ft.   | \$328       | \$289       | \$421       | \$417       | \$445       | \$505          | \$414            |
| Maximum Sale Price           | \$2,337,500 | \$799,000   | \$1,250,000 | \$2,700,000 | \$1,180,000 | \$1,349,000    | \$2,700,000      |
| Minimum Sale Price           | \$180,000   | \$168,000   | \$97,500    | \$165,000   | \$50,000    | \$232,500      | \$50,000         |

Note:

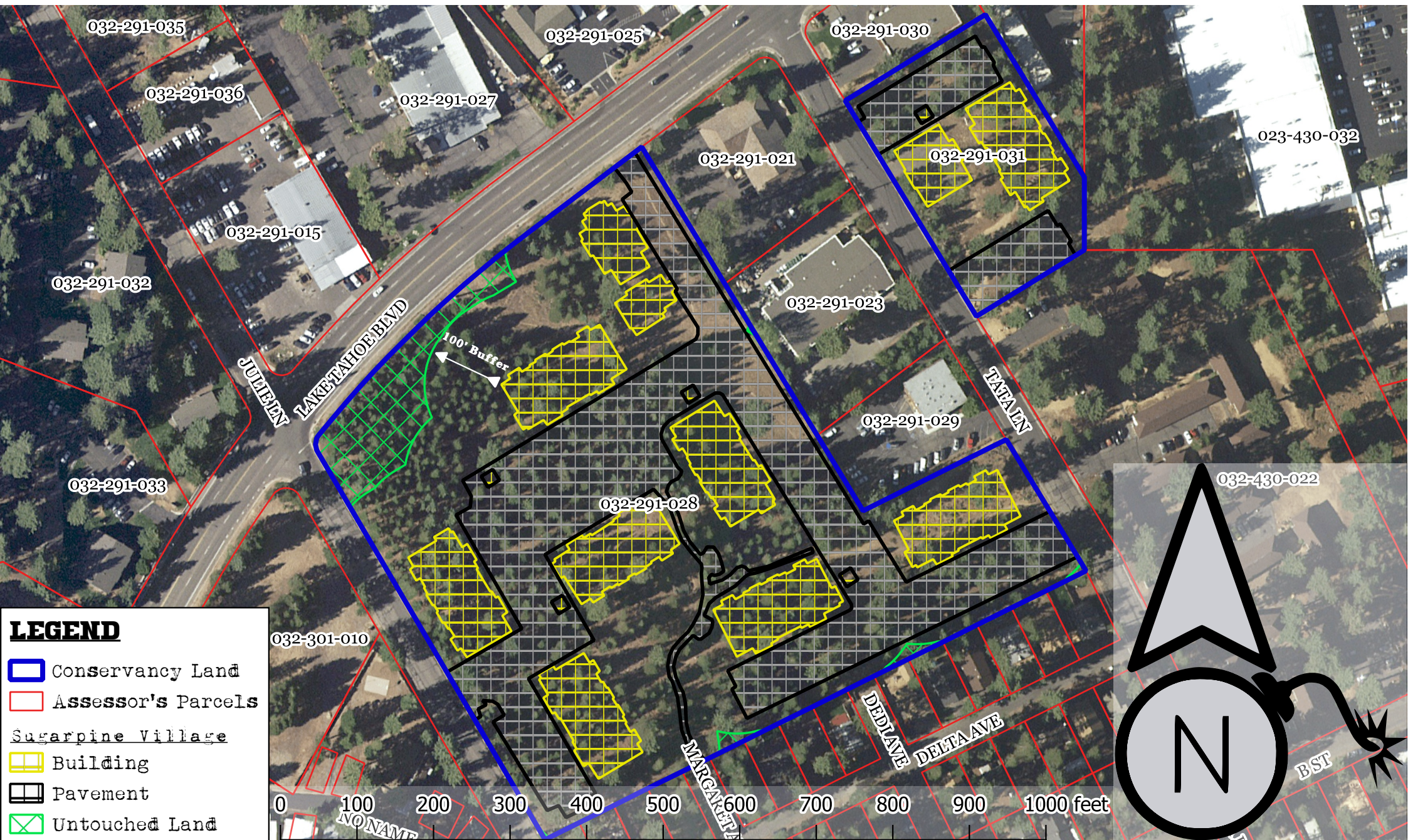
(a) As of December 15, 2022.

Sources: ListSource; BAE, 2022.





# SUGAR PINE VILLAGE WILL DESTROY 11 ACRES OF CONSERVED LANDS



**LEGEND**

- Conservancy Land
- Assessor's Parcels
- Sugarpine Village
- Building
- Pavement
- Untouched Land

The California Tahoe Conservancy was established in 1985 to lead efforts to restore and enhance the extraordinary natural and recreational resources of the Lake Tahoe Basin. Parcels 28 and 31 were purchased just a few years later in the spring of 1989 towards this goal. In the decades since, development interests have hijacked the Conservancy, with plans to destroy and pave over a healthy stand of forest habitat releasing thousands of tons of climate-warming CO2 from this "carbon sink." The construction footprint will be 6.5 acres of the combined 11.6 acre lot. A statutory (PRC § 4291) 100' buffer from structures for "defensible space" will result in the clearcutting of 95% of the conserved lands with only 0.56 acres of habitat remaining.

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Assessor Parcel Number 03229128100

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1989-0015341 • B: 03107 P: 641 • GRANT DEED

Recording Date

**03/28/1989 12:00 AM**

Grantor (2)

**PESKIN HENRIETTA ETAL  
PESKIN LEON D ETAL**

Grantee

**CAL ST RESOURCES AGCY**

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Office of the Assessor

# Historical Property Information

Parcel Number: 032-291-28-100

Property Address: 1860 LAKE TAHOE BLVD

Assessor's information is for assessment and tax purposes only and should not be relied upon for status of development or building purposes.

## Property Description:

Primary Use\*\*: 30, VACANT COMMERCIAL LAND

Subdivision Tract Number: 275

Subdivision Tract Name: TAHOE VALLEY CENTER UNIT NO 3

APN Status: 11, Inactive - Non-Taxable

Reference: POR L 5

Tax Rate Area: 002-002

School District:

Last Appraisal Effective Date: 2/1/1975

Last Appraisal Reason:

MPR Card: 032-291-28

\*\*The USE is only reviewed at the time of the last taxable event, and may not be a legal use

## Associated Maps for: 032-291-28-100

Most Recent Plat: [Assessor's Plat 032-29](#)

Historical Plat: [Historical Plat 032-29](#)

Subdivision Maps: Tahoe Vly Cntr #3: C-109

Tahoe Vly Cntr #3: C-109A

## 2020 - 2021 Taxable Property Values for: 032-291-28-100

| Property                | Value |
|-------------------------|-------|
| Land Total              | \$0   |
| Improvement Total       | \$0   |
| Personal property Total | \$0   |
| Total Roll              | \$0   |
| (Exemptions Total)      | \$0   |
| Net Roll                | \$0   |

Event List for: 032-291-28-100

| Roll | Event Date | Bill Status | Event Status | Seq # | Event Type | Stmt. Status | ID | Tax Bill # | Value |
|------|------------|-------------|--------------|-------|------------|--------------|----|------------|-------|
| 2018 | 1/1/2018   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2017 | 1/1/2017   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2016 | 1/1/2016   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2015 | 1/1/2015   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2014 | 1/1/2014   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2013 | 1/1/2013   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2012 | 1/1/2012   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2011 | 1/1/2011   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2010 | 1/1/2010   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2009 | 1/1/2009   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2008 | 1/1/2008   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2007 | 1/1/2007   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2006 | 1/1/2006   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2005 | 1/1/2005   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2004 | 1/1/2004   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2003 | 1/1/2003   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2002 | 1/1/2002   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2001 | 1/1/2001   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2000 | 1/1/2000   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 1999 | 1/1/1999   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 1998 | 1/1/1998   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 1997 | 1/1/1997   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 1996 | 3/1/1996   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 1995 | 3/1/1995   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |

|      |          |          |             |   |      |         |  |  |          |
|------|----------|----------|-------------|---|------|---------|--|--|----------|
| 1994 | 3/1/1994 | Inactive | Annual Roll | 1 | Roll | No Bill |  |  | \$0      |
| 1993 | 3/1/1993 | Inactive | Annual Roll | 1 | Roll | No Bill |  |  | \$0      |
| 1992 | 3/1/1992 | Inactive | Annual Roll | 1 | Roll | No Bill |  |  | \$0      |
| 1991 | 3/1/1991 | Inactive | Annual Roll | 1 | Roll | No Bill |  |  | \$0      |
| 1990 | 3/1/1990 | Inactive | Annual Roll | 1 | Roll | No Bill |  |  | \$0      |
| 1989 | 3/1/1989 | Inactive | Annual Roll | 1 | Roll | No Bill |  |  | \$0      |
| 1988 | 3/1/1988 | Active   | Annual Roll | 1 | Roll | Pending |  |  | \$30,165 |

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Property Characteristics for: **032-291-28-100**

| Property Characteristic | Description |
|-------------------------|-------------|
| Book Category Number    | 2032        |
| Current Record Flag     | Yes         |

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Parcel Split Background for: **032-291-28-100**

**This Parcel Has No Split Background Records.**

---

Related Accounts for: **032-291-28-100**

**This Parcel Has No Related Accounts.**

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Owner Change History for: **032-291-28-100**

**Recorded Document: 1989-3107641**

Record Change Date: 3/28/1989

Effective Owner Change Date: 3/28/1989

Preliminary Change of Ownership: **1989-3107641**

**Recorded Document:**

Recorder's Book and Page: 0909-188

Record Change Date: 11/21/1968

Effective Owner Change Date: 11/21/1968

Preliminary Change of Ownership: **1-0909188**

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Assessor Parcel Number 03229131100

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1989-0018637 • B: 03117 P: 37 • GRANT DEED

Recording Date

**04/13/1989 12:00 AM**

Grantor (10)

**WEIR PAULINE ETAL  
MORELAND CAROL C ETAL  
MORELAND W D ETAL  
WEIR DONALD E ETAL  
WEIR PATRICIA ETAL**

Grantee

**CAL ST RESOURCES AGCY**

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Office of the Assessor

# Historical Property Information

Parcel Number: 032-291-31-100

Property Address: 1029 TATA LN

Assessor's information is for assessment and tax purposes only and should not be relied upon for status of development or building purposes.

## Property Description:

Primary Use\*\*: 82, PARKING LOT

Subdivision Tract Number: 275

Subdivision Tract Name: TAHOE VALLEY CENTER UNIT NO 3

APN Status: 11, Inactive - Non-Taxable

Reference: PM 2/52/2

Tax Rate Area: 002-002

School District:

Last Appraisal Effective Date: 2/1/1979

Last Appraisal Reason:

MPR Card: 032-291-31

\*\*The USE is only reviewed at the time of the last taxable event, and may not be a legal use

## Associated Maps for: 032-291-31-100

Most Recent Plat: [Assessor's Plat 032-29](#)

Historical Plat: [Historical Plat 032-29](#)

Subdivision Maps: Tahoe Vly Cntr #3: C-109

Tahoe Vly Cntr #3: C-109A

## 2020 - 2021 Taxable Property Values for: 032-291-31-100

| Property                | Value |
|-------------------------|-------|
| Land Total              | \$0   |
| Improvement Total       | \$0   |
| Personal property Total | \$0   |
| Total Roll              | \$0   |
| (Exemptions Total)      | \$0   |
| Net Roll                | \$0   |



Event List for: 032-291-31-100

| Roll | Event Date | Bill Status | Event Status | Seq # | Event Type | Stmt. Status | ID | Tax Bill # | Value |
|------|------------|-------------|--------------|-------|------------|--------------|----|------------|-------|
| 2018 | 1/1/2018   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2017 | 1/1/2017   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2016 | 1/1/2016   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2015 | 1/1/2015   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2014 | 1/1/2014   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2013 | 1/1/2013   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2012 | 1/1/2012   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2011 | 1/1/2011   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2010 | 1/1/2010   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2009 | 1/1/2009   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2008 | 1/1/2008   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2007 | 1/1/2007   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2006 | 1/1/2006   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2005 | 1/1/2005   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2004 | 1/1/2004   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2003 | 1/1/2003   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2002 | 1/1/2002   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2001 | 1/1/2001   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 2000 | 1/1/2000   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 1999 | 1/1/1999   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 1998 | 1/1/1998   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 1997 | 1/1/1997   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 1996 | 3/1/1996   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |
| 1995 | 3/1/1995   | Inactive    | Annual Roll  | 1     | Roll       | No Bill      |    |            | \$0   |

|      |          |          |             |   |      |         |  |  |           |
|------|----------|----------|-------------|---|------|---------|--|--|-----------|
| 1994 | 3/1/1994 | Inactive | Annual Roll | 1 | Roll | No Bill |  |  | \$0       |
| 1993 | 3/1/1993 | Inactive | Annual Roll | 1 | Roll | No Bill |  |  | \$0       |
| 1992 | 3/1/1992 | Inactive | Annual Roll | 1 | Roll | No Bill |  |  | \$0       |
| 1991 | 3/1/1991 | Inactive | Annual Roll | 1 | Roll | No Bill |  |  | \$0       |
| 1990 | 3/1/1990 | Inactive | Annual Roll | 1 | Roll | No Bill |  |  | \$0       |
| 1989 | 3/1/1989 | Inactive | Annual Roll | 1 | Roll | No Bill |  |  | \$0       |
| 1988 | 3/1/1988 | Active   | Annual Roll | 1 | Roll | Pending |  |  | \$118,307 |

Property Characteristics for: **032-291-31-100**

| Property Characteristic | Description |
|-------------------------|-------------|
| Acreage                 | 1.600 ac    |
| Book Category Number    | 2032        |
| Current Record Flag     | Yes         |

Parcel Split Background for: **032-291-31-100**

**This Parcel Has No Split Background Records.**

Related Accounts for: **032-291-31-100**

| Account Number                 | Property Type | Status               |
|--------------------------------|---------------|----------------------|
| <a href="#">9-002-013-0010</a> | Possessory    | Active, Non-Billable |

Owner Change History for: **032-291-31-100**

**Recorded Document: 1989-3117037**

Record Change Date: 4/13/1989

Effective Owner Change Date: 4/13/1989

Preliminary Change of Ownership: **1989-3117037**

**Recorded Document:**

Recorder's Book and Page: 2404-278

Record Change Date: 2/27/1985

Effective Owner Change Date: 2/27/1985

Preliminary Change of Ownership: **1-2404278**

**Recorded Document:**

Recorder's Book and Page: 1676-339

Record Change Date: 9/21/1978

Effective Owner Change Date: 9/21/1978

Preliminary Change of Ownership: **1-1676339**

**Recorded Document:**

Recorder's Book and Page: 1638-195

Record Change Date: 6/8/1978

Effective Owner Change Date: 6/8/1978

Preliminary Change of Ownership: **1-1638195**

**Recorded Document:**

Recorder's Book and Page: 1480-388

Record Change Date: 3/30/1977

Effective Owner Change Date: 3/30/1977

Preliminary Change of Ownership: **1-1480388**

Contents lists available at [ScienceDirect](https://www.sciencedirect.com)

# Environmental Pollution

journal homepage: [www.elsevier.com/locate/envpol](http://www.elsevier.com/locate/envpol)

## Up in smoke: California's greenhouse gas reductions could be wiped out by 2020 wildfires<sup>☆</sup>

Michael Jerrett<sup>a,\*</sup>, Amir S. Jina<sup>b</sup>, Miriam E. Marlier<sup>a</sup>

<sup>a</sup> Department of Environmental Health Sciences, Fielding School of Public Health, University of California, Los Angeles, 650 Charles E. Young Dr. S., 56-070 CHS Box 951772, Los Angeles, CA, 90095, USA

<sup>b</sup> Harris School of Public Policy, University of Chicago, 1307 East 60th Street, Chicago, IL, 60637, USA

### ARTICLE INFO

#### Keywords:

Climate change  
Wildfires  
Greenhouse gas emissions  
Economic impacts

### ABSTRACT

In this short communication, we estimate that California's wildfire carbon dioxide equivalent (CO<sub>2</sub>e) emissions from 2020 are approximately two times higher than California's total greenhouse gas (GHG) emission reductions since 2003. Without considering future vegetation regrowth, CO<sub>2</sub>e emissions from the 2020 wildfires could be the second most important source in the state above either industry or electrical power generation. Regrowth may partly or fully occur over a long period, but due to exigencies of the climate crisis most of the regrowth will not occur quickly enough to avert greater than 1.5 degrees of warming. Global monetized damages caused by CO<sub>2</sub>e from in 2020 wildfire emissions amount to some \$7.1 billion USD. Our analysis suggests that significant societal benefits could accrue from larger investments in improved forest management and stricter controls on new development in fire-prone areas at the wildland-urban interface.

### 1. Introduction

Recent evidence suggests that climate change contributes to increased wildfire activity in the western United States (Abatzoglou and Williams, 2016). California's summer wildfire burned area increased eightfold from 1972 to 2018 (Williams et al., 2019), and statewide climate change projections predict an amplification of wildfire risk due to higher temperatures and drier conditions (Westerling, 2018). Climate change exacerbates fire risks already stoked by increasing development near the wildland-urban interface (WUI) that have made humans the main ignition source in California (Keeley and Syphard, 2018), as well as decades of fire suppression and underinvestment in preventive measures such as mechanical clearing or prescribed burns (Keeley and Syphard, 2021; Kolden, 2019; Radeloff et al., 2018). Wildfires, in turn, release GHG emissions that can contribute to climate change.

California experienced its most disastrous wildfire year on record in 2020. CalFire, the state agency responsible for leading California's wildfire prevention and suppression, reports that 1.7 million hectares burned in 2020 (CalFire, 2022). Many of the worst fire years in California's history have occurred in the past 20 years, with eighteen of the top 20 most destructive fires in terms of loss of life and property since

2000 and five in 2020 alone (CalFire, 2021). The 2020 fires have been followed by another extreme fire season with 1.0 million hectares burned in 2021.

In addition to the immediate loss of life and property, hospital admissions and premature deaths have likely happened because of the smoke exposure (Cascio, 2018; Fann et al., 2018; Reid et al., 2016; Wang et al., 2020), which blanketed large parts of the state with tens of millions of people with unhealthy air quality that persisted for months in some locations. Recent estimates put the economic costs of direct health costs at \$32 billion for 2018 (Wang et al., 2020). Future climate projections suggest that wildfires will become an increasingly important source of air pollution in the western U.S. (Ford et al., 2018; Liu et al., 2016).

When forests burn and are not balanced by vegetation regrowth, they shift from a natural sink to a source of carbon (van der Werf et al., 2017). This can represent a positive climate feedback loop in which increased GHG emissions contribute to climate change and further increase wildfire risk. Although wildfires are a natural feature of many ecosystems in California, the increase in severe and frequent wildfire events has raised the possibility of transformed post-fire ecosystems as new plant communities regrow following fire events that alter carbon

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sequestration potential (Bowman et al., 2020). Regrowth relies on several factors including species burned, drought, and active replanting (Kibler, 2019). Even if long-term regrowth occurs, however, the carbon emissions occurring in the next 15–20 years will make it difficult to reach emission reduction targets needed to avert the 1.5 degree C increases in mean global temperature advocated by the Intergovernmental Panel on Climate Change (IPCC) (IPCC, 2018). Recent studies on the Australian wildfires have suggested that the magnitude of the fires in combination with the broadleaf species being burned likely places fires somewhere in between carbon neutrality and complete emissions (van der Velde et al., 2021).

In this short communication, we quantify the likely carbon emissions that occurred in 2020 from wildfire activity in California. We then situate these emissions in the context of other leading GHG emissions sectors in California. We conclude with policy recommendations for reporting of routine wildfire emissions and for increased investment in preventive measures.

### 1.1. Data and methods

Given substantial uncertainties among fire emissions inventories (Liu et al., 2020), we obtained multiple sources of fire emissions data for 2003–2020. First, we accessed satellite-based fire CO<sub>2</sub> emissions from the Global Fire Emissions Database version 4 with small fires (GFED4s) (1997–present; considered preliminary since 2017) and Global Fire Assimilation System version 1.2 (GFAS) using FIRECAM (Liu et al., 2020). These inventories represent “bottom-up” and “top-down” approaches to fire emissions estimation, respectively, and have shown the best correspondence with aerosol observations in North America (Carter et al., 2020). Although GFED and GFAS do not distinguish between wildfires and other landscape fires such as agricultural or prescribed burns, we expect this contribution to be minor in California. We also obtained wildfire-specific emissions estimates from the California Air Resources Board (CARB) (2000–2020), which combines individual fire perimeters with a wildland fire emissions model (CARB, 2020). The average across inventories is 127 mmt CO<sub>2</sub>e for 2020 (ranging from 101 to 171 mmt CO<sub>2</sub>e) and 18 mmt CO<sub>2</sub>e for 2003–2019 (ranging from 15 to 22 mmt CO<sub>2</sub>e).

We next compared wildfire emissions to sectoral GHG emissions for 2003–2020 to maintain consistency with availability for all three wildfire emissions inventories (CARB, 2021). In 2019, the CARB reported 418 mmt CO<sub>2</sub>e emissions for all sources with the top 3 being transportation (166 mmt CO<sub>2</sub>e), electrical power generation (59 mmt CO<sub>2</sub>e), and industry (88 mmt CO<sub>2</sub>e). For 2020, we assume constant emissions from the year 2019, as this was the last year where the CARB estimated sector-specific contributions to CO<sub>2</sub>e, although this may be an underestimate due to potential emissions reductions during the COVID-19 pandemic (Liu et al., 2021).

Finally, to assess the socioeconomic benefits of reducing these CO<sub>2</sub> emissions, without considering the co-benefits of air pollution reductions, we apply the social cost of carbon (SC-CO<sub>2</sub>). The SC-CO<sub>2</sub> is an estimate of the marginal damage caused by the emissions of an extra ton of CO<sub>2</sub> today in net present value. This value, adopted by the Biden administration in February 2021, is \$51 per ton with a 3% discount rate in 2020 USD (Interagency Working Group, 2016). We also apply a value of the SC-CO<sub>2</sub> where damages are restricted only to the United States. While this lower value of \$7.1 per ton in 2020 (Governmental Accountability Office, 2020) does not capture the global nature of emissions, it does allow us to attribute the local component of global damages caused by the fires.

## 2. Results

We first compared sectoral emissions to wildfire emissions, which indicate an approximate release of 127 mmtCO<sub>2</sub>e in 2020, nearly seven times the 2003–2019 mean. From 2003 to 2019, California’s GHG

emissions declined by 65 mmt CO<sub>2</sub>e (–13%), largely driven by reductions from the electric power generation sector. The 2020 fire season alone is two times higher than California’s total GHG emissions reductions and would comprise 49 percent of California’s 2030 total greenhouse emissions target of 260 mmtCO<sub>2</sub>e (Fig. 1) (CARB, 2017).

Global monetized damages caused only by CO<sub>2</sub> from California’s fire emissions in 2020 is approximately \$7.09 billion in net present value when applying SC-CO<sub>2</sub> from the Biden Administration with a constant 3% discount rate. This value is reduced to approximately \$986.9 million in damage for the U.S. when considering only domestic damages. If we consider what this implies for California only, we calculate the median damages to California as a percent of U.S. damages in 2080–2099 implied by Hsiang et al. (2017). This gives values of 8.5%, 12.1%, 9.4% for Representative Concentration Pathways (RCPs) 2.6, 4.5, and 8.5 respectively. Scaling the previous U.S.-only value to the average of these percentages, this would imply that the carbon emissions-only damages for California would be approximately \$98.7 million in net present value.

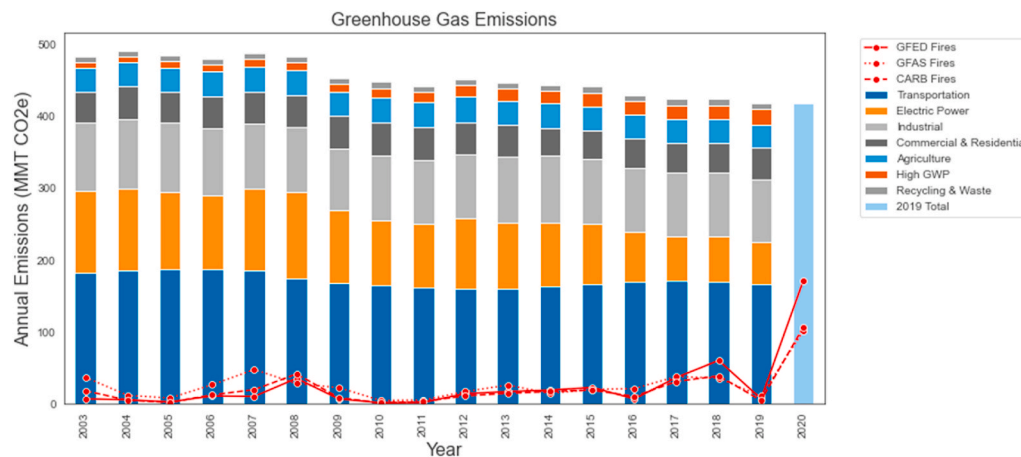
## 3. Conclusions

In this short communication, we analyzed the likely CO<sub>2</sub>e emissions from wildfires in California during 2020. Averaging three fire emissions estimates, we find that approximately 127 mmt CO<sub>2</sub>e were emitted in 2020. We emphasize that our wildfire emissions estimates do not consider subsequent vegetation regrowth following fires so this is considered an upper bound for net wildfire GHG contributions to the atmosphere. This regrowth, however, could take decades or longer depending on the type of ecosystem that burned.

If we compare fire GHG emissions to total GHG emissions of 418 mmt CO<sub>2</sub>e total in 2019, this amounts to a 30% increase in total emissions by all sectors. This makes the GHG emissions from wildfires the second most important source in the state, after transportation (166 mmtCO<sub>2</sub>e), but above either industry or electrical power generation (88 and 59 mmt CO<sub>2</sub>e, respectively). Viewed from the perspective of what this means for wildfire emission reductions from all other sectors combined, if we compare to reductions from 2003 to 2019 from 483 to 418 mmt CO<sub>2</sub>e, the likely amount of increase from the fires is close to double all the emission reductions achieved in the state from 2003 to 2019.

The economic damages are informative for two key reasons. First, they represent a currently unquantified aspect of damages due to fires that are incurred globally, in the U.S., and in California itself. These damages should be counted in addition to fire control costs, damages from air pollution, and direct loss of life and property. Second, they provide a benchmark against which to compare the costs of prevention measures, based purely on climate change mitigation, and not including co-benefits of reduced pollution, lower property risk and loss, and other damages associated with fire risk. The Federal government and California recently signed a memorandum of understanding to increase to 1 million acres per year forest treatment to prevent wildfires in the State (State of California, 2020); in 2021, California invested \$1.5 billion in wildfire resilience programs, including prescribed burning (California Wildfire & Forest Resilience Task Force, 2022). If future treatments are moderately effective and reduce wildfire risk and subsequent CO<sub>2</sub>e emissions by 20%, this would reduce 20% of the total \$7.09 billion in externality costs that we have calculated (i.e., \$1.42 billion in benefits). Including the carbon mitigation benefits further justifies the wildfire prevention costs.

Our analysis suggests several notable findings. First, wildfires in California have become a major and growing source of GHG emissions. Over the long to very long term, regrowth could alleviate some of the emissions, but this is unlikely to occur on the time scale necessary to meet near and medium-term emission targets needed to avert passing the 1.5 degree C threshold. Second, the magnitude of the emissions makes wildfires the second most important source of emissions in 2020 behind transportation emissions, and one that appears likely to grow



**Fig. 1.** Annual emissions from individual sectors and wildfire emissions. CARB, GFAS1.2, and GFED4s wildfire emissions shown as red lines (not considering vegetation regrowth). **Note:** Since data is not yet available, 2020 non-fire emissions are assumed to be equal to CARB 2019 estimates. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

with future climate change. Average wildfire emissions from the past five years (~46 mmt CO<sub>2</sub>e from 2016 to 2020) ranks above the most recent individual contributions from the Commercial & Residential, Agriculture, Recycling & Waste, and High Global Warming Potential sectors. The latter includes fluorine-containing gases that destroy stratospheric ozone; sources include electricity transmission and distribution and semiconductor manufacturing. Third, wildfire emissions in 2020 essentially negate 18 years of reductions in GHG emissions from other sectors by a factor of two. Fourth, the additional global damages due only to the contribution of these emissions to climate change can be valued at \$7.09 billion.

The findings imply several research directions and policy actions. The externalities caused by fire emissions incurs damages globally and in California, and the economic value should be considered alongside other direct costs of fires (Feo et al., 2020), including prevention and suppression. Wildfire emissions are not routinely reported with other key emission sources such as transportation, industry, and power generation. While wildfire emissions tend to be more variable than other sectors, it is still important to track these emissions to ensure near and medium-term emission reduction targets are met. A likely consequence is that wildfire emissions have not received nearly the same level of societal investment or attention as emissions from other sectors. Although wildfires are to some extent natural occurrences, human activity contributes to making wildfires “unnatural disasters” through anthropogenic climate change and development at the WUI in fire prone areas. Moreover, forest management policies focused on fire suppression rather than on preventive measures such as mechanical clearing and prescribed burning activities also likely increases the risk of large, destructive wildfires. If fires are no longer in balance with ecosystem regrowth, we risk different vegetation communities regrowing with less potential for carbon sequestration. A need also exists to develop accessible quantitative tools for policymakers and the public to understand how wildfire risk can be reduced through better management, how much loss of life and property can be avoided, and how much it will cost to achieve these goals. This will allow for more accurate assessment of investments in improved forest management or prevention of development in fire prone areas at the wildland-urban interface.

#### Author statement

M. Jerrett: Conceptualization, Methodology, Writing – original draft, Reviewing & Editing. A. Jina: Methodology, Writing-Reviewing & Editing. M. Marlier: Conceptualization, Methodology, Writing – original draft, Reviewing & Editing.

#### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper. Funding for Dr. Jerrett was supplied by the UCLA Center for Healthy Climate Solutions.

#### Data availability

Data will be made available on request.

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# Rate of tree carbon accumulation increases continuously with tree size

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**Forests are major components of the global carbon cycle, providing substantial feedback to atmospheric greenhouse gas concentrations<sup>1</sup>. Our ability to understand and predict changes in the forest carbon cycle—particularly net primary productivity and carbon storage—increasingly relies on models that represent biological processes across several scales of biological organization, from tree leaves to forest stands<sup>2,3</sup>. Yet, despite advances in our understanding of productivity at the scales of leaves and stands, no consensus exists about the nature of productivity at the scale of the individual tree<sup>4–7</sup>, in part because we lack a broad empirical assessment of whether rates of absolute tree mass growth (and thus carbon accumulation) decrease, remain constant, or increase as trees increase in size and age. Here we present a global analysis of 403 tropical and temperate tree species, showing that for most species mass growth rate increases continuously with tree size. Thus, large, old trees do not act simply as senescent carbon reservoirs but actively fix large amounts of carbon compared to smaller trees; at the extreme, a single big tree can add the same amount of carbon to the forest within a year as is contained in an entire mid-sized tree. The apparent paradoxes of individual tree growth increasing with tree size despite declining leaf-level<sup>8–10</sup> and stand-level<sup>10</sup> productivity can be explained, respectively, by increases in a tree's total leaf area that outpace declines in productivity per unit of leaf area and, among other factors, age-related reductions in population density. Our results resolve conflicting assumptions about the nature of tree growth, inform efforts to understand and model forest carbon dynamics, and have additional implications for theories of resource allocation<sup>11</sup> and plant senescence<sup>12</sup>.**

A widely held assumption is that after an initial period of increasing growth, the mass growth rate of individual trees declines with increasing tree size<sup>4,5,13–16</sup>. Although the results of a few single-species studies have been consistent with this assumption<sup>15</sup>, the bulk of evidence cited in support of declining growth is not based on measurements of individual tree mass growth. Instead, much of the cited evidence documents either the well-known age-related decline in net primary productivity (hereafter 'productivity') of even-aged forest stands<sup>10</sup> (in which the trees are all of a similar age) or size-related declines in the rate of mass gain per

unit leaf area (or unit leaf mass)<sup>8–10</sup>, with the implicit assumption that declines at these scales must also apply at the scale of the individual tree. Declining tree growth is also sometimes inferred from life-history theory to be a necessary corollary of increasing resource allocation to reproduction<sup>11,16</sup>. On the other hand, metabolic scaling theory predicts that mass growth rate should increase continuously with tree size<sup>6</sup>, and this prediction has also received empirical support from a few site-specific studies<sup>6,7</sup>. Thus, we are confronted with two conflicting generalizations about the fundamental nature of tree growth, but lack a global assessment that would allow us to distinguish clearly between them.

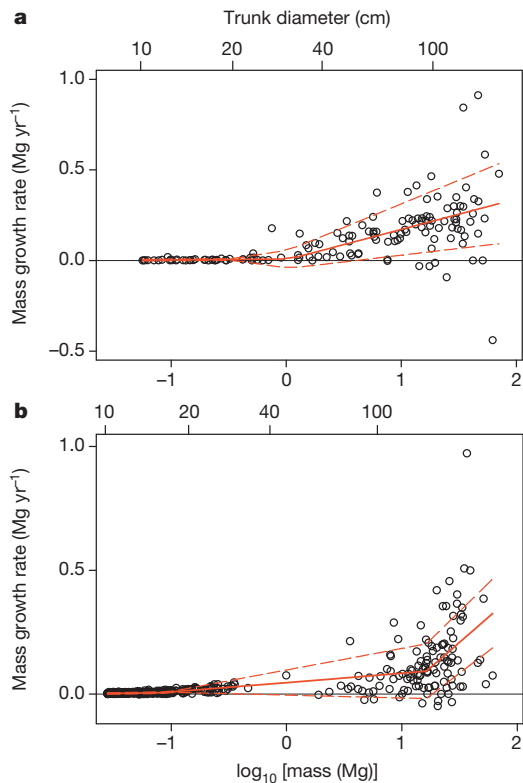
To fill this gap, we conducted a global analysis in which we directly estimated mass growth rates from repeated measurements of 673,046 trees belonging to 403 tropical, subtropical and temperate tree species, spanning every forested continent. Tree growth rate was modelled as a function of log(tree mass) using piecewise regression, where the independent variable was divided into one to four bins. Conjoined line segments were fitted across the bins (Fig. 1).

For all continents, aboveground tree mass growth rates (and, hence, rates of carbon gain) for most species increased continuously with tree mass (size) (Fig. 2). The rate of mass gain increased with tree mass in each model bin for 87% of species, and increased in the bin that included the largest trees for 97% of species; the majority of increases were statistically significant (Table 1, Extended Data Fig. 1 and Supplementary Table 1). Even when we restricted our analysis to species achieving the largest sizes (maximum trunk diameter > 100 cm; 33% of species), 94% had increasing mass growth rates in the bin that included the largest trees. We found no clear taxonomic or geographic patterns among the 3% of species with declining growth rates in their largest trees, although the small number of these species (thirteen) hampers inference. Declining species included both angiosperms and gymnosperms in seven of the 76 families in our study; most of the seven families had only one or two declining species and no family was dominated by declining species (Supplementary Table 1).

When we log-transformed mass growth rate in addition to tree mass, the resulting model fits were generally linear, as predicted by metabolic scaling theory<sup>6</sup> (Extended Data Fig. 2). Similar to the results of our main

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**Figure 1 | Example model fits for tree mass growth rates.** The species shown are the angiosperm species (*Lecomtedoxa klaineana*, Cameroon, 142 trees) (a) and gymnosperm species (*Picea sitchensis*, USA, 409 trees) (b) in our data set that had the most massive trees (defined as those with the greatest cumulative aboveground dry mass in their five most massive trees). Each point represents a single tree; the solid red lines represent best fits selected by our model; and the dashed red lines indicate one standard deviation around the predicted values.

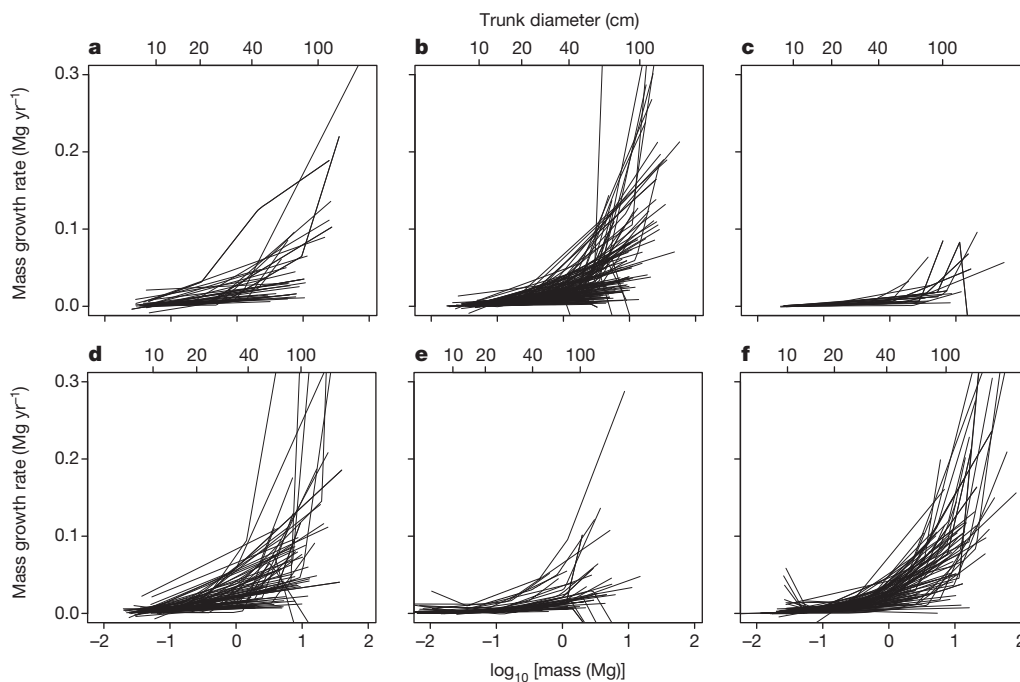
analysis using untransformed growth, of the 381 log-transformed species analysed (see Methods), the log-transformed growth rate increased in the bin containing the largest trees for 96% of species.

In absolute terms, trees 100 cm in trunk diameter typically add from 10 kg to 200 kg of aboveground dry mass each year (depending on species), averaging 103 kg per year. This is nearly three times the rate for trees of the same species at 50 cm in diameter, and is the mass equivalent to adding an entirely new tree of 10–20 cm in diameter to the forest each year. Our findings further indicate that the extraordinary growth recently reported in an intensive study of large *Eucalyptus regnans* and *Sequoia sempervirens*<sup>7</sup>, which included some of the world's most massive individual trees, is not a phenomenon limited to a few unusual species. Rather, rapid growth in giant trees is the global norm, and can exceed 600 kg per year in the largest individuals (Fig. 3).

Our data set included many natural and unmanaged forests in which the growth of smaller trees was probably reduced by asymmetric competition with larger trees. To explore the effects of competition, we calculated mass growth rates for 41 North American and European species that had published equations for diameter growth rate in the absence of competition. We found that, even in the absence of competition, 85% of the species had mass growth rates that increased continuously with tree size (Extended Data Fig. 3), with growth curves closely resembling those in Fig. 2. Thus, our finding of increasing growth not only has broad generality across species, continents and forest biomes (tropical, subtropical and temperate), it appears to hold regardless of competitive environment.

Importantly, our finding of continuously increasing growth is compatible with the two classes of observations most often cited as evidence of declining, rather than increasing, individual tree growth: with increasing tree size and age, productivity usually declines at the scales of both tree organs (leaves) and tree populations (even-aged forest stands).

First, although growth efficiency (tree mass growth per unit leaf area or leaf mass) often declines with increasing tree size<sup>8–10</sup>, empirical observations and metabolic scaling theory both indicate that, on average, total tree leaf mass increases as the square of trunk diameter<sup>17,18</sup>. A typical tree that experiences a tenfold increase in diameter will therefore undergo a roughly 100-fold increase in total leaf mass and a 50–100-fold



**Figure 2 | Aboveground mass growth rates for the 403 tree species, by continent.** a, Africa (Cameroon, Democratic Republic of the Congo); b, Asia (China, Malaysia, Taiwan, Thailand); c, Australasia (New Zealand); d, Central and South America (Argentina, Colombia, Panama); e, Europe (Spain); and

f, North America (USA). Numbers of trees, numbers of species and percentages with increasing growth are given in Table 1. Trunk diameters are approximate values for reference, based on the average diameters of trees of a given mass.

**Table 1 | Sample sizes and tree growth trends by continent**

| Continent                 | Number of trees | Number of species | Percentage of species with increasing mass growth rate in the largest trees<br>(percentage significant at $P \leq 0.05$ ) |
|---------------------------|-----------------|-------------------|---|
| Africa                    | 15,366          | 37                | 100.0 (86.5)  |
| Asia                      | 43,690          | 136               | 96.3 (89.0)   |
| Australasia               | 45,418          | 22                | 95.5 (95.5)   |
| Central and South America | 18,530          | 77                | 97.4 (92.2)   |
| Europe                    | 439,889         | 42                | 90.5 (78.6)   |
| North America             | 110,153         | 89                | 98.9 (94.4)   |
| Total                     | 673,046         | 403               | 96.8 (89.8)   |

The largest trees are those in the last bin fitted by the model. Countries are listed in the legend for Fig. 2.

increase in total leaf area (depending on size-related increases in leaf mass per unit leaf area<sup>19,20</sup>). Parallel changes in growth efficiency can range from a modest increase (such as in stands where small trees are suppressed by large trees)<sup>21</sup> to as much as a tenfold decline<sup>22</sup>, with most changes falling in between<sup>8,9,19,22</sup>. At one extreme, the net effect of a low (50-fold) increase in leaf area combined with a large (tenfold) decline in growth efficiency would still yield a fivefold increase in individual tree mass growth rate; the opposite extreme would yield roughly a 100-fold increase. Our calculated 52-fold greater average mass growth rate of trees 100 cm in diameter compared to those 10 cm in diameter falls within this range. Thus, although growth efficiency often declines with increasing tree size, increases in a tree's total leaf area are sufficient to overcome this decline and cause whole-tree carbon accumulation rate to increase.

Second, our findings are similarly compatible with the well-known age-related decline in productivity at the scale of even-aged forest stands. Although a review of mechanisms is beyond the scope of this paper<sup>10,23</sup>, several factors (including the interplay of changing growth efficiency and tree dominance hierarchies<sup>24</sup>) can contribute to declining productivity at the stand scale. We highlight the fact that increasing individual tree growth rate does not automatically result in increasing stand productivity because tree mortality can drive orders-of-magnitude reductions in population density<sup>25,26</sup>. That is, even though the large trees in older, even-aged stands may be growing more rapidly, such stands have fewer trees. Tree population dynamics, especially mortality, can thus be a significant contributor to declining productivity at the scale of the forest stand<sup>23</sup>.

For a large majority of species, our findings support metabolic scaling theory's qualitative prediction of continuously increasing growth

at the scale of individual trees<sup>6</sup>, with several implications. For example, life-history theory often assumes that tradeoffs between plant growth and reproduction are substantial<sup>11</sup>. Contrary to some expectations<sup>11,16</sup>, our results indicate that for most tree species size-related changes in reproductive allocation are insufficient to drive long-term declines in growth rates<sup>6</sup>. Additionally, declining growth is sometimes considered to be a defining feature of plant senescence<sup>12</sup>. Our findings are thus relevant to understanding the nature and prevalence of senescence in the life history of perennial plants<sup>27</sup>.

Finally, our results are relevant to understanding and predicting forest feedbacks to the terrestrial carbon cycle and global climate system<sup>1-3</sup>. These feedbacks will be influenced by the effects of climatic, land-use and other environmental changes on the size-specific growth rates and size structure of tree populations—effects that are already being observed in forests<sup>28,29</sup>. The rapid growth of large trees indicates that, relative to their numbers, they could play a disproportionately important role in these feedbacks<sup>30</sup>. For example, in our western USA old-growth forest plots, trees >100 cm in diameter comprised 6% of trees, yet contributed 33% of the annual forest mass growth. Mechanistic models of the forest carbon cycle will depend on accurate representation of productivity across several scales of biological organization, including calibration and validation against continuously increasing carbon accumulation rates at the scale of individual trees.

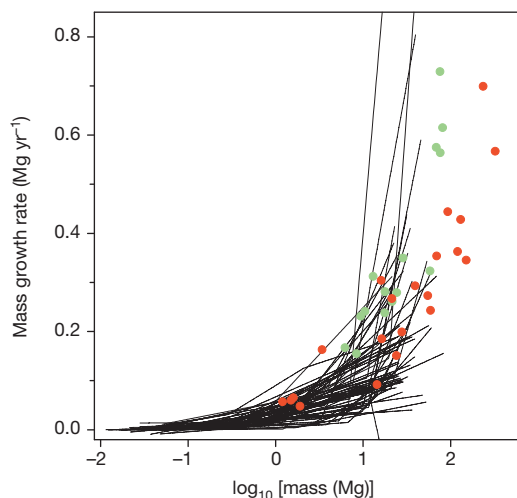
## METHODS SUMMARY

We estimated aboveground dry mass growth rates from consecutive diameter measurements of tree trunks—typically measured every five to ten years—from long-term monitoring plots. Analyses were restricted to trees with trunk diameter  $\geq 10$  cm, and to species having  $\geq 40$  trees in total and  $\geq 15$  trees with trunk diameter  $\geq 30$  cm. Maximum trunk diameters ranged from 38 cm to 270 cm among species, averaging 92 cm. We converted each diameter measurement (plus an accompanying height measurement for 16% of species) to aboveground dry mass,  $M$ , using published allometric equations. We estimated tree growth rate as  $G = \Delta M / \Delta t$  and modelled  $G$  as a function of  $\log(M)$  for each species using piecewise regression. The independent variable  $\log(M)$  was divided into bins and a separate line segment was fitted to  $G$  versus  $\log(M)$  in each bin so that the line segments met at the bin divisions. Bin divisions were not assigned a priori, but were fitted by the model separately for each species. We fitted models with 1, 2, 3 and 4 bins, and selected the model receiving the most support by Akaike's Information Criterion for each species. Our approach thus makes no assumptions about the shape of the relationship between  $G$  and  $\log(M)$ , and can accommodate increasing, decreasing or hump-shaped relationships. Parameters were fitted with a Gibbs sampler based on Metropolis updates, producing credible intervals for model parameters and growth rates at any diameter; uninformative priors were used for all parameters. We tested extensively for bias, and found no evidence that our results were influenced by model fits failing to detect a final growth decline in the largest trees, possible biases introduced by the 47% of species for which we combined data from several plots, or possible biases introduced by allometric equations (Extended Data Figs 4 and 5).

**Online Content** Any additional Methods, Extended Data display items and Source Data are available in the online version of the paper; references unique to these sections appear only in the online paper.

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**Figure 3 | Aboveground mass growth rates of species in our data set compared with *E. regnans* and *S. sempervirens*.** For clarity, only the 58 species in our data set having at least one tree exceeding 20 Mg are shown (lines). Data for *E. regnans* (green dots, 15 trees) and *S. sempervirens* (red dots, 21 trees) are from an intensive study that included some of the most massive individual trees on Earth<sup>7</sup>. Both axes are expanded relative to those of Fig. 2.

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**Supplementary Information** is available in the online version of the paper.

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**Author Contributions** N.L.S. and A.J.D. conceived the study with feedback from R.C. and D.A.C., N.L.S., A.J.D., R.C. and S.E.R. wrote the manuscript. R.C. devised the main analytical approach and wrote the computer code. N.L.S., A.J.D., R.C., S.E.R., P.J.B., N.G.B., D.A.C., E.R.L., W.K.M. and N.R. performed analyses. N.L.S., A.J.D., R.C., S.E.R., P.J.B., D.A.C., E.R.L., W.K.M., E.A., C.B., S.B., G.C., S.J.D., A.D., C.N.E., O.F., J.F.F., H.R.G., Z.H., M.E.H., S.P.H., D.K., Y.L., J.-R.M., A.M., L.R.M., R.J.P., N.P., S.-H.S., I.-F.S., S.T., D.T., P.J.v.M., X.W., S.K.W. and M.A.Z. supplied data and sources of allometric equations appropriate to their data.

**Author Information** Fitted model parameters for each species have been deposited in USGS’s ScienceBase at <http://dx.doi.org/10.5066/F7JS9NFM>. Reprints and permissions information is available at [www.nature.com/reprints](http://www.nature.com/reprints). The authors declare no competing financial interests. Readers are welcome to comment on the online version of the paper. Correspondence and requests for materials should be addressed to N.L.S. (nstephenson@usgs.gov).

## METHODS

**Data.** We required that forest monitoring plots provided unbiased samples of all living trees within the plot boundaries, and that the trees had undergone two trunk diameter measurements separated by at least one year. Some plots sampled minimally disturbed old (all-aged) forest, whereas others, particularly those associated with national inventories, sampled forest stands regardless of past management history. Plots are described in the references cited in Supplementary Table 1.

Our raw data were consecutive measurements of trunk diameter,  $D$ , with most measurements taken 5 to 10 years apart (range, 1–29 years).  $D$  was measured at a standard height on the trunk (usually 1.3–1.4 m above ground level), consistent across measurements for a tree. Allometric equations for 16% of species required, in addition to consecutive measurements of  $D$ , consecutive measurements of tree height.

We excluded trees exhibiting extreme diameter growth, defined as trunks where  $D$  increased by  $\geq 40 \text{ mm yr}^{-1}$  or that shrank by  $\geq 12s$ , where  $s$  is the standard deviation of the  $D$  measurement error,  $s = 0.9036 + 0.006214D$  (refs 31, 32); outliers of these magnitudes were almost certainly due to error. By being so liberal in allowing negative growth anomalies, we erred on the side of reducing our ability to detect increases in tree mass growth rate. Using other exclusion values yielded similar results, as did a second approach to handling error in which we reanalysed a subset of our models using a Bayesian method that estimates growth rates after accounting for error, based on independent plot-specific data quantifying measurement error<sup>33</sup>.

To standardize minimum  $D$  among data sets, we analysed only trees with  $D \geq 10 \text{ cm}$  at the first census. To ensure adequate samples of trees spanning a broad range of sizes, we restricted analyses to species having both  $\geq 40$  trees in total and also  $\geq 15$  trees with  $D \geq 30 \text{ cm}$  at the first census. This left us with 673,046 trees belonging to 403 tropical and temperate species in 76 families, spanning twelve countries and all forested continents (Supplementary Table 1). Maximum trunk diameters ranged from 38 cm to 270 cm among species, and averaged 92 cm.

**Estimating tree mass.** To estimate each tree's aboveground dry mass,  $M$ , we used published allometric equations relating  $M$  to  $D$  (or for 16% of species, relating  $M$  to  $D$  and tree height). Some equations were species-specific and others were specific to higher taxonomic levels or forest types, described in the references in Supplementary Table 1. The single tropical moist forest equation of ref. 34 was applied to most tropical species, whereas most temperate species had unique species-specific equations. Most allometric equations are broadly similar, relating  $\log(M)$  to  $\log(D)$  linearly, or nearly linearly—a familiar relationship in allometric scaling of both animals and plants<sup>35</sup>. Equations can show a variety of differences in detail, however, with some adding  $\log(D)$  squared and cubed terms. All equations make use of the wood density of individual species, but when wood density was not available for a given species we used mean wood density for a genus or family<sup>36</sup>.

Using a single, average allometry for most tropical species, and mean wood density for a genus or family for several species, limits the accuracy of our estimates of  $M$ . However, because we treat each species separately, it makes no difference whether our absolute  $M$  estimates are more accurate in some species than in others, only that they are consistent within a species and therefore accurately reveal whether mass growth rates increase or decrease with tree size.

For two regions—Spain and the western USA—allometric equations estimated mass only for a tree's main stem rather than all aboveground parts, including branches and leaves. But because leaf and stem masses are positively correlated and their growth rates are expected to scale isometrically both within and among species<sup>18,37,38</sup>, results from these two regions should not alter our qualitative conclusions. Confirming this, the percentage of species with increasing stem mass growth rate in the last bin for Spain and the western USA (93.4% of 61 species) was similar to that from the remainder of regions (97.4% of 342 species) ( $P = 0.12$ , Fisher's exact test).

**Modelling mass growth rate.** We sought a modelling approach that made no assumptions about the shape of the relationship between aboveground dry mass growth rate,  $G$ , and aboveground dry mass,  $M$ , and that could accommodate monotonically increasing, monotonically decreasing, or hump-shaped relationships. We therefore chose to model  $G$  as a function of  $\log(M)$  using piecewise linear regression. The range of the  $x$  axis,  $X = \log(M)$ , is divided into a series of bins, and within each bin  $G$  is fitted as a function of  $X$  by linear regression. The position of the bins is adaptive: it is fitted along with the regression terms. Regression lines are required to meet at the boundary between bins. For a single model-fitting run the number of bins,  $B$ , is fixed. For example, if  $B = 2$ , there are four parameters to be fitted for a single species: the location of the boundary between bins,  $X_1$ ; the slope of the regression in the first bin,  $S_1$ ; the slope in the second bin,  $S_2$ ; and an intercept term. Those four parameters completely define the model. In general, there are  $2B$  parameters for  $B$  bins.

Growth rates, while approximately normally distributed, were heteroskedastic, with the variance increasing with mass (Fig. 1), so an additional model was needed for the standard deviation of  $G$ ,  $\sigma_G$ , as a function of  $\log(M)$ . The increase of  $\sigma_G$

with  $\log(M)$  was clearly not linear, so we used a three-parameter model:

$$\sigma_G = k \quad (\text{for } \log(M) < d)$$

$$\sigma_G = a + b \log(M) \quad (\text{for } \log(M) \geq d)$$

where the intercept  $a$  is determined by the values of  $k$ ,  $d$  and  $b$ . Thus  $\sigma_G$  was constant for smaller values of  $\log(M)$  (below the cutoff  $d$ ), then increased linearly for larger  $\log(M)$  (Fig. 1). The parameters  $k$ ,  $d$  and  $b$  were estimated along with the parameters of the growth model.

Parameters of both the growth and standard deviation models were estimated in a Bayesian framework using the likelihood of observing growth rates given model predictions and the estimated standard deviation of the Gaussian error function. A Markov chain Monte Carlo chain of parameter estimates was created using a Gibbs sampler with a Metropolis update<sup>39,40</sup> written in the programming language R (ref. 41) (a tutorial and the computer code are available through <http://ctfs.arnarb.harvard.edu/Public/CTFSRPackage/files/tutorials/growthfitAnalysis>). The sampler works by updating each of the parameters in sequence, holding other parameters fixed while the relevant likelihood function is used to locate the target parameter's next value. The step size used in the updates was adjusted adaptively through the runs, allowing more rapid convergence<sup>40</sup>. The final Markov chain Monte Carlo chain describes the posterior distribution for each model parameter, the error, and was then used to estimate the posterior distribution of growth rates as estimated from the model. Priors on model parameters were uniform over an unlimited range, whereas the parameters describing the standard deviation were restricted to  $>0$ . Bin boundaries,  $X_b$ , were constrained as follows: (1) boundaries could only fall within the range of  $X$ , (2) each bin contained at least five trees, and (3) no bin spanned less than 10% of the range of  $X$ . The last two restrictions prevented the bins from collapsing to very narrow ranges of  $X$  in which the fitted slope might take absurd extremes.

We chose piecewise regression over other alternatives for modelling  $G$  as a function of  $M$  for two main reasons. First, the linear regression slopes within each bin provide precise statistical tests of whether  $G$  increases or decreases with  $X$ , based on credible intervals of the slope parameters. Second, with adaptive bin positions, the function is completely flexible in allowing changes in slope at any point in the  $X$  range, with no influence of any one bin on the others. In contrast, in parametric models where a single function defines the relationship across all  $X$ , the shape of the curve at low  $X$  can (and indeed must) influence the shape at high  $X$ , hindering statistical inference about changes in tree growth at large size.

We used  $\log(M)$  as our predictor because within a species  $M$  has a highly non-Gaussian distribution, with many small trees and only a few very large trees, including some large outliers. In contrast, we did not log-transform our dependent variable  $G$  so that we could retain values of  $G \leq 0$  that are often recorded in very slowly growing trees, for which diameter change over a short measurement interval can be on a par with diameter measurement error.

For each species, models with 1, 2, 3 and 4 bins were fitted. Of these four models, the model receiving the greatest weight of evidence by Akaike Information Criterion (AIC) was selected. AIC is defined as the log-likelihood of the best-fitting model, penalized by twice the number of parameters. Given that adding one more bin to a model meant two more parameters, the model with an extra bin had to improve the log-likelihood by 4 to be considered a better model<sup>42</sup>.

**Assessing model fits.** To determine whether our approach might have failed to reveal a final growth decline within the few largest trees of the various species, we calculated mass growth rate residuals for the single most massive individual tree of each species. For 52% of the 403 species, growth of the most massive tree was underestimated by our model fits (for example, Fig. 1a); for 48% it was overestimated (for example, Fig. 1b). These proportions were indistinguishable from 50% ( $P = 0.55$ , binomial test), as would be expected for unbiased model fits. Furthermore, the mean residual (observed minus predicted) mass growth rate of these most massive trees,  $+0.006 \text{ Mg yr}^{-1}$ , was statistically indistinguishable from zero ( $P = 0.29$ , two-tailed  $t$ -test). We conclude that our model fits accurately represent growth trends up through, and including, the most massive trees.

**Effects of combined data.** To achieve sample sizes adequate for analysis, for some species we combined data from several different forest plots, potentially introducing a source of bias: if the largest trees of a species disproportionately occur on productive sites, the increase in mass growth rate with tree size could be exaggerated. This might occur because trees on less-productive sites—presumably the sites having the slowest-growing trees within any given size class—could be under-represented in the largest size classes. We assessed this possibility in two ways.

First, our conclusions remained unchanged when we compared results for the 53% of species that came uniquely from single large plots with those of the 47% of species whose data were combined across several plots. Proportions of species with increasing mass growth rates in the last bin were indistinguishable between the two groups (97.6% and 95.8%, respectively;  $P = 0.40$ , Fisher's exact test). Additionally,

the shapes and magnitudes of the growth curves for Africa and Asia, where data for each species came uniquely from single large plots, were similar to those of Australasia, Europe and North America, where data for each species were combined across several plots (Table 1, Fig. 2 and Extended Data Fig. 2). (Data from Central and South America were from both single and combined plots, depending on species.)

Second, for a subset of combined-data species we compared two sets of model fits: (1) using all available plots (that is, the analyses we present in the main text), and (2) using only plots that contained massive trees—those in the top 5% of mass for a species. To maximize our ability to detect differences, we limited these analyses to species with large numbers of trees found in a large number of plots, dispersed widely across a broad geographic region. We therefore analysed the twelve Spanish species that each had more than 10,000 individual trees (Supplementary Table 1), found in 34,580 plots distributed across Spain. Massive trees occurred in 6,588 (19%) of the 34,580 plots. We found no substantial differences between the two analyses. When all 34,580 plots were analysed, ten of the twelve species showed increasing growth in the last bin, and seven showed increasing growth across all bins; when only the 6,588 plots containing the most massive trees were analysed, the corresponding numbers were eleven and nine. Model fits for the two groups were nearly indistinguishable in shape and magnitude across the range of tree masses. We thus found no evidence that the potential for growth differences among plots influenced our conclusions.

**Effects of possible allometric biases.** For some species, the maximum trunk diameter  $D$  in our data sets exceeded the maximum used to calibrate the species' allometric equation. In such cases our estimates of  $M$  extrapolate beyond the fitted allometry and could therefore be subject to bias. For 336 of our 403 species we were able to determine  $D$  of the largest tree that had been used in calibrating the associated allometric equations. Of those 336 species, 74% (dominated by tropical species) had no trees in our data set with  $D$  exceeding that used in calibrating the allometric equations, with the remaining 26% (dominated by temperate species) having at least one tree with  $D$  exceeding that used in calibration. The percentage of species with increasing  $G$  in the last bin for the first group (98.0%) was indistinguishable from that of the second group (96.6%) ( $P = 0.44$ , Fisher's exact test). Thus, our finding of increasing  $G$  with tree size is not affected by the minority of species that have at least one tree exceeding the maximum value of  $D$  used to calibrate their associated allometric equations.

A bias that could inflate the rate at which  $G$  increases with tree size could arise if allometric equations systematically underestimate  $M$  for small trees or overestimate  $M$  for large trees<sup>45</sup>. For a subset of our study species we obtained the raw data—consisting of measured values of  $D$  and  $M$  for individual trees—needed to calibrate allometric equations, allowing us to determine whether the particular form of those species' allometric equations was prone to bias, and if so, the potential consequences of that bias.

To assess the potential for allometric bias for the majority (58%) of species in our data set—those that used the empirical moist tropical forest equation of ref. 34—we reanalysed the data provided by ref. 34. The data were from 1,504 harvested trees representing 60 families and 184 genera, with  $D$  ranging from 5 cm to 156 cm; the associated allometric equation relates  $\log(M)$  to a third-order polynomial of  $\log(D)$ . Because the regression of  $M$  on  $D$  was fitted on a log–log scale, this and subsequent equations include a correction of  $\exp[(\text{RSE})^2/2]$  for the error in back-transformation, where RSE is the residual standard error from the statistical model<sup>44</sup>. Residuals of  $M$  for the equation revealed no evident biases (Extended Data Fig. 4a), suggesting that we should expect little (if any) systematic size-related biases in our estimates of  $G$  for the 58% of our species that used this equation.

Our simplest form of allometric equation—applied to 22% of our species—was  $\log(M) = a + b \log(D)$ , where  $a$  and  $b$  are taxon-specific constants. For nine of our species that used equations of this form (all from the temperate western USA: *Abies amabilis*, *A. concolor*, *A. procera*, *Pinus lambertiana*, *Pinus ponderosa*, *Picea sitchensis*, *Pseudotsuga menziesii*, *Tsuga heterophylla* and *T. mertensiana*) we had values of both  $D$  and  $M$  for a total of 1,358 individual trees, allowing us to fit species-specific allometric equations of the form  $\log(M) = a + b \log(D)$  and then assess them for bias. Residual plots showed a tendency to overestimate  $M$  for the largest trees (Extended Data Fig. 4b), with the possible consequence of inflating estimates of  $G$  for the largest relative to the smallest trees of these species.

To determine whether this bias was likely to alter our qualitative conclusion that  $G$  increases with tree size, we created a new set of allometric relations between  $D$  and  $M$ —one for each of the nine species—using the same piecewise linear regression approach we used to model  $G$  as a function of  $M$ . However, because our goal was to eliminate bias rather than seek the most parsimonious model, we fixed the number of bins at four, with the locations of boundaries between the bins being fitted by the model. Our new allometry using piecewise regressions led to predictions of  $M$  with no apparent bias relative to  $D$  (Extended Data Fig. 4c). This new, unbiased allometry gave the same qualitative results as our original, simple allometry

regarding the relationship between  $G$  and  $M$ : for all nine species,  $G$  increased in the bin containing the largest trees, regardless of the allometry used (Extended Data Fig. 5). We conclude that any bias associated with the minority of our species that used the simple allometric equation form was unlikely to affect our broad conclusion that  $G$  increases with tree size in a majority of tree species.

As a final assessment, we compared our results to those of a recent study of *E. regnans* and *S. sempervirens*, in which  $M$  and  $G$  had been calculated from intensive measurements of aboveground portions of trees without the use of standard allometric equations<sup>7</sup>. Specifically, in two consecutive years 36 trees of different sizes and ages were climbed, trunk diameters were systematically measured at several heights, branch diameters and lengths were measured (with subsets of foliage and branches destructively sampled to determine mass relationships), wood densities were determined and ring widths from increment cores were used to supplement measured diameter growth increments. The authors used these measurements to calculate  $M$  for each of the trees in each of the two consecutive years, and  $G$  as the difference in  $M$  between the two years<sup>7</sup>. *E. regnans* and *S. sempervirens* are the world's tallest angiosperm and gymnosperm species, respectively, so the data set was dominated by exceptionally large trees; most had  $M \geq 20$  Mg, and  $M$  of some individuals exceeded that of the most massive trees in our own data set (which lacked *E. regnans* and *S. sempervirens*). We therefore compared *E. regnans* and *S. sempervirens* to the 58 species in our data set that had at least one individual with  $M \geq 20$  Mg. Sample sizes for *E. regnans* and *S. sempervirens*—15 and 21 trees, respectively—fell below our required  $\geq 40$  trees for fitting piecewise linear regressions, so we simply plotted data points for individual *E. regnans* and *S. sempervirens* along with the piecewise regressions that we had already fitted for our 58 comparison species (Fig. 3).

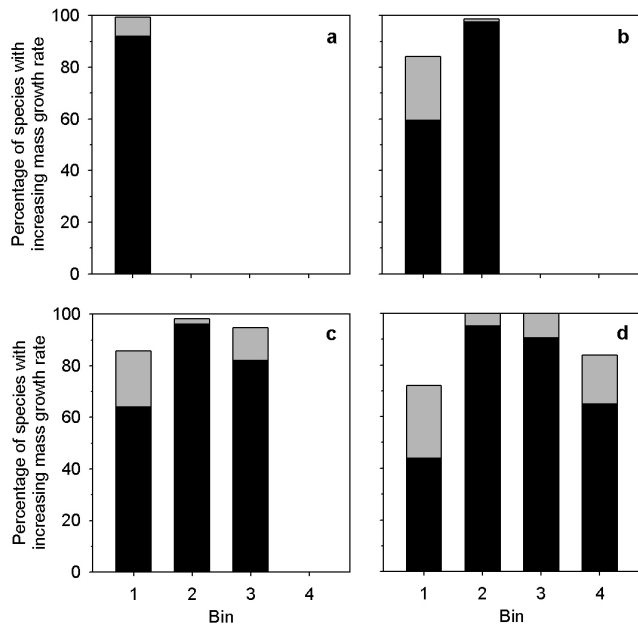
As reported by ref. 7,  $G$  increased with  $M$  for both *E. regnans* and *S. sempervirens*, up to and including some of the most massive individual trees on the Earth (Fig. 3). Within the zone of overlapping  $M$  between the two data sets,  $G$  values for individual *E. regnans* and *S. sempervirens* trees fell almost entirely within the ranges of the piecewise regressions we had fitted for our 58 comparison species. We take these observations as a further indication that our results, produced using standard allometric equations, accurately reflect broad relationships between  $M$  and  $G$ .

**Fitting log–log models.** To model  $\log(G)$  as a function of  $\log(M)$ , we used the binning approach that we used in our primary analysis of mass growth rate (described earlier). However, in log-transforming growth we dropped trees with  $G \leq 0$ . Because negative growth rates become more extreme with increasing tree size, dropping them could introduce a bias towards increasing growth rates. Log-transformation additionally resulted in skewed growth rate residuals. Dropping trees with  $G \leq 0$  caused several species to fall below our threshold sample size, reducing the total number of species analysed to 381 (Extended Data Fig. 2).

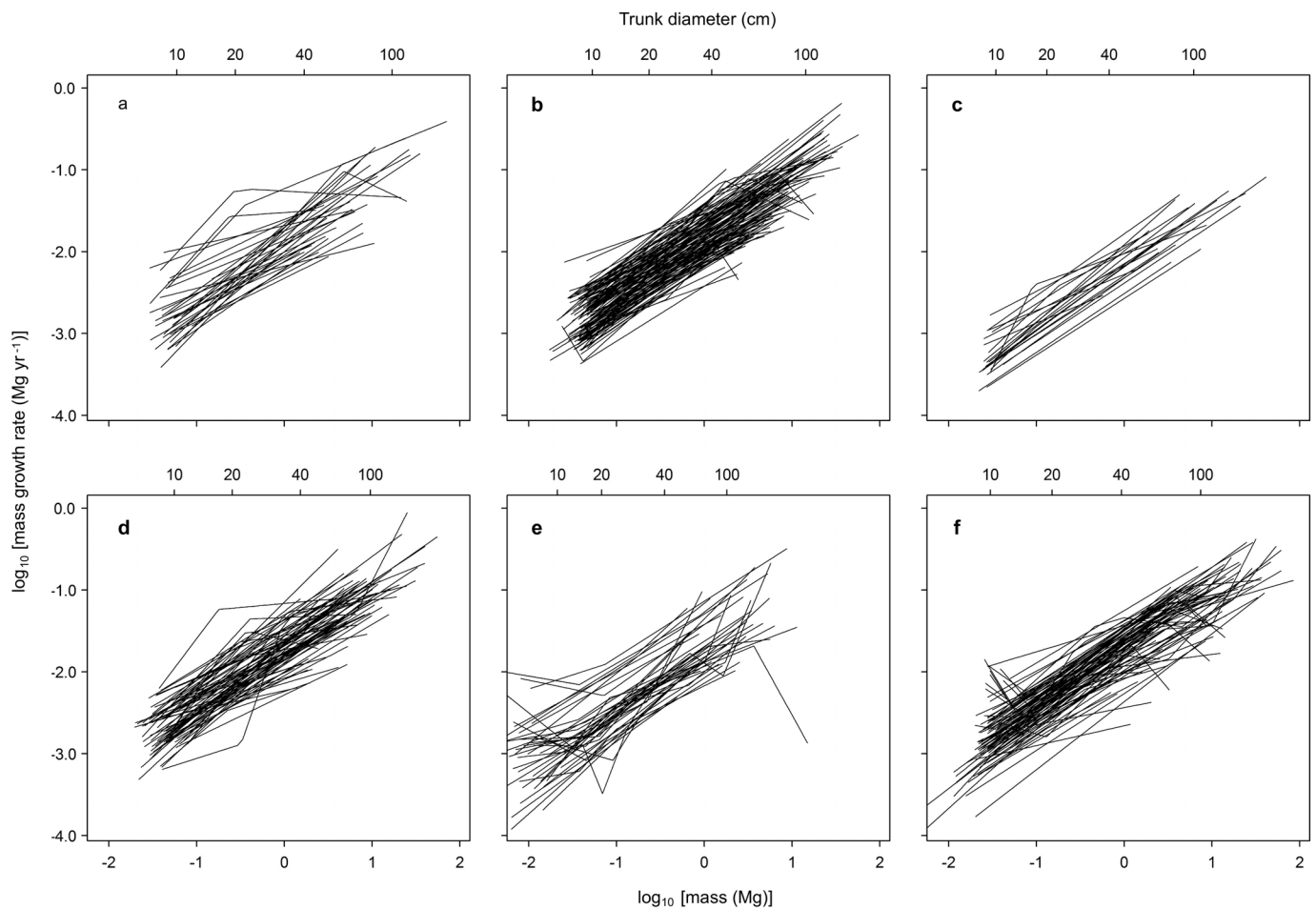
**Growth in the absence of competition.** We obtained published equations for 41 North American and European species, in 46 species-site combinations, relating species-specific tree diameter growth rates to trunk diameter  $D$  and to neighbourhood competition<sup>45–49</sup>. Setting neighbourhood competition to zero gave us equations describing estimated annual  $D$  growth as a function of  $D$  in the absence of competition. Starting at  $D_0 = 10$  cm, we sequentially (1) calculated annual  $D$  growth for a tree of size  $D_0$ , (2) added this amount to  $D_0$  to determine  $D_1$ , (3) used an appropriate taxon-specific allometric equation to calculate the associated tree masses  $M_0$  and  $M_1$ , and (iv) calculated tree mass growth rate  $G_0$  of a tree of mass  $M_0$  in the absence of competition as  $M_1 - M_0$ . For each of the five species that had separate growth analyses available from two different sites, we required that mass growth rate increased continuously with tree size at both sites for the species to be considered to have a continuously increasing mass growth rate. North American and European allometries were taken from refs 17 and 50, respectively, with preference given to allometric equations based on power functions of tree diameter, large numbers of sampled trees, and trees spanning a broad range of diameters. For the 47% of European species for which ref. 50 had no equations meeting our criteria, we used the best-matched (by species or genus) equations from ref. 17.

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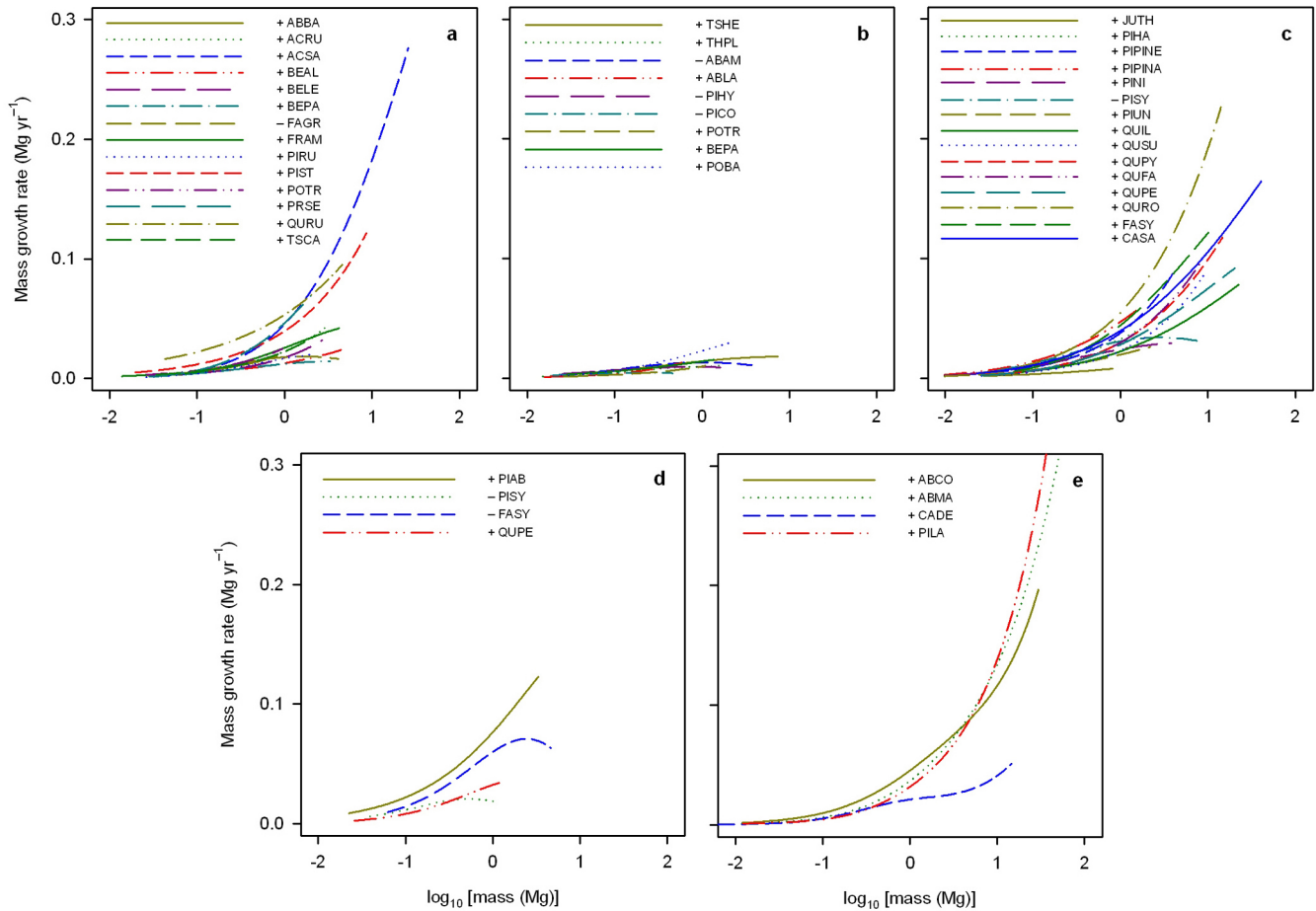


**Extended Data Figure 1 | Summary of model fits for tree mass growth rates.** Bars show the percentage of species with mass growth rates that increase with tree mass for each bin; black shading indicates percentage significant at  $P \leq 0.05$ . Tree masses increase with bin number. **a**, Species fitted with one bin (165 species); **b**, Species fitted with two bins (139 species); **c**, Species fitted with three bins (56 species); and **d**, Species fitted with four bins (43 species).



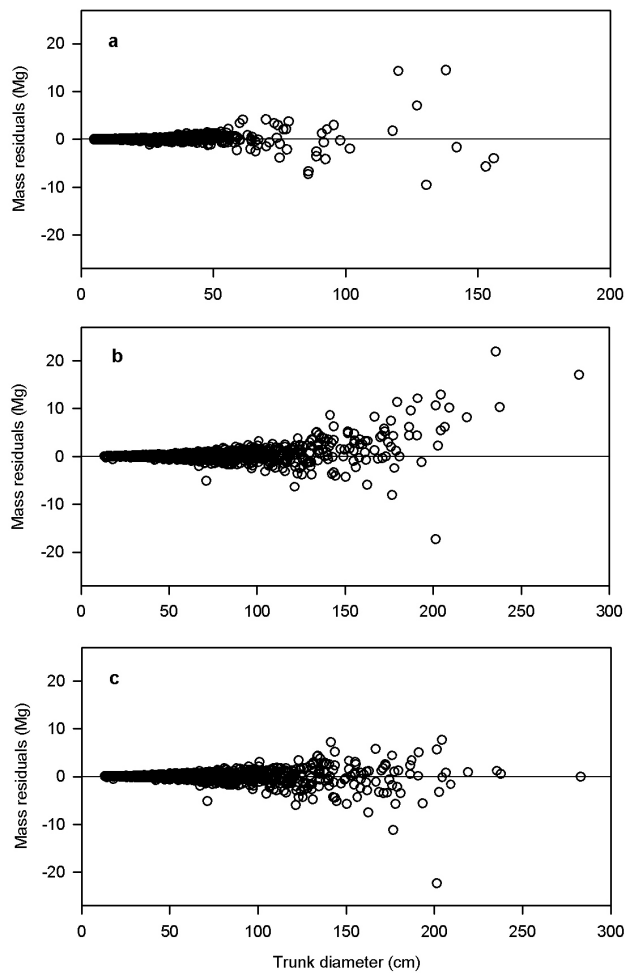
**Extended Data Figure 2 | Log-log model fits of mass growth rates for 381 tree species, by continent.** Trees with growth rates  $\leq 0$  were dropped from the analysis, reducing the number of species meeting our threshold sample size for analysis. **a**, Africa (33 species); **b**, Asia (123 species); **c**, Australasia (22 species); **d**, Central and South America (73 species); **e**, Europe (41 species); and **f**, North America (89 species). Trunk diameters are approximate values for reference, based on the average diameters of trees of a given mass.





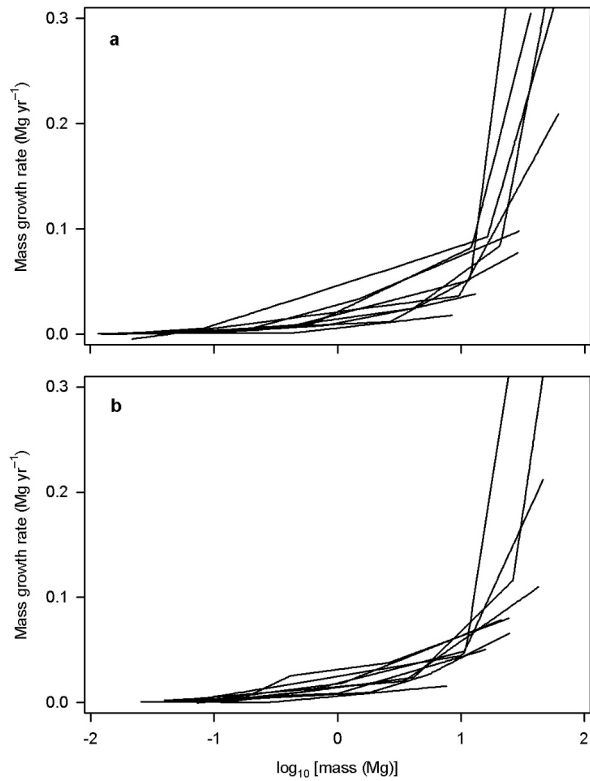
**Extended Data Figure 3 | Aboveground mass growth rates for 41 tree species in the absence of competition.** The '+' or '-' symbol preceding each species code indicates, respectively, species with mass growth rates that increased continuously with tree size or species with mass growth rates that declined in the largest trees. Sources of the diameter growth equations used to calculate mass growth were: **a**, ref. 45; **b**, ref. 46; **c**, ref. 48; **d**, ref. 47; and **e**, ref. 49. ABAM, *Abies amabilis*; ABBA, *Abies balsamea*; ABCO, *Abies concolor*; ABLA, *Abies lasiocarpa*; ABMA, *Abies magnifica*; ACRU, *Acer rubrum*; ACSA, *Acer saccharum*; BEAL, *Betula alleghaniensis*; BELE, *Betula lenta*; BEPA, *Betula papyrifera*; CADE, *Calocedrus decurrens*; CASA, *Castanea sativa*; FAGR, *Fagus grandifolia*; FASY, *Fagus sylvatica*; FRAM, *Fraxinus americana*; JUTH,

*Juniperus thurifera*; PIAB, *Picea abies*; PICO, *Pinus contorta*; PIHA, *Pinus halepensis*; PIHY, *Picea* hybrid (a complex of *Picea glauca*, *P. sitchensis* and *P. engelmannii*); PILA, *Pinus lambertiana*; PINI, *Pinus nigra*; PIPINA, *Pinus pinaster*; PIPINE, *Pinus pinea*; PIRU, *Picea rubens*; PIST, *Pinus strobus*; PISY, *Pinus sylvestris*; PIUN, *Pinus uncinata*; POBA, *Populus balsamifera* ssp. *trichocarpa*; POTR, *Populus tremuloides*; PRSE, *Prunus serotina*; QUFA, *Quercus faginea*; QUIL, *Quercus ilex*; QUPE, *Quercus petraea*; QUPY, *Quercus pyrenaica*; QURO, *Quercus robur*; QURU, *Quercus rubra*; QUSU, *Quercus suber*; THPL, *Thuja plicata*; TSCA, *Tsuga canadensis*; and TSHE, *Tsuga heterophylla*.



**Extended Data Figure 4 | Residuals of predicted minus observed tree mass.**

**a**, The allometric equation for moist tropical forests<sup>34</sup>—used for the majority of tree species—shows no evident systematic bias in predicted aboveground dry mass,  $M$ , relative to trunk diameter ( $n = 1,504$  trees). **b**, In contrast, our simplest form of allometric equation—used for 22% of our species and here applied to nine temperate species—shows an apparent bias towards overestimating  $M$  for large trees ( $n = 1,358$  trees). **c**, New allometries that we created for the nine temperate species removed the apparent bias in predicted  $M$ .



**Extended Data Figure 5 | Estimated mass growth rates of the nine temperate species of Extended Data Fig. 4.** Growth was estimated using the simplest form of allometric model [ $\log(M) = a + b\log(D)$ ] (a) and our allometric models fitted with piecewise linear regression (b). Regardless of the allometric model form, all nine species show increasing  $G$  in the largest trees.

## RESEARCH ARTICLE SUMMARY

## CLIMATE CHANGE

## Exceeding 1.5°C global warming could trigger multiple climate tipping points

David I. Armstrong McKay\*, Arie Staal, Jesse F. Abrams, Ricarda Winkelmann, Boris Sakschewski, Sina Loriani, Ingo Fetzer, Sarah E. Cornell, Johan Rockström, Timothy M. Lenton\*

**INTRODUCTION:** Climate tipping points (CTPs) are a source of growing scientific, policy, and public concern. They occur when change in large parts of the climate system—known as tipping elements—become self-perpetuating beyond a warming threshold. Triggering CTPs leads to significant, policy-relevant impacts, including substantial sea level rise from collapsing ice sheets, dieback of biodiverse biomes such as the Amazon rainforest or warm-water corals, and carbon release from thawing permafrost. Nine policy-relevant tipping elements and their CTPs were originally identified by Lenton *et al.* (2008). We carry out the first comprehensive reassessment of all suggested tipping elements, their CTPs, and the timescales and impacts of tipping. We also highlight steps to further improve understanding of CTPs, including an expert elicitation, a model intercomparison project, and early warning systems leveraging deep learning and remotely sensed data.

**RATIONALE:** Since the original identification of tipping elements there have been substantial advances in scientific understanding from paleoclimate, observational, and model-based

studies. Additional tipping elements have been proposed (e.g., parts of the East Antarctic ice sheet) and the status of others (e.g., Arctic summer sea ice) has been questioned. Observations have revealed that parts of the West Antarctic ice sheet may have already passed a tipping point. Potential early warning signals of the Greenland ice sheet, Atlantic Meridional Overturning Circulation, and Amazon rainforest destabilization have been detected. Multiple abrupt shifts have been found in climate models. Recent work has suggested that up to 15 tipping elements are now active (Lenton *et al.*, 2019). Hence it is timely to synthesize this new knowledge to provide a revised shortlist of potential tipping elements and their CTP thresholds.

**RESULTS:** We identify nine global “core” tipping elements which contribute substantially to Earth system functioning and seven regional “impact” tipping elements which contribute substantially to human welfare or have great value as unique features of the Earth system (see figure). Their estimated CTP thresholds have significant implications

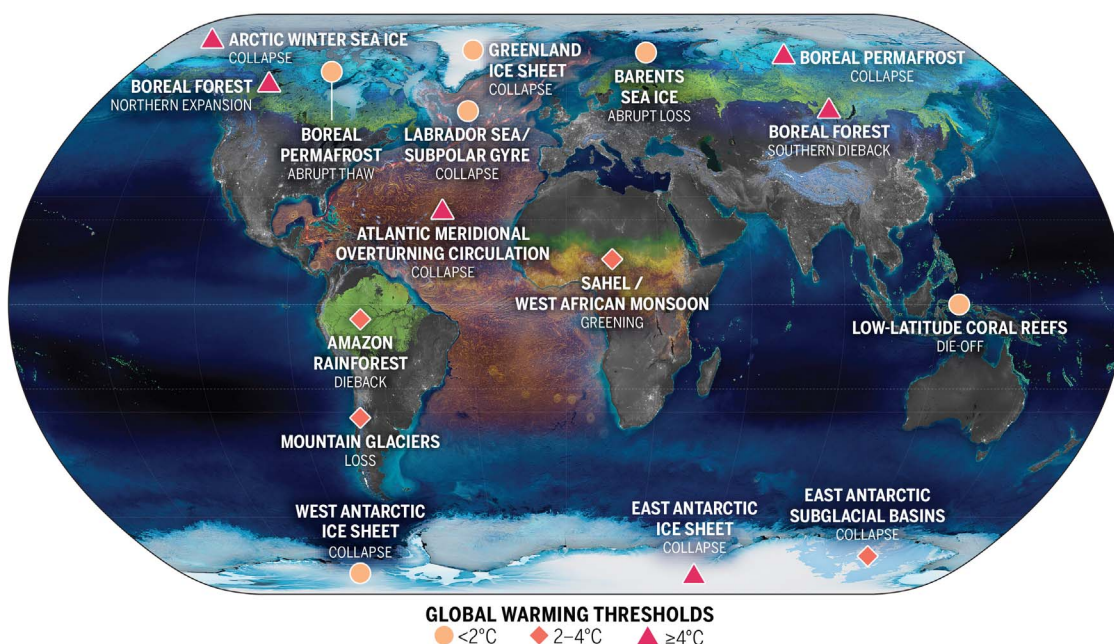
for climate policy: Current global warming of ~1.1°C above pre-industrial already lies within the lower end of five CTP uncertainty ranges. Six CTPs become likely (with a further four possible) within the Paris Agreement range of 1.5 to <2°C warming, including collapse of the Greenland and West Antarctic ice sheets, die-off of low-latitude coral reefs, and widespread abrupt permafrost thaw. An additional CTP becomes likely and another three possible at the ~2.6°C of warming expected under current policies.

**CONCLUSION:** Our assessment provides strong scientific evidence for urgent action to mitigate climate change. We show that even the Paris Agreement goal of limiting warming to well below 2°C and preferably 1.5°C is not safe as 1.5°C and above risks crossing multiple tipping points. Crossing these CTPs can generate positive feedbacks that increase the likelihood of crossing other CTPs. Currently the world is heading toward ~2 to 3°C of global warming; at best, if all net-zero pledges and nationally determined contributions are implemented it could reach just below 2°C. This would lower tipping point risks somewhat but would still be dangerous as it could trigger multiple climate tipping points. ■

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**The location of climate tipping elements in the cryosphere (blue), biosphere (green), and ocean/atmosphere (orange), and global warming levels at which their tipping points will likely be triggered.** Pins are colored according to our central global warming threshold estimate being below 2°C, i.e., within the Paris Agreement range (light orange, circles); between 2 and 4°C, i.e., accessible with current policies (orange, diamonds); and 4°C and above (red, triangles).



## RESEARCH ARTICLE

## CLIMATE CHANGE

## Exceeding 1.5°C global warming could trigger multiple climate tipping points

David I. Armstrong McKay<sup>1,2,3,4,\*</sup>, Arie Staal<sup>1,2,5</sup>, Jesse F. Abrams<sup>3</sup>, Ricarda Winkelmann<sup>6</sup>, Boris Sakschewski<sup>6</sup>, Sina Loriani<sup>6</sup>, Ingo Fetzer<sup>1,2</sup>, Sarah E. Cornell<sup>1,2</sup>, Johan Rockström<sup>1,6</sup>, Timothy M. Lenton<sup>3\*</sup>

Climate tipping points occur when change in a part of the climate system becomes self-perpetuating beyond a warming threshold, leading to substantial Earth system impacts. Synthesizing paleoclimate, observational, and model-based studies, we provide a revised shortlist of global “core” tipping elements and regional “impact” tipping elements and their temperature thresholds. Current global warming of ~1.1°C above preindustrial temperatures already lies within the lower end of some tipping point uncertainty ranges. Several tipping points may be triggered in the Paris Agreement range of 1.5 to <2°C global warming, with many more likely at the 2 to 3°C of warming expected on current policy trajectories. This strengthens the evidence base for urgent action to mitigate climate change and to develop improved tipping point risk assessment, early warning capability, and adaptation strategies.

Climate tipping points (CTPs) have emerged as a growing research topic and source of public concern (1–3). Tipping points are defined as “a critical threshold at which a tiny perturbation can qualitatively alter the state or development of a system” (1). Several large-scale Earth system components, termed tipping elements, were identified with evidence for tipping points that could be triggered by human activities this century. The initial shortlist constituted Arctic summer sea ice, the Greenland ice sheet (GrIS), the West Antarctic ice sheet (WAIS), Atlantic Meridional Overturning Circulation (now AMOC, previously THC), the El Niño Southern Oscillation, the Indian Summer monsoon, the Sahara/Sahel and West African Monsoon, the Amazon rainforest (AMAZ), and boreal forest. A literature review (1) and corresponding expert elicitation (4) provided early estimates of the temperature thresholds and potential interactions of these tipping elements. Subsequent work showed how recognition of CTPs considerably affects risk analysis and supports measures to minimize global warming to the Paris target of 1.5°C (5, 6).

Since these early estimates (1), there have been considerable advances in our knowledge of CTPs including observations of nonlinear changes in the climate system, statistical early warning methods, paleoclimate evidence, up-

graded Earth system models (ESMs), and improved offline models of particular elements (e.g., ice sheets and vegetation). Notably, observations and models suggest that parts of the WAIS may be approaching (7, 8) or have even passed a tipping point (9, 10). Early warning indicators have revealed potential destabilization of the GrIS, AMOC, and AMAZ (11–13). However, many ESMs still lack processes important for resolving potential tipping behavior—e.g., bias toward AMOC stability (14)—or underestimating current tropical carbon sink declines (15). Potential causal interactions among tipping elements (4) are such that overall tipping of one element increases the likelihood of tipping others (16), possibly risking a “tipping cascade” of impacts that may further amplify global warming (2, 3). In the worst case scenario, interactions might produce a global CTP (3).

The list of tipping elements has evolved over time (1–3, 5) (table S1). Different studies have proposed potential additions including southwest North America, the Yedoma permafrost region, the North Atlantic subpolar gyre (17), low-latitude coral reefs, the East Antarctic Ice Sheet (EAIS), Arctic winter sea ice (AWSI), Alpine glaciers (5), the northern polar jet stream (3), the Congo rainforest (18), and the Wilkes and Aurora subglacial basins in East Antarctica (2). A range of abrupt shifts have been identified in CMIP5 models (19), some of which are not in elements on the original shortlist such as boreal tundra or Antarctic sea ice. Conversely, arguments have been made that Arctic summer sea ice (20, 21), El Niño–Southern Oscillation (ENSO) (22, 23), and monsoons (24) should not be classified as CTPs. Numerous temperature threshold estimates have been made since (1) with some being revised markedly downward—notably WAIS (2, 25). The recent

the Intergovernmental Panel on Climate Change (IPCC) AR6 WGI report identifies up to 15 candidates [table 4.10 in (23)] but was not explicit about their temperature thresholds (23).

Here we reassess the climate tipping elements based on the substantial literature published since (1), focusing on those triggerable by global warming. We clarify the definition of tipping elements and points and propose a new categorization separating global “core” and regional “impact” tipping elements. We then provide an updated list and assessment of the global mean surface temperature (GMST) range at which each candidate CTP could occur as well as their timescales and climate impacts. Finally we combine this information to assess the likelihood of triggering CTPs at successive global warming levels.

## Defining tipping points and tipping elements

Given multiple inconsistent definitions of a CTP in the literature, we anchor on the technical definition provided by (1): A tipping point is a threshold in a (forcing) “control parameter” at which a small additional perturbation (within natural variability of ~0.2°C) causes a qualitative change [significantly larger than the standard deviation of natural variability in (1)] in the future state of a system [see (1) and SM for the full definition]. Here, our specific definition is as follows: Tipping points occur when change in part of the climate system becomes (i) self-perpetuating beyond (ii) a warming threshold as a result of asymmetry in the relevant feedbacks, leading to (iii) substantial and widespread Earth system impacts. We now explain key aspects of this definition in more detail.

## Self-perpetuating change

Self-perpetuation mechanisms are critical to the existence of a tipping point in a system, beyond which they propel qualitative change such that even if forcing of the system ceases the qualitative change usually continues to unfold regardless (20). IPCC AR6 sometimes uses tipping point to refer to a class of abrupt change in which the subsequent rate of change is independent of the forcing [1.4.4.3 of (26)], although this is not part of AR6’s core CTP definition [4.7.2 of (23)]. Self-perpetuation is usually due to positive feedback within a system attaining sufficient strength to overcome stabilizing negative feedbacks and (temporarily) reach a “runaway” condition (in which an initial change propagating around a feedback loop gives rise to an additional change that is at least as large as the initial change and so on). Most positive feedbacks never attain this condition and instead simply amplify the original driver in a constrained way. Notably, Arctic summer sea ice loss involves the positive ice-albedo feedback, but unlike year-round sea ice loss, that feedback alone is not strong enough to produce a clear threshold

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beyond which loss would continue even if global warming stopped (20, 21). Consequently, we describe such feedbacks as “threshold-free”.

### (Ir)reversibility

Tipping points usually lead to irreversible qualitative change but reversible tipping points are possible as a special case (1). Many tipping points result from crossing bifurcation points or attraction basin boundaries in bistable systems, with the resulting hysteresis making tipping effectively irreversible on human timescales. However, self-perpetuating change can also occur across noncatastrophic thresholds in unstable systems (27) (supplementary text S1). Other definitions of CTPs are more restrictive and require irreversibility, for example: “a system reorganizes... and does not return to the initial state even if the drivers of the change are abated” [6.1.1 of (22)]. The IPCC AR6 does not require irreversibility as this is difficult to prove for long timescales given model limitations: “A tipping point is a critical threshold beyond which a system reorganizes, often abruptly and/or irreversibly” [4.7.2 of (23)]. AR6 uses abruptness and irreversibility as proxies for tipping dynamics but does not specify criteria for system reorganization and sometimes does not clearly differentiate which abrupt and/or irreversible changes are considered tipping points (e.g., irreversible ocean temperature change is listed alongside potential tipping points in table 4.10 of (23) and box 12.1 in table 1 of (28) but has no clear critical threshold).

### Timescale and abruptness

We allow for CTPs (e.g., in ice sheets) in which the resulting qualitative change is slower than the anthropogenic forcing causing it—i.e., not abrupt in the sense defined as faster than the cause (29). We only require that the transition to a new state occurs at a rate determined by the climate (sub)system itself (29). The resulting committed (often irreversible) qualitative changes can unfold over centuries to millennia [here we relax the ethical time horizon of (1) from ~1 thousand years (ky) to ~10 ky], but crucially they can increase short-term impacts (e.g., rate of sea level rise). Other authors require a tipping point to produce abrupt change (30) thereby excluding events such as ice sheet collapse. The IPCC defines abrupt change as “substantially faster than the rate of change in recent history” in AR6 [1.4.4.3 of (26)], which could allow for slower changes than anthropogenic forcing. However, AR6 also gave a more restrictive timescale-based definition for abrupt climate change as taking place over a few decades or less (i.e., as fast as anthropogenic forcing) and persisting for at least a few decades [4.7.2 of (23)]. More than a dozen abrupt changes have been found in CMIP5 model output (19) (table S2) but such changes could simply be the result of an abrupt change in forcing without involv-

ing CTPs. Below we assess which abrupt changes indicate potential tipping elements and which do not involve self-perpetuating feedback.

### Spatial scale

Tipping elements are defined as components of the Earth system that are at least subcontinental in scale (of the order of 1000 km, i.e., ~1 M km<sup>2</sup>) and could pass a tipping point as a result of actions this century (1). If self-perpetuating change (and a corresponding tipping point) occurs at a subcontinental scale then this qualifies as a global core tipping element. However, there are many examples of runaway feedback and associated tipping points at smaller spatial scales. Where a change in forcing (e.g., temperature) is fairly uniform across a large spatial scale, such that a smaller-scale tipping point is crossed near-synchronously in many locations that span a subcontinental scale (e.g., coral bleaching across the Great Barrier Reef or committed loss of Himalayan glaciers), then these are considered potential regional impact tipping elements. However, where systems exhibit localized tipping points (1 m to 1 km) at different forcing levels such that change does not self-perpetuate beyond a clear shared threshold (e.g., methane hydrates), these are classed as threshold-free feedbacks because the accumulated global consequences of multiple localized tipping events remain roughly proportional to the forcing.

### Impacts

Climate tipping elements in (1) either (i) contribute significantly to the overall mode of operation of the Earth system (such that tipping them modifies the overall state of the whole system), (ii) contribute significantly to human welfare (such that tipping them affects >~100 million people), or (iii) have great value in themselves as a unique feature of the Earth system [expanded from the biosphere used in (1)]. Global core tipping elements must meet criterion (i) whereas regional impact tipping elements must meet criterion (ii) or (iii) but not (i). Regarding (i), crossing a tipping point need not involve feedback to global atmospheric composition or temperature—self-perpetuating feedback can exist entirely within a tipping element (1)—but there is usually causal coupling to other tipping elements such as through heat, salt, water, carbon, or momentum fluxes (4). Often there is feedback to global warming and where this exceeds ±0.1°C (i.e., natural variability and the triggering perturbation) we consider this to meet criterion (i). Thus, near-synchronous, large-scale crossing of smaller-scale tipping points can qualify as a global core tipping element if it changes warming by >0.1°C.

### The climate tipping elements

Based on current observations, paleorecords, and model runs subsequent to (1), we draw

up a longlist of proposed climate tipping elements. Together with expert judgment for each proposed element, we summarize the evidence and confidence levels for self-perpetuation, temperature thresholds, hysteresis or irreversibility, transition timescales, and global or regional impacts on climate (Materials and Methods, table S3, and supplementary text S2). Based on this evidence and the definitions in the preceding section, we shortlist global core and regional impact climate tipping elements (Table 1 and Fig. 1). Other candidate tipping elements that we consider uncertain, unlikely, or that have threshold-free feedbacks are discussed in the supplementary text along with differences with past assessments (table S4).

### Cryosphere

#### Arctic sea ice (AWSI/BARI)

An abrupt collapse in AWSI (31) is observed in some CMIP5 models beyond ~4.5°C (19, 32), which arises either from asymmetry in ice formation and loss timescales creating a threshold response or from local positive feedback cycles. Hence we class AWSI as a global core tipping element (medium confidence), with a best estimate threshold of ~6.3°C (4.5 to 8.7°C, based on CMIP5) (high confidence), timescales of 20 years (10 to 100 years) (high confidence), and GMST feedback of ~+0.6°C (high confidence) [~+0.25°C when free of summer ice; regional ~+0.6 to 1.2°C (low confidence)]. A subcase is abrupt loss of Barents Sea winter ice (BARI), which occurs at ~1.6°C in two CMIP5 models (19), is self-reinforced by an increased inflow of warm Atlantic waters (33), and has substantial impacts on atmospheric circulation, European climate, and potentially the AMOC (34). We consider BARI a probable regional impact tipping element (medium confidence) with a threshold of 1.6°C (1.5 to 1.7°C) (low confidence), a timescale of ~25 years (low confidence), and regional warming (high confidence). By contrast Arctic summer sea ice (ASSI)—despite declining rapidly since the 1970s and outpacing previous IPCC projections since the 1990s—is responding linearly to cumulative emissions (35). This decline is amplified by the ice-albedo feedback and possibly feedbacks to cloud cover but damped by negative heat loss feedbacks (20). CMIP6 models better capture historical ASSI decline and project that ice-free Septembers will occur occasionally above 1.5°C GMST, become common beyond 2°C, and remain permanent at ~3°C (36). However, the linear modeled and observed responses suggest that ASSI is unlikely to feature a tipping point beyond which loss would self-perpetuate (21, 36). Hence, we recategorize ASSI as a threshold-free feedback.

#### Greenland ice sheet (GrIS)

The GrIS is shrinking at an accelerated rate as a result of both net surface melt and accelerated

**Table 1. Table showing our literature-based threshold, timescale, and impact estimates for the tipping elements we categorize as global core or regional impact.** Element acronym colors indicate Earth system domain (blue, cryosphere; green, biosphere; orange, ocean-atmosphere), and element name and estimate colors indicate subjective confidence levels (green, high; yellow, medium; red, low). Bolded element names indicate elements featured in previous climate tipping element characterizations.

| Category                         | Proposed climate tipping element (and tipping point) | Threshold (°C)                                     |     |     | Timescale (years) |     |     | Maximum impact* (°C) |   |            |
|----------------------------------|--|--|-----|-----|-------------------|-----|-----|----------------------|---|------------|
|                                  |  | Est.   | Min | Max | Est.              | Min | Max | Global               | Region  |            |
| Global core tipping elements     | GrIS   | Greenland Ice Sheet (collapse)                     | 1.5 | 0.8 | 3.0               | 10k | 1k  | 15k                  | 0.13  | 0.5 to 3.0 |
|                                  | WAIS   | West Antarctic Ice Sheet (collapse)                | 1.5 | 1.0 | 3.0               | 2k  | 500 | 13k                  | 0.05  | 1.0        |
|                                  | LABC   | Labrador-Irminger Seas / SPG Convection (collapse) | 1.8 | 1.1 | 3.8               | 10  | 5   | 50                   | -0.50   | -3.0       |
|                                  | EASB   | East Antarctic Subglacial Basins (collapse)        | 3.0 | 2.0 | 6.0               | 2k  | 500 | 10k                  | 0.05  | ?          |
|                                  | AMAZ   | Amazon Rainforest (dieback)                        | 3.5 | 2.0 | 6.0               | 100 | 50  | 200                  | Partial: 30 GtC / 0.1°C<br>Total: 75 GtC / 0.2°C  | 0.4 to 2.0 |
|                                  | PFTP   | Boreal Permafrost (collapse)                       | 4.0 | 3.0 | 6.0               | 50  | 10  | 300                  | 125-250 GtC / 175-350 GtCe / 0.2-0.4°C  | -          |
|                                  | AMOC   | Atlantic M.O. Circulation (collapse)               | 4.0 | 1.4 | 8.0               | 50  | 15  | 300                  | -0.50   | -4 to -10  |
|                                  | AWSI   | Arctic Winter Sea Ice (collapse)                   | 6.3 | 4.5 | 8.7               | 20  | 10  | 100                  | 0.60  | 0.6 to 1.2 |
|                                  | EAIS   | East Antarctic Ice Sheet (collapse)                | 7.5 | 5.0 | 10.0              | ?   | 10k | ?                    | 0.60  | 2.0        |
|                                  | REEF   | Low-latitude Coral Reefs (die-off)                 | 1.5 | 1.0 | 2.0               | 10  | -   | -                    | -   | -          |
| Regional impact tipping elements | PFAT   | Boreal Permafrost (abrupt thaw)                    | 1.5 | 1.0 | 2.3               | 200 | 100 | 300                  | Abrupt thaw adds 50% to gradual: 10 GtC/14 GtCe / .04°C per °C @2100; 25 GtC/35 GtCe / .11°C per °C @2300 | -          |
|                                  | BARI   | Barents Sea Ice (abrupt loss)                      | 1.6 | 1.5 | 1.7               | 25  | ?   | ?                    | -   | +          |
|                                  | GLCR   | Mountain Glaciers (loss)                           | 2.0 | 1.5 | 3.0               | 200 | 50  | 1k                   | 0.08  | +          |
|                                  | SAHL   | Sahel and W. African Monsoon (greening)            | 2.8 | 2.0 | 3.5               | 50  | 10  | 500                  | -   | +          |
|                                  | BORF   | Boreal Forest (southern dieback)                   | 4.0 | 1.4 | 5.0               | 100 | 50  | ?                    | +52GtC / net -0.18°C  | -0.5 to -2 |
|                                  | TUND   | Boreal Forest (northern expansion)                 | 4.0 | 1.5 | 7.2               | 100 | 40  | ?                    | -6 GtC / net +0.14°C  | 0.5-1.0    |

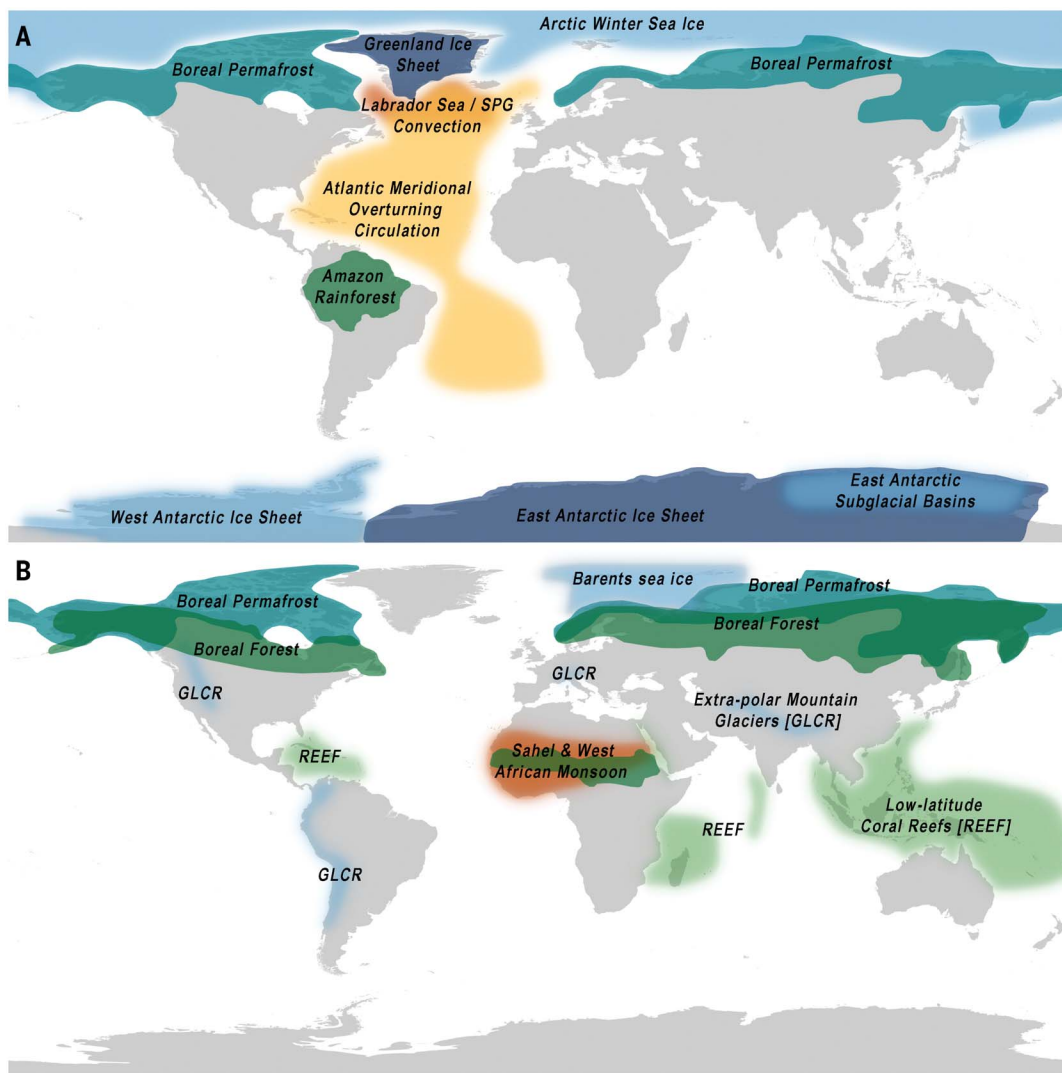
\*Feedback strength in °C per °C for abrupt permafrost thaw is calculated relative to preindustrial and declines with further degrees of warming (by ~21% per °C).

calving (37, 38) and shows early warning signals consistent with approaching a tipping point in west Greenland (11). Both ice sheet modeling and paleoclimate data indicate that a GrIS tipping point can occur when the melt-elevation feedback gets strong enough to support self-propelling melt (as an ice sheet surface loses height, it enters warmer air and thus melts faster) (1). Different models give a critical threshold of ~1.6°C (0.8 to 3.2°C) (39), ~1.5°C (40), or 2.7±0.2°C (41). Paleoclimate and model evidence shows that ice only reaches full coverage below ~0.3 to 0.5°C [-300 parts per million (ppm) CO<sub>2</sub>] (39, 42). Hysteresis allows GrIS to exist above this growth threshold once formed (39) but paleorecords indicate that GrIS partially retreats above this threshold (42) and likely collapsed during the long MIS-11 interglacial which was considerably warmer (>1.5°C) (43). A coupled ice sheet-atmosphere model found no collapse threshold (44), leading AR6 to state that there is limited evidence for irreversible GrIS loss below 3°C (21). However, some irreversible loss occurs beyond 3.5 m sea level equivalent (equivalent to ~2 to 2.5°C) (44), indicating self-perpetuating feedback. GrIS collapse would shift the Earth system to a unipolar icehouse state and affect other tipping elements (particularly the AMOC), hence qualifying as a global core tipping element (high confidence). Our best estimates for GrIS include a threshold of ~1.5°C (0.8 to 3°C) (high confidence), timescales of 10 ky (1 to 15 ky) (medium confidence), and GMST feedback of ~+0.13°C (regional ~+0.5 to 3°C) (low confidence). The timescale of ice sheet meltdown gets shorter the greater the temperature threshold is exceeded (40), with a minimum of ~1000 years.

*West Antarctic ice sheet (WAIS)*

Large parts of the WAIS are grounded below sea level; if the grounding line in these marine ice sheet basins reaches retrograde slopes, this may lead to the onset of marine ice sheet instability (MISI) and crossing of a tipping point (7, 8, 45). MISI is based on a feedback between the grounding line retreat and ice flux across the grounding line as it reaches thicker ice. This can lead to self-sustaining retreat and is hypothesized to have driven past collapses of the WAIS during previous warmer interglacial periods with high sea levels (21, 46). Some glaciers in the Amundsen Sea Embayment are already close to this threshold and experiencing substantial grounding line retreat (9). The grounding line of Thwaites glacier is only ~30 km away from the subglacial ridge and retreating at ~1 km per year (47); eventual collapse may already be inevitable (10, 45). Models support irreversible retreat being underway for present levels of ocean warming (25, 48) and suggest that losing Thwaites glacier may destabilize much of WAIS (7). Under sustained

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**Fig. 1.** Maps showing the global core (A) and regional impact (B) climate tipping elements identified in this study. Blue, green, and orange areas represent cryosphere, biosphere, and ocean-atmosphere elements, respectively.

1°C warming one model shows partial WAIS collapse with mass loss peaking at ~2°C warming (25). Hence we retain WAIS as a core global tipping element (high confidence) with a best estimate threshold of ~1.5°C [1 to 3°C, down from 3.5 to 5.5°C in (1)] (high confidence), timescales of 2 ky (500 years to 13 ky) (medium confidence), and GMST feedback of ~+0.05°C (regional ~+1°C) (low confidence). Higher threshold exceedance reduces the transition timescale to a minimum of ~500 years (40).

#### East Antarctic subglacial basins (EASB)

Recent data and models have shown that several subglacial basins of the EAIS—particularly the Wilkes, Aurora, and Recovery Basins—are also affected by MIS1 (21, 25, 49–51). These basins may also be subject to marine ice cliff instability in which the collapse of floating ice shelves creates unstable ice cliffs at the marine

edge of the ice sheet which can retreat faster, but the importance of this process is disputed (49, 51). One model indicates that Wilkes collapse may be committed by 3 to 4°C (25). Paleoclimate evidence for a higher mid-Pliocene sea level (+5 to 25 m) indicates that parts of the EASB (together with the GrIS and WAIS) were likely absent when the world was ~2.5 to 4°C warmer (21, 42, 52). By contrast sea levels of +6 to 13 m at 1.1 to 2.1°C in MIS-11 do not require substantial EASB contribution (assuming WAIS and GrIS were lost) (50). Hence we class EASB as a core global tipping element (high confidence) with best estimates for a tipping threshold of 3°C (2 to 6°C) (medium confidence), timescales of 2 ky (500 y to 10 ky) (medium confidence), and an uncertain GMST feedback provisionally assumed to be similar to WAIS (i.e., ~+0.05°C) (low confidence).

#### East Antarctic ice sheet (EAIS)

The land-grounded bulk of the EAIS is the world's largest ice sheet, containing the equivalent of ~50 m of sea level potential (25). Paleorecords indicate that growth occurred once atmospheric CO<sub>2</sub> fell below ~650 to 1000 ppm (~6 to 9°C) (42). Modeled ice sheets often exhibit alternative ice-covered or ice-free stable states for a range of global boundary conditions (53). As a result of this hysteresis the EAIS is expected to remain stable at CO<sub>2</sub> levels well beyond 650 ppm, helping it to survive through the warm mid-Miocene Climatic Optimum ~16 Mya (~2 to 4°C) (42). However, long-term stabilization at >1000 ppm CO<sub>2</sub> and ~8 to 10°C warming could cause total disintegration (25). Once past this threshold, self-perpetuating feedbacks amplify ice loss (39). The loss of EAIS would have global effects and hence is categorized as a global core



tipping element (medium confidence). Although unlikely, under high emissions [e.g., Representative Concentration Pathway (RCP) 8.5] and high climate sensitivity it might conceivably be committed to during this century or thereafter. Our best estimates for the EAIS are a tipping threshold of  $\sim 7.5^{\circ}\text{C}$  (5 to  $10^{\circ}\text{C}$ ) (medium confidence), timescales of  $>10$  ky (medium confidence), and GMST feedback of  $\sim 0.6^{\circ}\text{C}$  (regional  $\sim +2^{\circ}\text{C}$ ) (low confidence).

#### Boreal permafrost (PFTP/PFAT)

Permanently frozen soils and sediments in boreal regions contain  $\sim 1035$  gigatonnes of carbon (GtC) that can be partly released as  $\text{CO}_2$  and methane upon thawing (54). Although initially lacking evidence for a synchronous large-scale threshold (1), subsequent assessments recognized that part(s) of the permafrost could be considered a tipping element (3, 17). Here we separate permafrost into three components with different dynamics: gradual thaw [PFGT; a threshold-free feedback (high confidence)] (54–56) (see SM); abrupt thaw [PFAT; a regional impact tipping element (medium confidence)], and collapse [PFTP; a global core tipping element (low confidence)]. Abrupt thaw processes (PFAT) such as slope slumping and thermokarst lake formation (54) could increase emissions by 50 to 100% relative to gradual thaw (57), involve localized tipping dynamics (e.g., continued thaw subsidence after initiation) and could occur near-synchronously on a subcontinental scale. Our best estimates for PFAT include a tipping threshold of  $1.5^{\circ}\text{C}$  (1 to  $2.3^{\circ}\text{C}$ ) (medium confidence), a timescale of 200 years (100 to 300 years) (medium confidence), and an additional  $\sim 50\%$  emissions beyond gradual thaw ( $\sim 10$  to 25 GtC per  $^{\circ}\text{C}$ ) (medium confidence). Finally, abrupt permafrost drying at  $\sim 4^{\circ}\text{C}$  (58) and/or sufficiently rapid regional warming ( $>9^{\circ}\text{C}$ ) corresponding to  $\sim 5^{\circ}\text{C}$  globally (17, 59) could act as a trigger for permafrost collapse (PFTP) driven by internal heat production in carbon-rich permafrost—“the compost bomb” instability (60, 61). The Yedoma deep ice- and carbon-rich permafrost (containing  $\sim 115$  GtC in Yedoma deposits,  $\sim 400$  GtC across the Yedoma domain) is particularly vulnerable as fast thaw processes can expose previously isolated deep deposits (54, 59). This and other carbon-rich regions vulnerable to abrupt drying at  $>4^{\circ}\text{C}$  (58) could have considerable feedback to global temperatures. Our best estimates for PFTP include a threshold of  $4^{\circ}\text{C}$  (3 to  $6^{\circ}\text{C}$ ) (low confidence), a timescale of 50 years (10 to 300 years) (medium confidence), and emissions on the order of  $\sim 125$  to 250 GtC ( $\Delta\text{GMST} \sim +0.2$  to  $0.4^{\circ}\text{C}$ ) (low confidence).

#### Mountain glaciers (GLCR)

Alpine glaciers outside Greenland and Antarctica have individual mass balance thresholds and

elevation feedbacks yet large-scale synchronous losses are projected in several key regions at specific global warming levels. In transient simulations, peak water from European glacier melt is expected at  $\sim 1^{\circ}\text{C}$  (62) with near-total loss expected to be committed at  $\sim 2^{\circ}\text{C}$  (20). Global peak water occurs at  $\sim 2^{\circ}\text{C}$  but committed eventual loss is expected at lower temperatures (63). Long model integrations show that global warming of 1.5 to  $2^{\circ}\text{C}$  is sufficient to lead to the eventual loss of most extra-polar glaciers (and possibly even polar glaciers) (40, 64). RCP4.5 ( $>2^{\circ}\text{C}$  by 2100) puts most lower-latitude glaciers on a path to significant losses beyond 2100 (21). Glaciers in High Mountain Asia last longer than elsewhere but reach peak water at  $\sim 2^{\circ}\text{C}$  with significant social impacts for South Asia (62). Given the considerable human impacts of glacier loss (63) we categorize mountain glaciers as a regional impact tipping element (medium confidence). Our best estimate includes a threshold of  $\sim 2^{\circ}\text{C}$  (1.5 to  $3^{\circ}\text{C}$ ) (medium confidence), a timescale of 200 years (50 years to 1 ky) (medium confidence), and GMST feedback of  $\sim +0.08^{\circ}\text{C}$  (regionally greater) (low confidence).

Southern Ocean sea ice features abrupt events in some climate models (19) but because of uncertain dynamics and low confidence in projections it is classed as an uncertain tipping element (see SM). Marine methane hydrates are classed as a threshold-free feedback and Tibetan plateau snow is classed as uncertain (see SM).

#### Ocean-Atmosphere (circulation)

##### North Atlantic subpolar gyre / Labrador-Irminger Sea convection (LABC)

Convection in the Labrador and Irminger Seas in the North Atlantic—part of the subpolar gyre (SPG)—abruptly collapses in some models as a result of warming-induced stratification, a state which is then maintained by self-reinforcing convection feedbacks (19, 65) giving two alternative stable states with or without deep convection. Abrupt future SPG collapse occurs in nine runs across five CMIP5 models at 1.1 to  $2.0^{\circ}\text{C}$ , in one additional model run at  $3.8^{\circ}\text{C}$  (19, 65), and in four CMIP6 models in the 2040s ( $\sim 1$  to  $2^{\circ}\text{C}$ ) (66). In some models SPG collapse affects AMOC strength but SPG and AMOC have distinct feedback dynamics and patterns of impacts, and SPG collapse can occur much faster than AMOC collapse. The North Atlantic cooling trend (i.e., the “warming hole”) is centered over the SPG and in models is often closely linked to SPG weakening (65, 66), although others have associated it with AMOC slowdown (67). SPG collapse causes a concentrated North Atlantic regional cooling of  $\sim 2$  to  $3^{\circ}\text{C}$ , potential global cooling of up to  $\sim 0.5^{\circ}\text{C}$ , a northward-shifted jet stream, weather extremes in Europe, and southward shift of the intertropical convergence zone

(ITCZ) (65, 66). Given clear tipping dynamics and global impact we class SPG as a global core tipping element (medium confidence), with a best estimate threshold of  $\sim 1.8^{\circ}\text{C}$  (1.1 to  $3.8^{\circ}\text{C}$ ) (high confidence), a timescale of 10 years (5 to 50 years) (high confidence), and GMST feedback of  $\sim 0.5^{\circ}\text{C}$  (regional  $\sim -3^{\circ}\text{C}$ ) (low confidence).

##### Atlantic meridional overturning circulation (AMOC)

The AMOC is self-sustaining due to salt-advection feedback (northward movement of warm water increases its density as a result of cooling and evaporation supporting the deep convection that drives the circulation). Import of salt at the southern boundary of the Atlantic also supports alternative strong and weak AMOC stable states with multiple abrupt switches between them observed in the past (68). Global warming increases Arctic precipitation, freshwater runoff from Greenland, and sea surface temperatures, which together slow down the AMOC by inhibiting deep convection. The AMOC is inferred from some reconstructions to have weakened by  $\sim 15\%$  over the past  $\sim 50$  years (67) and early warning signals in indirect AMOC footprints are consistent with the current AMOC “strong” state losing stability (12). However, the IPCC gives low confidence on historical AMOC trends (21). The Special Report on the Ocean and Cryosphere in a Changing Climate (SROCC) (22) assessed AMOC collapse occurring during the 21st century to be very unlikely but physically plausible; however, this was increased to unlikely (medium confidence) in AR6 (21). AMOC collapse is triggered in three runs of one CMIP5 model at 1.4 to  $1.9^{\circ}\text{C}$  and in two runs of an additional model at 2.2 to  $2.5^{\circ}\text{C}$  (19, 65) in contrast to gradual declines in other CMIP5 and CMIP6 models (21). However, AR6 assessed CMIP models as generally tending toward “unrealistic stability” with respect to observational constraints (14, 21). They also neglect meltwater forcing from rapid GrIS melt (21, 69). Both factors make the AMOC more vulnerable to collapse. AMOC collapse would have global impacts on temperature and precipitation patterns including North Atlantic cooling, Southern Hemisphere warming, southward-shifted ITCZ, monsoon weakening in Africa and Asia, monsoon strengthening in the Southern Hemisphere leading to drying in the Sahel and parts of Amazonia, and reduced natural carbon sinks (70–73). Hence AMOC is retained as a core global tipping element (medium confidence) with a best estimate threshold of  $\sim 4^{\circ}\text{C}$  [1.4 to  $8^{\circ}\text{C}$  versus 3.5 to  $5.5^{\circ}\text{C}$  in (1)] (low confidence), timescales of  $\sim 50$  years (15 to 300 years) (medium confidence), and a GMST feedback of  $-0.5^{\circ}\text{C}$  (low confidence) [regional  $-4$  to  $-10^{\circ}\text{C}$ , highly heterogeneous global pattern (medium confidence)].

The Indian summer monsoon (and other monsoon systems) have been reclassified as uncertain climate tipping elements because of a lack of evidence for a warming-related threshold behavior. Equatorial stratocumulus cloud breakup and Indian Ocean upwelling are uncertain as a result of limited evidence. Global ocean anoxia is uncertain because the global warming level required for weathering-induced anoxia is unclear. ENSO is reclassified as an unlikely tipping element as it lacks a clear self-perpetuation threshold. Arctic ozone hole expansion is reclassified as unlikely as it is now improbable that it would be triggerable as a result of climate change. The Northern Polar Jet stream is classed unlikely because instability as a result of climate change remains uncertain and no threshold has been proposed. (All of the above elements are discussed in more detail in the supplementary materials.)

### Biosphere

#### Amazon rainforest (AMAZ)

The Amazon forest biome stores ~150 to 200 GtC (3, 74, 75) and historically has been a important sink for human CO<sub>2</sub> emissions (15). However, in intact forests this sink has declined since the 1990s (15) and ~17% of the Amazon forest has been lost to deforestation since the 1970s, a rate that has accelerated since 2019 (75). A combination of a climate change-induced drying trend, unprecedented droughts, and anthropogenic degradation in the south and east has led to the biome as a whole becoming a net carbon source (74). It has also lost resilience across ~76% of its area (13). Rainfall is projected to further decline and the dry season is expected to lengthen in southern and eastern areas of the forest in response to further warming, likely worsening this trend (75). The Amazon forest recycles around a third of the Amazon basin's rainfall on average (76) and up to ~70% in parts of the basin (77), particularly during the critical dry season as the forest maintains transpiration fluxes (76). This and localized fire feedbacks mean that ~40% of the Amazon forest is estimated to currently be in a bistable state, increasing to ~66% on an RCP8.5 trajectory (18, 77), and rainforest loss could initiate self-reinforcing drying that tips this portion into a degraded or savanna-like state. Widespread Amazon dieback was originally projected at either 3 to 4°C of warming or ~40% deforestation (78) but uncertain synergistic interaction might lower the deforestation threshold to only ~20 to 25% (79). More recent ESMs tend not to simulate climate-induced Amazon dieback and emergent constraints indicate lower rainforest sensitivity to warming (80). However, two CMIP5 models exhibit dieback at 2.5 and 6.2°C (19). Additionally, CMIP5 ESMs underestimate observed tree

mortality (15) and likely overestimate CO<sub>2</sub> fertilization (81), potentially making these models undersensitive to dieback. Given the size of the region affected by even partial dieback and its global impacts we categorize the Amazon forest as a global core tipping element (medium confidence). Our best estimates for AMAZ are a threshold of ~3.5°C (2 to 6°C) independent of deforestation (likely lower with deforestation) (low confidence), timescales of 100 years (50 to 200 years) (low confidence), and partial dieback of 40% (i.e., current bistable area) leading to emissions of ~30 GtC along with biogeophysical feedbacks (see SM) to a GMST feedback of ~+0.1° (regional +0.4 to 2°C) (medium confidence).

#### Boreal forest (BORF/TUND)

The boreal forest (or taiga) encircling the Arctic region features multiple stable states of tree cover as a result of feedbacks including albedo and fire (82, 83). We classify it as a regional impact tipping element with two potential CTPs associated with abrupt dieback at its southern edge (BORF) (medium confidence) and abrupt expansion at its northern edge (tundra greening) (TUND) (medium confidence). Warming is projected to destabilize the southern edge, where factors such as hydrological changes, increased fire frequency, and bark beetle outbreaks can lead to self-reinforcing feedbacks driving regionally synchronized forest dieback (on the order of 100 km) to a grass-dominated steppe or prairie state (83). Models project that regime shifts may start in this area at ~1.5°C and become widespread by >3.5°C (84, 85). Dieback may also be rate-dependent (85). Our best estimates for BORF is a threshold of ~4°C (1.4 to 5°C) (low confidence), timescales of 100 years (50+ years) (low confidence), and partial (~50%) dieback leading to emissions of ~52 GtC, which—along with countervailing biogeophysical feedbacks such as increased albedo and reduced evapotranspiration—leads to a net GMST feedback of ~-0.18°C (medium confidence) [regional ~-0.5 to 2°C (low confidence)]. Northward expansion of the forest into the current tundra biome may also feature self-perpetuation dynamics (e.g., by causing further local warming through albedo feedback). Models suggest that regime shifts may begin in this northern area at ~1.5°C and become widespread by ~3.5°C (84), with abrupt high latitude forest expansion occurring in one CMIP5 model at 7.2°C (19). For TUND our best estimates are for a threshold at ~4°C (1.5 to 7.2°C) (low confidence), timescales of 100 years (40+ years) (low confidence), and partial (~50%) uptake of ~6 GtC which along with countervailing biogeophysical feedbacks (decreased albedo, increased evapotranspiration) leads to a net GMST feedback of +0.14°C per °C (regional ~+0.5 to 1°C) (low confidence).

#### Sahel vegetation and the West African monsoon (SAHL)

Paleoevidence indicates multiple abrupt shifts into and out of African Humid Periods with associated greening of the Sahara in response to gradual changes in orbital forcing (86). AMOC weakening and associated warming of the Equatorial East Atlantic also caused past collapses of the West African monsoon (WAM) (70, 86, 87). Dust aerosol-rainfall positive feedbacks amplify change alongside well-established vegetation-rainfall positive feedbacks but many models still underestimate self-amplifying feedbacks and cannot reproduce the extent of past rainfall and vegetation changes (86). By contrast, a model optimized against present observations and mid-Holocene reconstructions recently reproduced abrupt transitions in Saharan vegetation with potential tipping dynamics (88). In future projections with GHG forcing, global (CMIP5 and CMIP6) and some regional Coordinated Regional Climate Downscaling Experiment (CORDEX) climate models tend to predict strengthening of the WAM and wetting and northward expansion of the central and eastern Sahel (as well as drying in coastal west Africa) (23, 70, 89–91), which tend to green the Sahel (86). Abrupt increases in vegetation in the Eastern Sahel occur in three ESM runs at 2.1 to 3.5°C (19). In other global models more gradual WAM strengthening and vegetation shifts are predicted but in some regional climate models the WAM instead collapses (89). Clearly the existence of a future tipping threshold for the WAM and Sahel remains uncertain as does its sign but given multiple past abrupt shifts, known weaknesses in current models, and huge regional impacts but modest global climate feedback, we retain the Sahel/WAM as a potential regional impact tipping element (low confidence). We adopt the scenario of abrupt wetting and greening with a threshold of ~2.8°C (2 to 3.5°C) (low confidence), a timescale of 50 years (10 to 500 years) (low confidence), and uncertain Earth system impacts (regional warming) (medium confidence).

#### Low-latitude coral reefs (REEF)

Tropical and subtropical coral reefs are threatened by anthropogenic pressures including overfishing, direct damage, sedimentation, ocean acidification, and global warming (92). When water temperatures exceed a certain threshold coral irreversibly expel their symbiotic algae resulting in coral bleaching, thereby triggering coral death (93). Ocean acidification worsens warming-induced degradation. Coral collapse would remove one of the Earth's most biodiverse ecosystems, affecting the wider marine food web, ocean nutrient and carbon cycling, and livelihoods of millions of people worldwide (92). Although coral bleaching is a

localized process synchronous bleaching can occur at the ~1000 km scale (as seen for the Great Barrier Reef), and further warming is expected to cause widespread bleaching (93). Adaptation may be possible with slower warming rates (92) but the IPCC has projected 70 to 90% tropical and subtropical coral reef loss at 1.5°C with near total loss by 2°C (90). Given regionally synchronized tipping dynamics with significant human but indirect climate impacts, we categorize warm-water coral reefs as a regional impact tipping element (high confidence). Our best estimates are for a threshold of ~1.5°C (1 to 2°C) (high confidence), timescales of ~10 years (medium confidence), and negligible GMST feedback (high confidence). Beyond the biosphere elements listed, the ocean biological pump and land/ocean carbon sink are unlikely to be tipping elements although they may feature nonlinearities (see SM).

### Implications for climate policy and preventing dangerous levels of global warming

Figure 2A summarizes our temperature threshold estimates for each tipping element making the shortlists (others are summarized in the supplementary text). Here we define crossing a CTP as “possible” beyond its minimum temperature threshold and “likely” beyond its central estimate.

This revised assessment of CTPs has significant implications for climate policy by determining levels of global warming that prevent tipping to either committed changes in Earth system function or major damage to future societies. A risk minimization approach such as this seeks to avoid minimum estimated thresholds but this no longer appears possible for some tipping elements.

Current warming is ~1.1°C above preindustrial and even with rapid emission cuts warming will reach ~1.5°C by the 2030s (23). We cannot rule out that WAIS and GrIS tipping points have already been passed (see above) and several other tipping elements have minimum threshold values within the 1.1 to 1.5°C range. Our best estimate thresholds for GrIS, WAIS, REEF, and abrupt permafrost thaw (PFAT) are ~1.5°C although WAIS and GrIS collapse may still be avoidable if GMST returns below 1.5°C within an uncertain overshoot time (likely decades) (94). Setting aside achievability (and recognizing internal climate variability of  $\pm 0.1^\circ\text{C}$ ), this suggests that ~1°C is a level of global warming that minimizes the likelihood of crossing CTPs. This is consistent with the <0.5 to 1°C range of Holocene temperature variability whereas past interglacials  $\leq 1.5$  to 2°C had up to 10 to 13 m higher sea level (21, 95).

The chance of triggering CTPs is already non-negligible and will grow even with stringent climate mitigation (SSP1-1.9 in Fig. 2, B and C). Nevertheless, achieving the Paris Agree-

ment’s aim to pursue efforts to limit warming to 1.5°C would clearly be safer than keeping global warming below 2°C (90) (Fig. 2). Going from 1.5 to 2°C increases the likelihood of committing to WAIS and GrIS collapse near complete warm-water coral die-off, and abrupt permafrost thaw; further, the best estimate threshold for LABC collapse is crossed. The likelihood of triggering AMOC collapse, Boreal forest shifts, and extra-polar glacier loss becomes non-negligible at >1.5°C and glacier loss becomes likely by ~2°C. A cluster of abrupt shifts occur in ESMs at 1.5 to 2°C (19). Although not tipping elements, ASSI loss could become regular by 2°C, gradual permafrost thaw would likely become widespread beyond 1.5°C, and land carbon sink weakening would become significant by 2°C.

Recent net zero targets if implemented could limit peak warming to ~1.95°C (1.3 to 3°C), but as of November 2021 current policies are estimated to result in ~2.6°C (1.9°C to 3.7°C) by 2100 (96). Therefore 2 to 3°C by 2100 is currently likely with matching of pledges with policies key to determining where warming ends up in this range. Going from 2 to 3°C, maximum estimated thresholds for abrupt permafrost thaw, GrIS, WAIS, and extra-polar glaciers are passed, suggesting that tipping them would become very likely. The likelihood of triggering EASB collapse, Amazon dieback, and West African monsoon shift (Sahel greening) becomes non-negligible at ~2°C and increases at ~3°C. Subpolar gyre collapse, boreal forest dieback, and AMOC collapse also become more likely. Although not tipping elements, above 2°C the Arctic would very likely become summer ice-free and land carbon sink-to-source transitions would become widespread.

If the moderate ambition of current policies is not improved and climate sensitivity or carbon cycle feedbacks turn out to be higher than the median assumption then warming of up to ~4°C is possible by 2100, and >4°C cannot be ruled out if future policy ambition declines and/or implementation falters. Going from 3 to 5°C, EASB collapse becomes very likely, Amazon dieback becomes likely >3.5°C, boreal forest shifts likely >4°C, and large-scale permafrost collapse becomes possible at >3°C and likely >4°C. AMOC collapse may become likely >4°C but with high uncertainty (1.4 to 8°C range) and AWSI collapse becomes possible >4.5°C. Warming of >5°C, although very unlikely this century, becomes plausible in the longer-term under higher climate sensitivities with current or reversed policies. This risks EAIS collapse and a commitment of ~55 m of sea level rise if warming stabilizes >5°C for multiple centuries. Other tipping elements, if not already triggered—e.g., Amazon dieback, widespread Permafrost collapse—would very likely be committed and AMOC collapse and

AWSI collapse would become increasingly likely. Equatorial stratocumulus cloud breakup occurs in one model beyond ~6°C (97) and if plausible would represent a global CTP to a “hothouse” climate state (3).

### Discussion

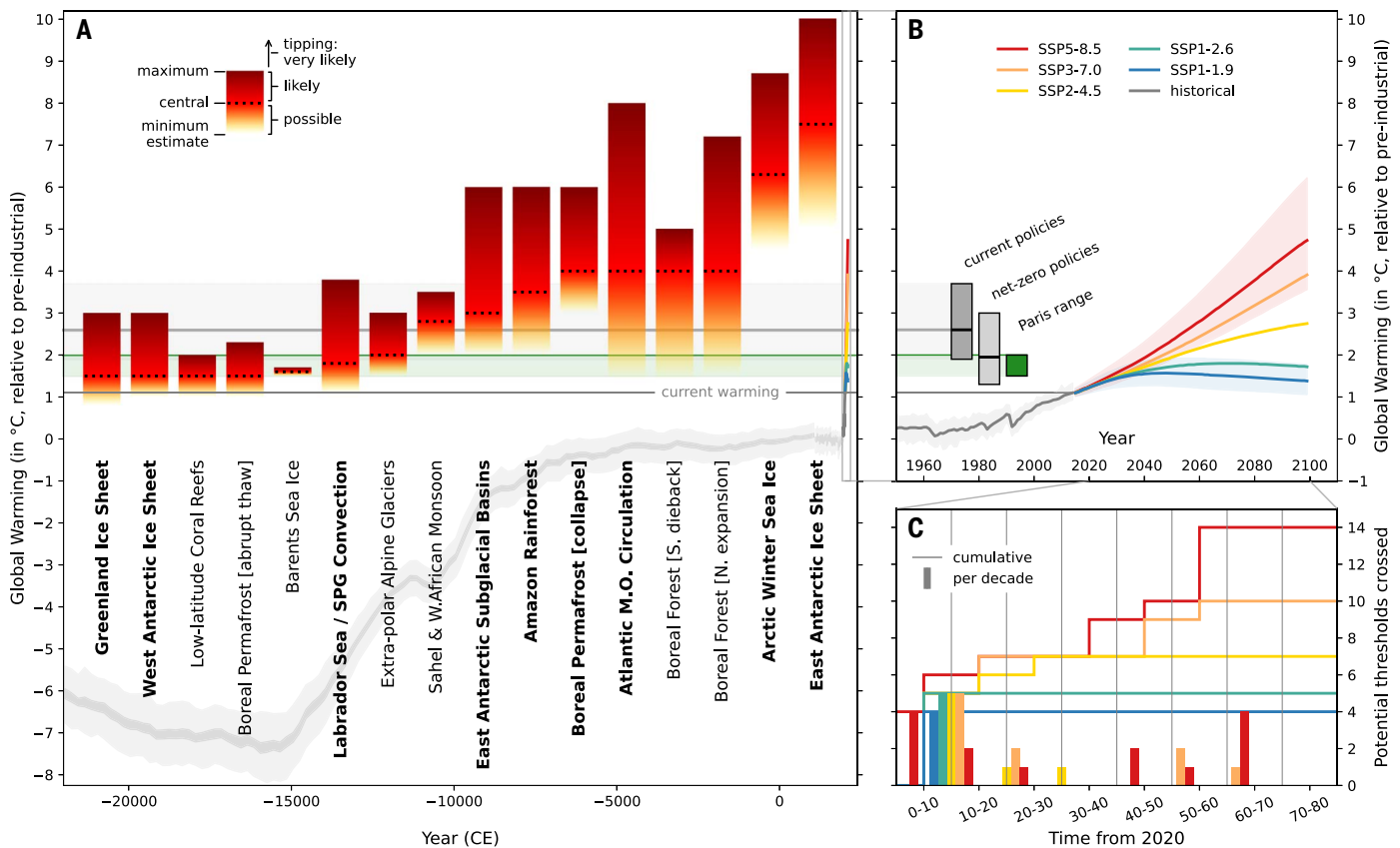
Tipping elements and their tipping points were treated independently in this assessment but there are multiple causal interactions between them with risks of triggering cascades among CTPs (2, 4, 16), some mediated through temperature. The strength and in some cases even the sign of identified interactions is uncertain (4). Nevertheless, their combined effect tends to lower CTP temperature thresholds (6, 16). The present assessment would likely amplify this effect, further strengthening the incentive for ambitious mitigation.

Some of the threshold and impact estimates are highly uncertain (e.g., AMOC, BORF/TUND, AMAZ, SAHL, PFTP) as are the transition timescales of many elements. Some proposed elements remain too uncertain to categorize (e.g., EQSC, ANOX, INSM, AABW, Congo rainforest), and others considered unlikely to feature tipping dynamics (e.g., ENSO, JETS) cannot yet be fully ruled out (see SM). Other tipping elements may yet be discovered. It may be possible to safely overshoot CTPs in slower elements such as ice sheets (94) but the allowable overshoot times need further research. Spatial pattern formation might allow some biosphere elements to evade direct tipping (98) but this needs to be assessed.

To further our understanding of the likelihood of crossing CTPs an updated expert elicitation [building on (4)] is overdue. A horizon-scanning exercise and systematic scanning of CMIP6 model output [following (19)] and a tipping point model intercomparison project could help identify more candidate tipping elements and refine assessment of their likelihood. Further model improvements and model-data intercomparison are essential. Early warning methods are starting to reveal whether tipping elements are destabilizing for parts of GrIS (11), AMOC (12), and the Amazon (13), and can reveal proximity to a CTP (11). These could be augmented with deep learning techniques (99). Systematic application to observational and remotely sensed data together with targeted new observing systems could begin to provide a CTP early warning system (100).

### Conclusion

The UNFCCC stipulates that all countries commit to avoid dangerous climate change, translated through the Paris climate agreement into keeping GMST well below 2°C and aiming for 1.5°C. Our assessment of climate tipping elements and their tipping points suggests that danger may be approached



**Fig. 2. Our global warming threshold estimates for global core and regional impact climate tipping elements.** Tipping elements (A) relative to IPCC SSP projections and likely future scenarios given current policies and targets (B) and how many thresholds may be crossed per Shared Socioeconomic Pathways (SSP) projection (C). Bars in (A) show the minimum (base, yellow), central (line, red), and maximum (top, dark red) threshold estimates for each element (bold font, global core; regular font, regional impact), with a paleorecord of GMST over the past ~25 ky (95) and projections of future climate change

(green, SSP1-1.9; yellow, SSP1-2.6; orange, SSP2-4.5; red, SSP3-7.0; purple, SSP5-8.5) from IPCC AR6 (23) shown for context. Future projections are shown in more detail in (B) along with estimated 21st century warming trajectories for current and net-zero policies (gray bars, extending into (A)); horizontal lines show central estimates, bar height the uncertainty ranges) as of November 2021 (96) versus the Paris Agreement range of 1.5 to <2°C (green bar). The number of thresholds potentially passed in the coming decades depending on SSP trajectory in (C) is shown per decade (bars) and cumulatively (lines).

even earlier. The Earth may have left a safe climate state beyond 1°C global warming. A significant likelihood of passing multiple climate tipping points exists above ~1.5°C, particularly in major ice sheets. Tipping point likelihood increases further in the Paris range of 1.5 to <2°C warming. Current policies leading to ~2 to 3°C warming are unsafe because they would likely trigger multiple climate tipping points. Our updated assessment of climate tipping points provides strong scientific support for the Paris Agreement and associated efforts to limit global warming to 1.5°C.

### Materials and Methods

We mined the literature subsequent to (1), including studies of paleoclimate change, observed change, early warning signals, future model projections, underlying theory, and existing assessments, to draw up a longlist of possible candidate tipping elements (table S3). For each we extracted information on evidence for self-perpetuation, temperature thresholds,

hysteresis/irreversibility, transition timescales, and global/regional impacts on climate, on which we then use subjective expert judgment to determine our best estimates. From this evidence (or lack of it) we drew up shortlists (Table 1) of ‘core’ global tipping elements and regional ‘impact’ tipping elements (Fig. 1), for which we summarize the rationale in the main text and supplementary text S2 and S3. Candidates that did not make the shortlists (table S3) are classed as: (a) ‘uncertain’ tipping elements—due to limited evidence for self-perpetuating feedback and threshold behavior; (b) ‘unlikely’ tipping elements—possessing only localized tipping or nonfeedback response to climate change; and (c) ‘threshold-free feedbacks’—where positive feedbacks exist but are not strong enough to self-perpetuate. Different parts or phenomena of some systems—notably permafrost—are assigned to different categories. We give (very low, low, medium, high, very high) confidence levels based on the IPCC’s confidence rating system (as a product

of the authors’ judgements of both the robustness and the degree of agreement of the assessed literature) (101) for the estimates of central, minimum, and maximum temperature thresholds, timescales of transition, and global and local impacts on climate (supplementary text S2). We define crossing a CTP as ‘possible’ beyond its minimum temperature threshold and ‘likely’ beyond its best estimate. Differences with past lists of tipping elements are described in table S4.

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#### SUPPLEMENTARY MATERIALS

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Materials and Methods  
Supplementary Text  
Tables S1 to S4  
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Data S1

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## Exceeding 1.5°C global warming could trigger multiple climate tipping points

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### Getting tipsy

Climate tipping points are conditions beyond which changes in a part of the climate system become self-perpetuating. These changes may lead to abrupt, irreversible, and dangerous impacts with serious implications for humanity. Armstrong McKay *et al.* present an updated assessment of the most important climate tipping elements and their potential tipping points, including their temperature thresholds, time scales, and impacts. Their analysis indicates that even global warming of 1°C, a threshold that we already have passed, puts us at risk by triggering some tipping points. This finding provides a compelling reason to limit additional warming as much as possible. —HJS

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# Tipping elements in the Earth's climate system

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The term “tipping point” commonly refers to a critical threshold at which a tiny perturbation can qualitatively alter the state or development of a system. Here we introduce the term “tipping element” to describe large-scale components of the Earth system that may pass a tipping point. We critically evaluate potential policy-relevant tipping elements in the climate system under anthropogenic forcing, drawing on the pertinent literature and a recent international workshop to compile a short list, and we assess where their tipping points lie. An expert elicitation is used to help rank their sensitivity to global warming and the uncertainty about the underlying physical mechanisms. Then we explain how, in principle, early warning systems could be established to detect the proximity of some tipping points.

Earth system | tipping points | climate change | large-scale impacts | climate policy

Human activities may have the potential to push components of the Earth system past critical states into qualitatively different modes of operation, implying large-scale impacts on human and ecological systems. Examples that have received recent attention include the potential collapse of the Atlantic thermohaline circulation (THC) (1), dieback of the Amazon rainforest (2), and decay of the Greenland ice sheet (3). Such phenomena have been described as “tipping points” following the popular notion that, at a particular moment in time, a small change can have large, long-term consequences for a system, i.e., “little things can make a big difference” (4).

In discussions of global change, the term tipping point has been used to describe a variety of phenomena, including the appearance of a positive feedback, reversible phase transitions, phase transitions with hysteresis effects, and bifurcations where the transition is smooth but the future path of the system depends on the noise at a critical point. We offer a formal definition, introducing the term “tipping element” to describe subsystems of the Earth system that are at least subcontinental in scale and can be switched—under certain circumstances—into a qualitatively different state by small perturbations. The tipping point is the corresponding critical point—in forcing and a feature of the system—at which the future state of the system is qualitatively altered.

Many of the systems we consider do not yet have convincingly established tipping points. Nevertheless, increasing political demand to define and justify binding temperature targets, as well as wider societal interest in nonlinear climate changes, makes it timely to review potential tipping elements in the climate system under anthropogenic forcing (5) (Fig. 1). To this end, we organized a workshop entitled “Tipping Points in the Earth System” at the British Embassy, Berlin, which brought together 36 leading experts, and we conducted an expert elicitation that involved 52 members of the international scientific community. Here we combine a critical review of the literature with the results of the workshop to compile a short list of potential policy-relevant future tipping elements in the climate system. Results from the expert elicitation are used to rank a subset of these tipping elements in terms of their sensitivity to global warming and the associated uncertainty. Then we consider the prospects for early warning of an approaching tipping point.

## Defining a Tipping Element and Its Tipping Point

Previous reviews (6–10) have defined “abrupt climate change” as occurring “when the climate system is forced to cross some

threshold, triggering a transition to a new state at a rate determined by the climate system itself and faster than the cause” (8), which is a case of bifurcation (i.e., one that focuses on equilibrium properties, implying some degree of irreversibility). We have formulated a much broader definition of a tipping element, because (i) we wish to include nonclimatic variables; (ii) there may be cases where the transition is slower than the anthropogenic forcing causing it; (iii) there may be no abruptness, but a slight change in control may have a qualitative impact in the future; and (iv) for several important phase changes, state-of-the-art models differ as to whether the transition is reversible or irreversible (in principle).

We consider “components” ( $\Sigma$ ) of the Earth system that are associated with a specific region (or collection of regions) of the globe and are at least subcontinental in scale (length scale of order  $\approx 1,000$  km). A full formal definition of a tipping element is given in [supporting information \(SI\) Appendix 1](#). For the cases considered herein, a system  $\Sigma$  is a tipping element if the following condition is met:

1. The parameters controlling the system can be transparently combined into a single control  $\rho$ , and there exists a critical control value  $\rho_{\text{crit}}$  from which any significant variation by  $\delta\rho > 0$  leads to a qualitative change ( $\hat{F}$ ) in a crucial system feature  $F$ , after some observation time  $T > 0$ , measured with respect to a reference feature at the critical value, i.e.,

$$|F(\rho \geq \rho_{\text{crit}} + \delta\rho|T) - F(\rho_{\text{crit}}|T)| \geq \hat{F} > 0. \quad [1]$$

This inequality applies to forcing trajectories for which a slight deviation above a critical value that continues for some time inevitably induces a qualitative change. This change may oc-

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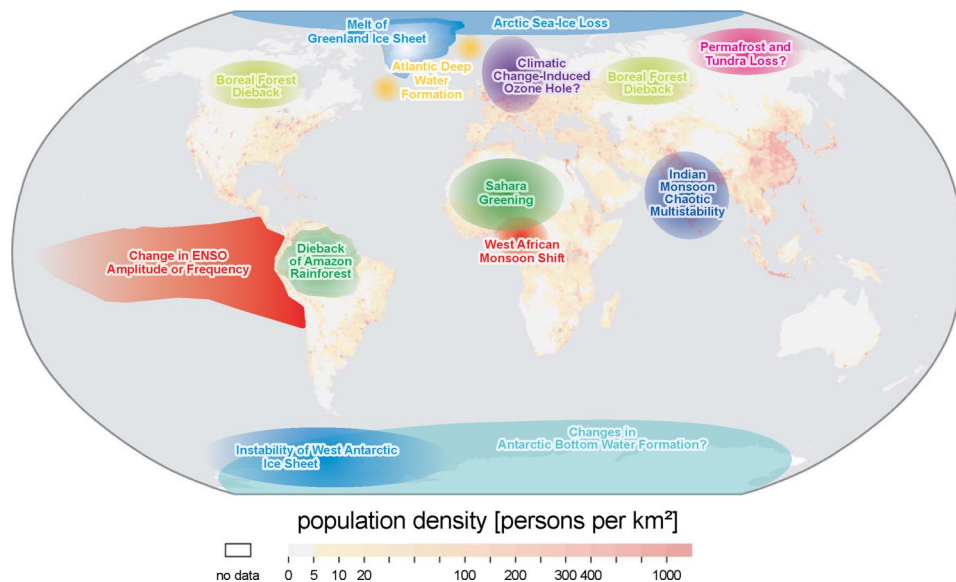
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**Fig. 1.** Map of potential policy-relevant tipping elements in the climate system, updated from ref. 5 and overlain on global population density. Subsystems indicated could exhibit threshold-type behavior in response to anthropogenic climate forcing, where a small perturbation at a critical point qualitatively alters the future fate of the system. They could be triggered this century and would undergo a qualitative change within this millennium. We exclude from the map systems in which any threshold appears inaccessible this century (e.g., East Antarctic Ice Sheet) or the qualitative change would appear beyond this millennium (e.g., marine methane hydrates). Question marks indicate systems whose status as tipping elements is particularly uncertain.

cur immediately after the cause or much later. The definition encompasses equilibrium properties with threshold behavior as well as critical rates of forcing. In its equilibrium application, it includes all orders of phase transition and the most common bifurcations found in nature: saddle-node and Hopf bifurcations. The definition could in principle be applied at any time, e.g., in Earth's history. The feature of the system and the parameter(s) that influence it need not be climate variables. Critical conditions may be reached autonomously (without human interference), and natural variability could trigger a qualitative change.

Here we restrict ourselves to tipping elements that may be accessed by human activities and are potentially relevant to current policy. We define the subset of policy-relevant tipping elements by adding to condition 1 the following conditions:

- Human activities are interfering with the system  $\Sigma$  such that decisions taken within a "political time horizon" ( $T_P > 0$ ) can determine whether the critical value for the control  $\rho_{crit}$  is reached. This occurs at a critical time ( $t_{crit}$ ) that is usually within  $T_P$  but may be later because of a commitment to further change made during  $T_P$ .
- The time to observe a qualitative change plus the time to trigger it lie within an "ethical time horizon" ( $T_E$ );  $t_{crit} + T \leq T_E$ .  $T_E$  recognizes that events too far away in the future may not have the power of influencing today's decisions.
- A significant number of people care about the fate of the component  $\Sigma$ , because it contributes significantly to the overall mode of operation of the Earth system (such that tipping it modifies the qualitative state of the whole system), it contributes significantly to human welfare (such that tipping it impacts on many people), or it has great value in itself as a unique feature of the biosphere. A qualitative change should correspondingly be defined in terms of impacts.

Conditions 2–4 give our definition of a policy-relevant tipping element an ethical dimension, which is inevitable because a focus on policy requires the inclusion of normative judgements. These enter in the choices of the political time horizon ( $T_P$ ), the ethical time horizon ( $T_E$ ), and the qualitative change that fulfills condition 4. We suggest a maximum  $T_P \sim 100$  years based on the human life span and our (limited) ability to consider the world we are leaving for our grandchildren, noting also the Intergovernmental Panel on Climate Change (IPCC) focus on this timescale. We suggest  $T_E \sim 1,000$  years based on the lifetime of civilizations, noting that this is longer than the timescale of

nation states and current political entities. Thus, we focus on the consequences of decisions enacted within this century that trigger a qualitative change within this millennium, and we exclude tipping elements whose fate is decided after 2100.

In the limit  $\delta\rho \rightarrow 0$ , condition 1 would only include vanishing equilibria and first-order phase transitions. Instead we consider that a "small" perturbation  $\delta\rho$  should not exceed the magnitude of natural variability in  $\rho$ . Considering global temperature, climate variability on interannual to millennial timescales is 0.1–0.2°C. Alternatively, a popular target is to limit anthropogenic global mean temperature increase to 2°C, and we take a "small" perturbation to be 10% of this. Either way,  $\delta\rho \sim 0.2^\circ\text{C}$  seems reasonable.

One useful way of classifying tipping elements is in terms of the time,  $T$ , over which a qualitative change is observed: (i) rapid, abrupt, or spasmodic tipping occurs if the observation time is very small compared with  $T_P$  (but  $T \neq 0$ ); (ii) gradual or episodic tipping occurs if the observation time is intermediate (e.g., of order  $T_P$ ); and (iii) slow or asymptotic tipping occurs if the observation time is very long (in particular,  $T \rightarrow T_E$ ).

Several key questions arise. What are the potential policy-relevant tipping elements of the Earth system? And for each: What is the mechanism of tipping? What is the key feature  $F$  of interest? What are the parameter(s) projecting onto the control  $\rho$ , and their value(s) near  $\rho_{crit}$ ? How long is the transition time  $T$ ? What are the associated uncertainties?

### Policy-Relevant Tipping Elements in the Climate System

Earth's history provides evidence of nonlinear switches in state or modes of variability of components of the climate system (6–10). Such past transitions may highlight potential tipping elements under anthropogenic forcing, but the boundary conditions under which they occurred were different from today, and anthropogenic forcing is generally more rapid and often different in pattern (11). Therefore, locating potential future tipping points requires some use of predictive models, in combination with paleodata and/or historical data.

Here we focus on policy-relevant potential future tipping elements in the climate system. We considered a long list of candidates (Fig. 1, Table 1), and from literature review and the aforementioned workshop, we identified a short list of candidates that meet conditions 1–4 (top nine rows in Table 1). To meet condition 1, there needed to be some theoretical basis ( $>1$  model study) for expecting a system to exhibit a critical threshold

**Table 1. Policy-relevant potential future tipping elements in the climate system and (below the empty line) candidates that we considered but failed to make the short list\***

| Tipping element                             | Feature of system, <i>F</i> (direction of change) | Control parameter(s), $\rho$                        | Critical value(s), <sup>†</sup> $\rho_{crit}$ | Global warming <sup>††</sup> | Transition timescale, <sup>†</sup> <i>T</i>                     | Key impacts                                 |
|---|---|---|---|------------------------------|---|---|
| Arctic summer sea-ice                       | Areal extent (–)                                  | Local $\Delta T_{air}$ , ocean heat transport       | Unidentified <sup>§</sup>                     | +0.5–2°C                     | ≈10 yr (rapid)  | Amplified warming, ecosystem change         |
| Greenland ice sheet (GIS)                   | Ice volume (–)                                    | Local $\Delta T_{air}$                              | +≈3°C   | +1–2°C                       | >300 yr (slow)  | Sea level +2–7 m                            |
| West Antarctic ice sheet (WAIS)             | Ice volume (–)                                    | Local $\Delta T_{air}$ , or less $\Delta T_{ocean}$ | +≈5–8°C                                       | +3–5°C                       | >300 yr (slow)  | Sea level +5 m                              |
| Atlantic thermohaline circulation (THC)     | Overturning (–)                                   | Freshwater input to N Atlantic                      | +0.1–0.5 Sv                                   | +3–5°C                       | ≈100 yr (gradual)   | Regional cooling, sea level, ITCZ shift     |
| El Niño–Southern Oscillation (ENSO)         | Amplitude (+)                                     | Thermocline depth, sharpness in EEP                 | Unidentified <sup>§</sup>                     | +3–6°C                       | ≈100 yr (gradual)   | Drought in SE Asia and elsewhere            |
| Indian summer monsoon (ISM)                 | Rainfall (–)                                      | Planetary albedo over India                         | 0.5   | N/A                          | ≈1 yr (rapid)   | Drought, decreased carrying capacity        |
| Sahara/Sahel and West African monsoon (WAM) | Vegetation fraction (+)                           | Precipitation                                       | 100 mm/yr                                     | +3–5°C                       | ≈10 yr (rapid)  | Increased carrying capacity                 |
| Amazon rainforest                           | Tree fraction (–)                                 | Precipitation, dry season length                    | 1,100 mm/yr                                   | +3–4°C                       | ≈50 yr (gradual)  | Biodiversity loss, decreased rainfall       |
| Boreal forest                               | Tree fraction (–)                                 | Local $\Delta T_{air}$                              | +≈7°C   | +3–5°C                       | ≈50 yr (gradual)  | Biome switch                                |
| Antarctic Bottom Water (AABW)*              | Formation (–)                                     | Precipitation–Evaporation                           | +100 mm/yr                                    | Unclear <sup>¶</sup>         | ≈100 yr (gradual)   | Ocean circulation, carbon storage           |
| Tundra*                                     | Tree fraction (+)                                 | Growing degree days above zero                      | Missing <sup>  </sup>                         | —                            | ≈100 yr (gradual)   | Amplified warming, biome switch             |
| Permafrost*                                 | Volume (–)  | $\Delta T_{permafrost}$                             | Missing <sup>  </sup>                         | —                            | <100 yr (gradual)   | CH <sub>4</sub> and CO <sub>2</sub> release |
| Marine methane hydrates*                    | Hydrate volume (–)                                | $\Delta T_{sediment}$                               | Unidentified <sup>§</sup>                     | Unclear <sup>¶</sup>         | 10 <sup>3</sup> to 10 <sup>5</sup> yr (> <i>T<sub>E</sub></i> ) | Amplified global warming                    |
| Ocean anoxia*                               | Ocean anoxia (+)                                  | Phosphorus input to ocean                           | +≈20%   | Unclear <sup>¶</sup>         | ≈10 <sup>4</sup> yr (> <i>T<sub>E</sub></i> )                   | Marine mass extinction                      |
| Arctic ozone*                               | Column depth (–)                                  | Polar stratospheric cloud formation                 | 195 K   | Unclear <sup>¶</sup>         | <1 yr (rapid)   | Increased UV at surface                     |

N, North; ITCZ, Inter-tropical Convergence Zone; EEP, East Equatorial Pacific; SE, Southeast.

\*See *SI Appendix 2* for more details about the tipping elements that failed to make the short list.

<sup>†</sup>Numbers given are preliminary and derive from assessments by the experts at the workshop, aggregation of their opinions at the workshop, and review of the literature.

<sup>††</sup>Global mean temperature change above present (1980–1999) that corresponds to critical value of control, where this can be meaningfully related to global temperature.

<sup>§</sup>Meaning theory, model results, or paleo-data suggest the existence of a critical threshold but a numerical value is lacking in the literature.

<sup>¶</sup>Meaning either a corresponding global warming range is not established or global warming is not the only or the dominant forcing.

<sup>||</sup>Meaning no subcontinental scale critical threshold could be identified, even though a local geographical threshold may exist.

( $\rho_{crit}$ ) at a subcontinental scale, and/or past evidence of threshold behavior. Where the proposed  $\rho_{crit}$  could be meaningfully related to temperature, condition 2 was evaluated based on an “accessible neighborhood” of global temperatures from the IPCC (12) of 1.1–6.4°C above 1980–1999 that could be committed to over the next  $T_p \sim 100$  years, and on recognition that transient warming is generally greater toward the poles and greater on land than in the ocean. Condition 3 was evaluated on the basis of model projections, known shortcomings of the models, and paleodata. Our collective judgement was used to evaluate condition 4.

Our short list differs from that of the IPCC (ref. 12, chapter 10, especially p. 775 ff, p. 818 ff) because our definition and criteria differ from, and are more explicit than, the IPCC notion of abrupt climate change. The evidence base we use is also slightly different because it encompasses some more recent studies. The authors of this paper and the workshop participants are a smaller group of scientists than the IPCC members, the groups are only partially overlapping, and our analysis was undertaken largely in parallel. We seek to add value to the IPCC overview by injecting a more precise definition and undertaking a complementary, in-depth evaluation.

We now discuss the entries that made our short list and seek to explain significant discrepancies from the IPCC where they

arise. Those candidates that did not make the short list (and why) are discussed in *SI Appendix 2*.

**Arctic Sea-Ice.** As sea-ice melts, it exposes a much darker ocean surface, which absorbs more radiation—amplifying the warming. Energy-balance models suggest that this ice-albedo positive feedback can give rise to multiple stable states of sea-ice (and land snow) cover, including finite ice cap and ice-free states, with ice caps smaller than a certain size being unstable (13). This small ice-cap instability is also found in some atmospheric general circulation models (AGCMs), but it can be largely eliminated by noise due to natural variability (14). The instability is not expected to be relevant to Southern Ocean sea-ice because the Antarctic continent covers the region over which it would be expected to arise (15). Different stable states for the flow rate through the narrow outlets that drain parts of the Arctic basin have also been found in a recent model (16). For both summer and winter Arctic sea-ice, the area coverage is declining at present (with summer sea-ice declining more markedly; ref. 17), and the ice has thinned significantly over a large area. Positive ice-albedo feedback dominates external forcing in causing the thinning and shrinkage since 1988, indicating strong nonlinearity and leading some to suggest that this system may already have passed a tipping point (18), although others disagree (19). In IPCC projections with ocean-atmosphere general circulation

models (OAGCMs) (12), half of the models become ice-free in September during this century (19), at a polar temperature of  $-9^{\circ}\text{C}$  ( $9^{\circ}\text{C}$  above present) (20). The transition has nonlinear steps in many of the models, but a common critical threshold has yet to be identified (19). Thinning of the winter sea-ice increases the efficiency of formation of open water in summer, and abrupt retreat occurs when ocean heat transport to the Arctic increases rapidly (19). Only two IPCC models (12) exhibit a complete loss of annual sea-ice cover under extreme forcing (20). One shows a nonlinear transition to a new stable state in  $<10$  years when polar temperature rises above  $-5^{\circ}\text{C}$  ( $13^{\circ}\text{C}$  above present), whereas the other shows a more linear transition. We conclude that a critical threshold for summer Arctic sea-ice loss may exist, whereas a further threshold for year-round ice loss is more uncertain and less accessible this century. Given that the IPCC models significantly underestimate the observed rate of Arctic sea-ice decline (17), a summer ice-loss threshold, if not already passed, may be very close and a transition could occur well within this century.

**Greenland Ice Sheet (GIS).** Ice-sheet models typically exhibit multiple stable states and nonlinear transitions between them (21). In some simulations with the GIS removed, summer melting prevents its reestablishment (22), indicating bistability, although others disagree (23). Regardless of whether there is bistability, in deglaciation, warming at the periphery lowers ice altitude, increasing surface temperature and causing a positive feedback that is expected to exhibit a critical threshold beyond which there is ongoing net mass loss and the GIS shrinks radically or eventually disappears. During the last interglacial (the Eemian), there was a 4- to 6-m higher sea level that must have come from Greenland and/or Antarctica. Increased Arctic summer insolation caused an estimated  $<3.5^{\circ}\text{C}$  summertime warming of Greenland, and shrinkage of the GIS contributed an estimated 1.9–3.0 m to sea level, although a widespread ice cap remained (24). Broadly consistent with this, future projections suggest a GIS threshold for negative surface mass balance resides at  $\approx 3^{\circ}\text{C}$  local warming (above preindustrial) (3, 25). Uncertainties are such that IPCC (12) put the threshold at  $\approx 1.9$ – $4.6^{\circ}\text{C}$  global warming (above preindustrial), which is clearly accessible this century. We give a closer and narrower range (above present) because amplification of warming over Greenland may be greater (26) than assumed (12, 25) because of more rapid sea-ice decline than modeled (17). Also, recent observations show the surface mass balance is declining (12) and contributing to net mass loss from the GIS (27, 28) that is accelerating (28, 29). Finally, existing ice-sheet models are unable to explain the speed of recent changes. These changes include melting and thinning of the coastal margins (30) and surging of outlet glaciers (29, 31), which may be contributed to by the intrusion of warming ocean waters (32). This is partly compensated by some mass gain in the interior (33). There is a lack of knowledge of natural GIS variability, and Greenland temperature changes have differed from the global trend (26), so interpretation of recent observations remains uncertain. If a threshold is passed, the IPCC (12) gives a  $>1,000$ -year timescale for GIS collapse. However, given the acknowledged (12) lack of processes that could accelerate collapse in current models, and their inability to simulate the rapid disappearance of continental ice at the end of the last ice age, a lower limit of 300 years is conceivable (34).

**West Antarctic Ice Sheet (WAIS).** Most of the WAIS is grounded below sea level and has the potential to collapse if grounding-line retreat triggers a strong positive feedback whereby ocean water undercuts the ice sheet and triggers further separation from the bedrock (35–37). The WAIS has retreated at least once during the Pleistocene (38), but the full extent of retreat is not known, nor is

whether it occurred in the Eemian or the long, warm interglacial MIS-11  $\approx 400$  ka. Approximately 1–4 m of the Eemian sea-level rise may have come from Antarctica, but some could have been from parts of the East Antarctic Ice Sheet grounded below sea level (and currently thinning at a rapid rate). WAIS collapse may be preceded by the disintegration of ice shelves and the acceleration of ice streams. Ice shelf collapse could be triggered by the intrusion of warming ocean water beneath them or by surface melting. It requires  $\approx 5^{\circ}\text{C}$  of local warming for surface atmospheric temperatures to exceed the melting point in summer on the major (Ross and Fischer-Ronne) ice shelves (12, 37). The threshold for ocean warming is estimated to be lower (37). The WAIS itself requires  $\approx 8^{\circ}\text{C}$  of local warming of the surface atmosphere at  $75$ – $80^{\circ}\text{S}$  to reach the melting point in summer (37). Although the IPCC (12) declines to give a threshold, we estimate a range that is clearly accessible this century. Concern is raised by recent inferences from gravity measurements that the WAIS is losing mass (39), and observations that glaciers draining into the Amundsen Sea are losing 60% more ice than they are gaining and hence contributing to sea-level rise (40). They drain a region containing  $\approx 1.3$  m of a total  $\approx 5$  m of global sea-level rise contained in the WAIS. Although the timescale is highly uncertain, a qualitative WAIS change could occur within this millennium, with collapse within 300 years being a worst-case scenario. Rapid sea-level rise ( $>1$  m per century) is more likely to come from the WAIS than from the GIS.

**Atlantic Thermohaline Circulation (THC).** A shutoff in North Atlantic Deep Water formation and the associated Atlantic THC can occur if sufficient freshwater (and/or heat) enters the North Atlantic to halt density-driven North Atlantic Deep Water formation (41). Such THC reorganizations play an important part in rapid climate changes recorded in Greenland during the last glacial cycle (42, 43). Hysteresis of the THC has been found in all models that have been systematically tested thus far (44), from conceptual “box” representations of the ocean (45) to OAGCMs (46). The most complex models have yet to be systematically tested because of excessive computational cost. Under sufficient North Atlantic freshwater forcing, all models exhibit a collapse of convection. In some experiments, this collapse is reversible (47) (after the forcing is removed, convection resumes), whereas in others, it is irreversible (48)—indicating bistability. In either case, a tipping point has been passed according to condition 1. The proximity of the present climate to this tipping point varies considerably between models, corresponding to an additional North Atlantic freshwater input of 0.1–0.5 Sv (44). The sensitivity of North Atlantic freshwater input to anthropogenic forcing is also poorly known, but regional precipitation is predicted to increase (12) and the GIS could contribute significantly (e.g., GIS melt over 1,000 years is equivalent to 0.1 Sv). The North Atlantic is observed to be freshening (49), and estimates of recent increases in freshwater input yield 0.014 Sv from melting sea ice (18), 0.007 Sv from Greenland (29), and 0.005 Sv from Eurasian rivers (50), totaling 0.026 Sv, without considering precipitation over the oceans or Canadian river runoff. The IPCC (12) argues that an abrupt transition of the THC is “very unlikely” (probability  $<10\%$ ) to occur before 2100 and that any transition is likely to take a century or more. Our definition encompasses gradual transitions that appear continuous across the tipping point; hence, some of the IPCC runs (ref. 12, p. 773 ff) may yet meet our criteria (but would need to be run for longer to see if they reach a qualitatively different state). Furthermore, the IPCC does not include freshwater runoff from GIS melt. Subsequent OAGCM simulations clearly pass a THC tipping point this century and undergo a qualitative change before the next millennium (48). Both the timescale and the magnitude of forcing are important (51), because a more rapid forcing to a given level can more readily overwhelm the negative feedback

that redistributes salt in a manner that maintains whatever is the current circulation state.

**El Niño–Southern Oscillation (ENSO).** Gradual anthropogenic forcing is expected, on theoretical grounds, to interact with natural modes of climate variability by altering the relative amount of time that the climate system spends in different states (52). ENSO is the most significant ocean–atmosphere mode, and its variability is controlled by (at least) three factors: zonal mean thermocline depth, thermocline sharpness in the EEP, and the strength of the annual cycle and hence the meridional temperature gradient across the equator (53, 54). Increased ocean heat uptake could cause a permanent deepening of the thermocline in the EEP and a consequent shift from present day ENSO variability to greater amplitude and/or more frequent El Niños (55). However, a contradictory theory postulates sustained La Niña conditions due to stronger warming of the West Equatorial Pacific than the East, causing enhanced easterly winds and reinforcing the up-welling of cold water in the EEP (56). The mid-Holocene had a reduction in ENSO amplitude related to a stronger zonal temperature gradient (57, 58). The globally  $\approx 3^\circ\text{C}$  warmer early Pliocene is characterized by some as having persistent El Niño conditions (59), whereas others disagree (60). Under future forcing, the first OAGCM studies showed a shift from the current ENSO variability to more persistent or frequent El Niño-like conditions. Now that numerous OAGCMs have been intercompared, there is no consistent trend in their transient response and only a small collective probability of a shift toward more persistent or frequent El Niño conditions (61, 62). However, in response to a warmer stabilized climate, the most realistic models simulate increased El Niño amplitude (with no clear change in frequency) (54). This would have large-scale impacts, and even if the transition is smooth and gradual, a tipping point may exist by condition 1. Given also that past climate changes have been accompanied by changes in ENSO, we differ from IPCC (12) and consider there to be a significant probability of a future increase in ENSO amplitude. The required warming can be accessed this century (54) with the transition happening within a millennium, but the existence and location of any threshold is particularly uncertain.

**Indian Summer Monsoon (ISM).** The land-to-ocean pressure gradient, which drives the monsoon circulation is reinforced by the moisture the monsoon itself carries from the adjacent Indian Ocean (moisture–advection feedback) (63). Consequently, any perturbation that tends to weaken the driving pressure gradient has the potential to destabilize the monsoon circulation. Greenhouse warming that is stronger over land and in the Northern Hemisphere tends to strengthen the monsoon, but increases in planetary albedo over the continent due to aerosol forcing and/or land-use change tend to weaken it. The ISM exhibited rapid changes in variability during the last ice age (64) and the Holocene (65), with an increased strength during recent centuries consistent with Northern Hemisphere warming (66). Recent time series display strongly nonlinear characteristics, from the intraseasonal via the interannual and the decadal to the centennial timescale (67), with the interannual variations lag correlated with the phases of ENSO, although this may be increasingly masked by anthropogenic forcing (68). A simple model (63) predicts collapse of the ISM if regional planetary albedo exceeds  $\approx 0.5$ , whereas increasing  $\text{CO}_2$  stabilizes the monsoon. IPCC projections do not show obvious threshold behavior this century (12), but they do agree that sulfate aerosols would dampen the strength of ISM precipitation, whereas increased greenhouse gases increase the interannual variability of daily precipitation (69). We differ from IPCC (12) on the basis of past apparent threshold behavior of the ISM and because brown haze and land-use-change forcing are poorly captured in the models.

Furthermore, conceptual work on the potentially chaotic nature of the ISM (70) has been developed (V. Petoukhov, K. Zickfeld, and H.J.S., unpublished work) to suggest that under some plausible decadal-scale scenarios of land use and greenhouse gas and aerosol forcing, switches occur between two highly nonlinear metastable regimes of the chaotic oscillations corresponding to the “active” and “weak” monsoon phases, on the intraseasonal and interannual timescales. Sporadic bifurcation transitions may also happen from regimes of chaotic oscillations to regimes with highly deterministic oscillations, or to regimes with very weak oscillations.

**Sahara/Sahel and West African Monsoon (WAM).** Past greening of the Sahara occurred in the mid-Holocene (71–73) and may have happened rapidly in the earlier Bölling-Allerod warming. Collapse of vegetation in the Sahara  $\approx 5,000$  years ago occurred more rapidly than orbital forcing (71, 72). The system has been modeled and conceptualized in terms of bistable states that are maintained by vegetation–climate feedback (71, 74). However, it is intimately tied to the WAM circulation, which in turn is affected by sea surface temperatures (SSTs), particularly anti-symmetric patterns between the Hemispheres. Greenhouse gas forcing is expected to increase the interhemispheric SST gradient and thereby increase Sahel rainfall; hence, the recent Sahel drought has been attributed to increased aerosol loading cooling the Northern Hemisphere (75). Future 21st century projections differ (75, 76); in two AOGCMs, the WAM collapses, but in one this leads to further drying of the Sahel, whereas in the other it causes wetting due to increased inflow from the West. The latter response is more mechanically reasonable, but it requires a  $\approx 3^\circ\text{C}$  warming of SSTs in the Gulf of Guinea (76). A third AOGCM with the most realistic present-day WAM predicts no large trend in mean rainfall but a doubling of the number of anomalously dry years by the end of the century (76). If the WAM is disrupted such that there is increased inflow from the West (76), the resulting moisture will wet the Sahel and support greening of the Sahara, as is seen in mid-Holocene simulations (73). Indeed, in an intermediate complexity model, increasing atmospheric  $\text{CO}_2$  has been predicted to cause future expansion of grasslands into up to 45% of the Sahara, at a rate of up to 10% of Saharan area per decade (11). In the Sahel, shrub vegetation may also increase due to increased water use efficiency (stomatal closure) under higher atmospheric  $\text{CO}_2$  (77). Such greening of the Sahara/Sahel is a rare example of a beneficial potential tipping element.

**Amazon Rainforest.** A large fraction of precipitation in the Amazon basin is recycled, and, therefore, simulations of Amazon deforestation typically generate  $\approx 20$ – $30\%$  reductions in precipitation (78), lengthening of the dry season, and increases in summer temperatures (79) that would make it difficult for the forest to reestablish, and suggest the system may exhibit bistability. Dieback of the Amazon rainforest has been predicted (2, 80) to occur under  $\approx 3$ – $4^\circ\text{C}$  global warming because of a more persistent El Niño state that leads to drying over much of the Amazon basin (81). Different vegetation models driven with similar climate projections also show Amazon dieback (82), but other global climate models (83) project smaller reductions (or increases) of precipitation and, therefore, do not produce dieback (84). A regional climate model (85) predicts Amazon dieback due to widespread reductions in precipitation and lengthening of the dry season. Changes in fire frequency probably contribute to bistability and will be amplified by forest fragmentation due to human activity. Indeed land-use change alone could potentially bring forest cover to a critical threshold. Thus, the fate of the Amazon may be determined by a complex interplay between direct land-use change and the response of regional precipitation and ENSO to global forcing.



is to the Arctic with summer sea-ice loss likely to occur long before (and potentially contribute to) GIS melt. Tipping elements in the tropics, the boreal zone, and West Antarctica are surrounded by large uncertainty and, given their potential sensitivity, constitute candidates for surprising society. The archetypal example of a tipping element, the THC appears to be a less immediate threat, but the long-term fate of the THC under significant warming remains a source of concern (99).

### The Prospects for Early Warning

Establishing early warning systems for various tipping elements would clearly be desirable, but can  $\rho_{crit}$  be anticipated before we reach it? In principle, an incipient bifurcation in a dynamical system could be anticipated (100), by looking at the spectral properties of time series data (101), in particular, extracting the longest system-immanent timescale ( $\tau$ ) from the response of the system to natural variability (102). Systems theory reveals (Fig. 2A) (i) that those tipping points that represent a bifurcation are universally characterized by  $\tau \rightarrow \infty$  at the threshold, and (ii) that in principle  $\tau$  could be reconstructed through methods of time series analysis. Hence a “degenerate fingerprinting” method has been developed for anticipating a threshold in a spatially extended system and applied to the detection of a threshold in the Atlantic THC, by using time series output from a model of intermediate complexity (102) (Fig. 2B).

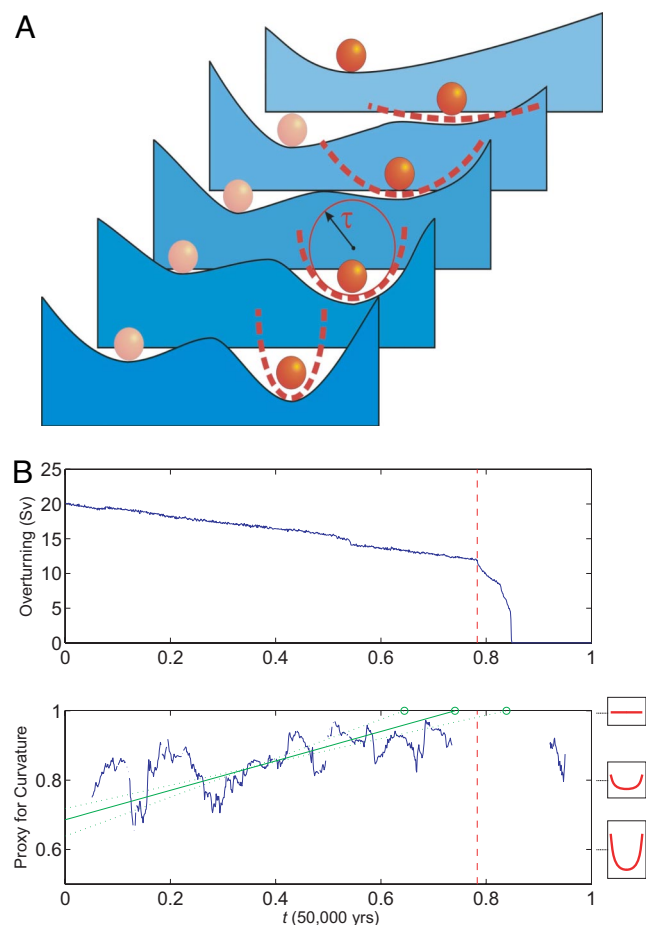
These studies reveal that if a system is forced slowly (keeping it in quasi-equilibrium), proximity to a threshold may be inferred in a model-independent way. However, if the system is forced faster (as is probably the case for the THC today), a dynamical model will also be needed. Even if there is no bifurcation, determining  $\tau$  is still worthwhile because it determines the system’s linear response characteristics to external forcing, and transitions that are not strictly bifurcations are expected to resemble bifurcation-type behavior to a certain degree. For strongly resource-limited ecosystems that show self-organized patchiness, their observable macrostructure may also provide an indication of their proximity to state changes (103).

If a forewarning system for approaching thresholds is to become workable, then real-time observation systems need to be improved (e.g., building on the Atlantic THC monitoring at 26.5°N). For slow transition systems, notably ocean and ice sheets, observation records also need to be extended further back in time (e.g., for the Atlantic beyond the  $\approx 150$ -year SST record). Analysis of extended time series data could then be used to improve models (104), e.g., an effort to determine the Atlantic’s  $\tau$  and assimilate it into ocean models could reduce the vast intra- and intermodel (44) spread regarding the proximity to a tipping point (102).

### Conclusion

Society may be lulled into a false sense of security by smooth projections of global change. Our synthesis of present knowledge suggests that a variety of tipping elements could reach their critical point within this century under anthropogenic climate change. The greatest threats are tipping the Arctic sea-ice and the Greenland ice sheet, and at least five other elements could surprise us by exhibiting a nearby tipping point. This knowledge should influence climate policy, but a full assessment of policy relevance would require that, for each potential tipping element, we answer the following questions: Mitigation: Can we stay clear of  $\rho_{crit}$ ? Adaptation: Can  $\hat{F}$  be tolerated?

The IPCC provides a thorough overview of mitigation (105) and adaptation (106) work upon which such a policy assessment of tipping elements could be built. Given the scale of potential impacts from tipping elements, we anticipate that they will shift the balance toward stronger mitigation and demand adaptation concepts beyond incremental approaches (107, 108). Policy analysis and implementation will be ex-



**Fig. 2.** Method for estimating the proximity to a tipping point. (A) Schematic approach: The potential wells represent stable attractors, and the ball, the state of the system. Under gradual anthropogenic forcing (progressing from dark to light blue potential), the right potential well becomes shallower and finally vanishes (threshold), causing the ball to abruptly roll to the left. The curvature of the well is inversely proportional to the system’s response time  $\tau$  to small perturbations. “Degenerate fingerprinting” (102) extracts  $\tau$  from the system’s noisy, multivariate time series and forecasts the vanishing of local curvature. (B) Degenerate fingerprinting “in action”: Shown is an example for the Atlantic meridional overturning circulation. (Upper) Overturning strength under a 4-fold linear increase of atmospheric CO<sub>2</sub> over 50,000 years in the CLIMBER-2 model with weak, stochastic freshwater forcing. Eventually, the circulation collapses without early warning. (Lower) Overturning replaced by a proxy of the shape of the potential (as in A). Although the signal is noisier in Lower than it is in Upper, it allows forecasting of the location of the threshold (data taken from ref. 102). The solid green line is a linear fit, and the dashed green lines are 95% error bars.

tremely challenging given the nonconvexities in the human-environment system (109) that will be enhanced by tipping elements, as well as the need to handle intergenerational justice and interpersonal equity over long periods and under conditions of uncertainty (110). A rigorous study of potential tipping elements in human socioeconomic systems would also be welcome, especially to address whether and how a rapid societal transition toward sustainability could be triggered, given that some models suggest there exists a tipping point for the transition to a low-carbon-energy system (111).

It seems wise to assume that we have not yet identified all potential policy-relevant tipping elements. Hence, a systematic search for further tipping elements should be undertaken, drawing on both paleodata and multimodel ensemble studies. Given the large uncertainty that remains about tipping ele-

ments, there is an urgent need to improve our understanding of the underlying physical mechanisms determining their behavior, so that policy makers are able “to avoid the unmanageable, and to manage the unavoidable” (112).

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May 19, 2022

John Hitchcock  
Planning Manager  
Development Services Department  
1053 Tata Lane  
South Lake Tahoe, CA 96150

**Re: Proposed Tourist Core Area Plan/Specific Plan Amendment Initial Study/Mitigated Negative Declaration and Initial Environmental Checklist/Finding of No Significant Impact**

**BOARD MEMBERS**

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**JANE FREEMAN**  
*Deputy Director*

Dear Mr. Hitchcock,

On behalf of the California Tahoe Conservancy (Conservancy), we appreciate the opportunity to provide comments on the City of South Lake Tahoe's (City) proposed Tourist Core Area Plan/Specific Plan Amendment (amendment) Initial Study/Mitigated Negative Declaration and Initial Environmental Checklist/Finding of No Significant Effect (IS/MND). Our agency jointly manages Van Sickle Bi-State Park (Park) with Nevada Division of State Parks (NDSP). The Conservancy believes the Park will be negatively impacted by the rezoning of El Dorado County Assessment Number (AN) 029-240-011 from recreation to tourist center mixed use and the associated 10-unit housing project (housing project) analyzed in the IS/MND. In addition, we are concerned that possible actions associated with the housing project on the adjacent private parcel (AN 029-441-003) (private parcel) that is at the entrance to the Park could impact the Park and visitor experience.

The Conservancy provided comments during the scoping period concerning impacts to the historic character and natural aesthetic of the Park entrance; increased vehicle traffic and pedestrian safety; and management issues related to new user trails, personal storage, parking, and trash resulting from the proposed amendment and housing project. The Conservancy appreciates the IS/MND modifications made by the City in response to our comments including removal of the private parcel from the amendment and inclusion of the six-foot tall rod iron fence as mitigation. However, we believe the amendment and associated housing project still have potential negative impacts to the Park.

We are writing to describe these potential issues and request the City modify the IS/MND and housing project to address our concerns. Our primary concern is that the City inadequately analyzes potential environmental impacts to the Park in the IS/MND, and does not sufficiently



mitigate the environmental impacts caused by the proposed amendment and housing project.

1. In section 5.4.3 Aesthetics, the City fails to consider the potential environmental impacts of the amendment and housing project to the Park. The housing project as proposed is visible from the Park and will degrade the welcoming historic character and natural aesthetic of the Park. The Conservancy and NDSP specifically designed the Park to promote pedestrian access and highlight the forested and natural appeal of the area. The housing project will remove mature trees and replace them with newly constructed buildings and parking lots. The resulting change may diminish the Park's aesthetic appeal and reduce visitors' experience.
2. In section 5.4.6 Biological Resources, the City does not adequately consider impacts to riparian habitat. The removal of the previous development required restoration of the stream environment zone (SEZ) on this site. The SEZ connects to a portion of the Park. A fully restored and functioning SEZ could provide treatment of run-off that improves lake clarity and vegetative screening for the housing project. However, the restoration in 2009 did not result in a fully functioning SEZ and additional restoration and monitoring efforts are needed.

Given the potential environmental impacts highlighted above, the Conservancy believes the City should apply appropriate measures to avoid or mitigate the impacts. The Conservancy requests onsite mitigation measures for the housing project for each of the resource areas. The mitigation measures should include installing vegetative screening around buildings and parking lots and enhancing SEZ restoration and vegetation.

In addition, the Conservancy is concerned the developer could utilize the recreation zoning of the private parcel for future development that impacts the viewshed, biological resources, and SEZ bordering the Park. The Conservancy requests the City takes steps to limit future development on the private parcel as a mitigation measure of the amendment. The Conservancy foresees a combination of four mitigation measures to accomplish this:

1. Rezone the private parcel from recreation to open space;
2. Deed restrict the private parcel from any future development;
3. Relinquish the reservation to "the Lane Access Easement", recorded on September 16<sup>th</sup>, 2009 and found in the official records of El Dorado County as document number 20090047163; and,
4. Acquire the entire private parcel or the portion containing Park improvements for appraised market value.

The private parcel contains SEZ and undeveloped land serving as a partial viewshed buffer of the housing project from the east side of the project at the entrance to the Park. The Conservancy believes mitigation measures, including open space zoning, deed restrictions, relinquishment of reservation, or acquisition of the parcel will ensure the

existing screening of the housing project remains and potential future uses will not impact existing SEZ and biological resources bordering the Park.

Additionally, the relinquishment of the reservation described in number three above ensures public safety and vehicle traffic concerns raised in the scoping period are mitigated. The easement reservation allows the developer to use the Park entrance to access development on the private parcel, which would cross a pedestrian access trail. This creates a conflict and safety concerns for pedestrians. In addition, vehicular access to the Park is closed from sunset to sunrise during the summer and from November 1 to May 1 during the winter. The Conservancy manages the entrance by opening and closing the gate at the designated times. Private access through the Park entrance will make it more difficult for the Conservancy to effectively do so.

The above listed mitigation measures are not exhaustive and the Conservancy requests to be involved in negotiating what final mitigation measures will be included and implemented. The Conservancy requests all mitigation measures be specific and enforceable in this IS/MND.

In summary, we appreciate the opportunity to comment on the IS/MND. We believe that the proposed amendment and housing project have the potential to negatively impact the Park as detailed above. We look forward to further discussing with the City how these issues will be addressed in the IS/MND and considered in the planning and implementation of the proposed amendment and housing project. Please follow-up with Mr. Nick Meyer, [nick.meyer@tahoe.ca.gov](mailto:nick.meyer@tahoe.ca.gov) or (530) 543-6073, with any questions or concerns.

Sincerely,

*Jane Freeman*

Jane Freeman  
Deputy Director

Cc: Janice Keillor, Deputy Administrator, Nevada Division of State Parks  
Allen Wooldridge, Tahoe Region Manager, Nevada Division of State Parks  
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May 19, 2022

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Re: Initial Study/Mitigated Negative Declaration (IS/MND) for the proposed Tourist Core Area Plan (TCAP) amendments

Dear Mr. Hitchcock and Ms. Self,

As a member of the 2012 Regional Plan Update (RPU) Bi-State Working Group, the League to Save Lake Tahoe (League) appreciates the opportunity to continue to work with the Tahoe Regional Planning Agency (TRPA) and the City of South Lake Tahoe (City) to implement the RPU. Effective implementation of Area Plans is critical to this ongoing effort. The League thanks the City for the opportunity to comment on the Initial Study/Mitigated Negative Declaration (IS/MND) for the proposed Tourist Core Area Plan (TCAP) amendments.

The League commented during the Scoping period and appreciates the “corner lot” (3828 Montreal Road) being taken out of consideration for development in response.

### **Overview**

The League does not support the current proposed TCAP amendments because they are inconsistent with City and TRPA plans and intent. The Colony Inn parcel was intended to be permanently retired and the stream environment zone (SEZ) restored. The SEZ restoration attempt failed. Rezoning the last recreation/conservation land in the TCAP area does not align with the goals and policies of the City’s General Plan or TRPA’s Regional Plan, which the IS/MND is tiered off of. Because the environmental document only includes one mitigation measure, we are recommending two additional mitigation measures:

1. Restore the SEZ to a functional level and monitor and manage it to ensure it remains functional for the life of the project.
2. Permanently protect the “corner lot” (APN 029-441-003) as Recreation or Open Space through a permanent deed restriction running with the land.

We expect these two mitigation measures to be included for the TCAP amendments and proposed project in order to be approved.

### **SEZ Impacts and Site Suitability for Development**

On March 18, 2008, the City passed a Resolution to permanently retire the Colony Inn site from future development as a condition of transferring the associated tourist accommodation units (TAUs) out of the City limits: “WHEREAS, the Colony Inn located partially Within an area identified for SEZ restoration, Once the Colony Inn is demolished, existing development will be transferred out of the SEZ and the site will be restored and permanently retired, thereby furthering the goals of the Stateline/Ski Run Community Plan and attainment of TRPA’s thresholds.”<sup>1</sup>

<sup>1</sup> March 18, 2008 City of South Lake Tahoe Staff Report and Resolution.

[http://slt.granicus.com/MetaViewer.php?view\\_id=4&clip\\_id=181&meta\\_id=15886](http://slt.granicus.com/MetaViewer.php?view_id=4&clip_id=181&meta_id=15886)

The City included a Policy in the TCAP that aligns with its Resolution and approval of TAU transfers from the Colony Inn site: “Onsite land coverage reduction will occur primarily through environmental redevelopment by providing development incentives in centers that promote the relocation and transfer of land coverage. The City will endeavor, where feasible, to reduce and avoid creating new coverage in order to benefit the objectives of the TCAP and other areas of South Tahoe.”<sup>2</sup> This language was discussed at the November 2013 TRPA Governing Board meeting, including whether or not to specifically include the Colony Inn site as a target restoration site. In the end, though a specific site was not targeted for restoration and the Colony Inn site was intended for restoration and permanent retirement as stipulated above.

*The City needs to decide whether this amendment meets the intent of the General Plan and TCAP including the goals and policies contained within it. The City’s Attorney will also need to determine whether or not a new Resolution is required to allow this Area Plan amendment.*

Between 2009 and 2013 the Colony Inn was demolished and the SEZ should have been restored, but the restoration failed. According to TRPA’s 2020 SEZ Baseline Report, the Colony Inn site (Colony Inn Meadows) restoration failed.<sup>3</sup> The SEZ only ranked a “C,” indicating an unhealthy SEZ due to a ditch running through the entire project, dewatering the meadow and leading to loss of vegetation vigor. With the proposed amendments, the coverage limit would increase from 30 percent to 70 percent, with coverage transfer on applicable lands with capability 4-7. Additional development around the SEZ where headcuts and ditches are present, significantly and irreversibly impact the SEZ which expressly violates the 2008 City Resolution and the intent of SEZ restoration. Regardless of the success of the SEZ restoration efforts, the site was to be permanently retired, in line with the City’s 2008 Resolution and enforced by TRPA’s approval of the Boulder Bay Community Enhancement Program Project EIS in 2009.<sup>4</sup>

In September, October, and November of 2013, the TRPA Regional Plan Implementation Committee (RPIC) and Governing Board had lengthy discussions internally and with the City and the public. One of the results of the discussion was the City reinforcing that it “wanted to identify [Colony Inn] as a priority site for getting the stream environment zone restoration completed.”<sup>5</sup> Other outcomes relevant to these proposed amendments are enshrined in the TCAP itself:

- “The Colony Inn which was located in SEZ lands by the intersection of Montreal Road and Heavenly Village Way was demolished and 64,800 square feet of land coverage was removed and banked, and the site stabilized. The existing tourist accommodation units removed from the site are proposed for transfer to the Boulder Bay Project in North Stateline. A condition of the Boulder Bay permit requires that the property be restored to a functioning SEZ prior to the units being transferred.” Page 3-4.
- “The Tourist Core Area Plan responds to the needed SEZ improvements: Restore the disturbed SEZ on the Colony Inn parcel located along Montreal Road.” Page 7-5.

<sup>2</sup> October 15, 2013 TCAP. Policy NCR-4.1, page 7-3. <https://www.cityofslt.us/DocumentCenter/View/3508/Final-Tourist-Core-Area-Plan?bidId=>

<sup>3</sup> December 2020 Lake Tahoe Basin SEZ Baseline Condition Assessment. Report: [https://gis.trpa.org/TahoeSEZViewer/SEZ%20baseline%20condition%20assessment\\_v8.pdf](https://gis.trpa.org/TahoeSEZViewer/SEZ%20baseline%20condition%20assessment_v8.pdf); StoryMap: <https://www.google.com/url?q=https://storymaps.arcgis.com/stories/815a21db82944f7f95ce94d76c73a19b&sa=D&source=docs&ust=1652741001866899&usg=AOvVaw2791Wlh0aSr9wKajKr5gZW>

<sup>4</sup> November 4, 2009 Boulder Bay CEP Project EIS. [https://www.trpa.gov/wp-content/uploads/documents/archive/4\\_01\\_Land\\_Use.pdf](https://www.trpa.gov/wp-content/uploads/documents/archive/4_01_Land_Use.pdf)

<sup>5</sup> October 24, 2013 Meeting Minutes from TRPA RPIC meeting. Page 19. <https://www.trpa.gov/wp-content/uploads/documents/archive/January-29-2014-Governing-Board-Packet.pdf>

In July of 2013, the League submitted comments on the TCAP in its early stages of development, including a clarifying question about the Colony Inn site. The November 2013 TRPA Governing Board meeting included responses to comments and #8 directly addresses the Colony Inn site.<sup>6</sup> While the Boulder Bay project has been long-delayed and is currently changing with new ownership of that site, TRPA's transfer rules may still apply and the intent to permanently retire the site is clear.

*TRPA Counsel will need to provide an analysis of the SEZ Restoration Credits and requirement to permanently retire and "stabilize" the site based on TRPA Code and TCAP approvals in 2013, and the final intent captured in TCAP.*

### **Recreation/Open Space**

The IS/MND for the proposed amendments tiers off of the City's 2011 General Plan and TRPA's 2012 RPU, and references the TCAP.

In the City's General Plan, the parcels that are the subject of the amendments are identified as "Conservation."<sup>7</sup> The General Plan's Conservation designation "provides for the permanent preservation of natural resources, habitat protection, watershed management, public and quasi-public uses, areas that contain public health and safety hazards such as floodways, and areas containing environmentally-sensitive features."<sup>8</sup> The parcels being considered for the amendment are the only General Plan Conservation parcels in the TCAP area, and some of the only infill/smaller lot Conservation parcels in the entire General Plan. This was done deliberately and likely linked to the discussions when Colony Inn was demolished.

In the TCAP, the parcels in question are zoned as recreation. While this questionably aligns with the intent in the General Plan, Recreation districts in the TCAP are "intended to allow a variety of recreation uses such as dispersed recreation and parks. Permissible uses include day use areas and group facilities."<sup>9</sup> The dispersed recreation use most closely aligns with the intent of the Conservation designation in the General Plan. When the TCAP was developed, the Conservation designation arguably should have translated to the Open Space designation which "is intended to preserve land in its present use that would: 1) conserve and enhance natural or scenic resources; 2) protect streams environment zones, sensitive lands, water quality or water supply; 3) promote soil and habitat conservation; 4) enhance recreation opportunities; and/or 5) preserve visual quality along highways, roads, and street corridors or scenic vistas. The land is predominantly open, undeveloped, or in a lightly developed and is suitable for any of the following: natural areas, wildlife and native plant habitat; erosion control facilities, stream environment zones, stream corridors; passive parks; and/or trails for non-motorized activities."<sup>10</sup> This Open Space designation also aligns with TCAP policies NCR-2.3 and R-2.3,<sup>11</sup> which would be very difficult or impossible to implement or achieve if the proposed amendments are approved.

<sup>6</sup> November 20, 2013 Response to Comments on the TCAP. Response #8, Page 4. [https://www.trpa.gov/wp-content/uploads/documents/archive/6\\_FINAL\\_Attachment-E\\_Responses-to-Comments.pdf](https://www.trpa.gov/wp-content/uploads/documents/archive/6_FINAL_Attachment-E_Responses-to-Comments.pdf)

<sup>7</sup> October 15, 2013 TCAP. Figure 2-2.

<sup>8</sup> May 17, 2011 City of South Lake Tahoe General Plan. Land Use Element, page LU-3.

[https://www.cityofslt.us/DocumentCenter/View/5639/SLTGPU\\_PD\\_2-LandUse\\_Final\\_2011-05-17?bidId=](https://www.cityofslt.us/DocumentCenter/View/5639/SLTGPU_PD_2-LandUse_Final_2011-05-17?bidId=)

<sup>9</sup> October 15, 2013 TCAP. Page 5-6.

<sup>10</sup> *Ibid.*

<sup>11</sup> TCAP Policy NCR-2.3: Encourage the use and access to designated open space for passive recreation uses when they conform to resource restrictions

TCAP Policy R-2.3: Encourage landscaped, small passive parks in and around the Tourist Core

TRPA's Regional Plan (RPU) was updated in 2012, between the adoption of the City's General Plan and the TCAP. The IS/MND, in section 1.8, selected a few TRPA- specific and -referenced goals and policies that this project *may* support but the ones it may conflict with are not included which does not allow a fair assessment of the pros and cons of the proposed project. These include, but are not limited to ROS-2.9, ROS-2.10, ROS-2.11, Land Use Element Goal 1 Policies 2 and 3, Soils Goal 1 Policy 7, Open Space Goal 1, and Stream Environment Standard SC-2.

To comply with the City's and TRPA's land use designations and goals and policies related to open space and recreation, the "corner parcel" at 3828 Montreal Road (APN 029-441-003) needs to be permanently retired as Recreation or Open Space through a deed restriction on the parcel. This would include the access easement associated with the Colony Inn to the Van Sickle access road.

### **Summary and Recommendations**

For this IS/MND to tier off of the City's General Plan and TRPA's Regional Plan, the amendments analyzed must be consistent with those plans. The proposed amendments are not consistent with the land use designations or the majority of the relevant goals and policies in the documents being tiered off of, which sets a dangerous precedent. In addition to the inconsistency, the impacts to recreation, public services, biological resources, land use/planning, population/housing, and overall cumulative impacts have been underestimated, ignored, or not mitigated to less than significant. We recommend three mitigation measures that could put the amendments into conformance with the General Plan and Regional Plan:

1. To mitigate for recreation and public service impacts: enhance the existing mitigation which is Putting up a fence to block access directly to Van Sickle, the future Greenway path, and existing SEZ. Based on the map provided as Figure 2-2 on page 16 of the IS/MND, the fencing needs to go around the entire property and could include tying into the substation fencing. It would be easy to leave the property and get around the fencing as depicted from buildings 7, 8, and 10, pretty easy from building 9, and not difficult from all buildings.
2. To mitigate impacts to biological resources and land/use planning (SEZs): create a new mitigation measure, enforced through a permit condition or deed restriction, requiring the SEZ on the parcel(s) to be restored to a functional state and monitored and maintained for the life of the project.
3. To mitigate for conflicts with land use/planning, impacts on population/housing, and cumulative impacts<sup>12</sup>: create a new mitigation measure, enforced through a permanent deed restriction running with the land, permanently designating the "corner parcel" (3828 Montreal Road, APN 029-441-003) as Recreation or Open Space under the relevant TCAP definition.

Finally, mitigation monitoring reporting requirements and schedule need to be developed before approving the amendments,<sup>13</sup> taking into account the updated and new mitigation measures we recommend.

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<sup>12</sup> Pursuant to § 15370 of the CEQA Guidelines, mitigation includes: (a) Avoiding the impact altogether by not taking a certain action or parts of an action. (b) Minimizing impacts by limiting the degree or magnitude of the action and its implementation. (c) Rectifying the impact by repairing, rehabilitating, or restoring the impacted environment. (d) Reducing or eliminating the impact over time by preservation and maintenance operations during the life of the action. (e) **Compensating for the impact by replacing or providing substitute resources or environments.**

<sup>13</sup> CEQA § 21081.6.: Upon approving a project for which a MND is adopted, the Lead Agency must also adopt a mitigation monitoring or reporting program.

**Based on City and TRPA Counsel determination, and any new mitigation measures proposed, the League will consider accepting development of Colony Inn site and the “back parcel” as long as the SEZ is restored and permanently monitored; and the “corner lot” is permanently retired with a deed restriction.**

Thank you for the opportunity to provide these comments. Please do not hesitate to contact us to discuss our recommendations and we hope to see an updated IS/MND with additional mitigation measures in order to comply with CEQA and TRPA environmental review, goals, and policies.

Sincerely,

A handwritten signature in black ink, appearing to read 'Darcie', with a long horizontal flourish extending to the right.

Darcie Goodman Collins, PhD  
CEO League to Save Lake Tahoe

# Low-elevation conifers in California's Sierra Nevada are out of equilibrium with climate

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Edited By: Adelia Bovell-Benjamin

## Abstract

Since the 1930s, California's Sierra Nevada has warmed by an average of 1.2°C. Warming directly primes forests for easier wildfire ignition, but the change in climate also affects vegetation species composition. Different types of vegetation support unique fire regimes with distinct probabilities of catastrophic wildfire, and anticipating vegetation transitions is an important but undervalued component of long-term wildfire management and adaptation. Vegetation transitions are more likely where the climate has become unsuitable but the species composition remains static. This vegetation climate mismatch (VCM) can result in vegetation conversions, particularly after a disturbance like wildfire. Here we produce estimates of VCM within conifer-dominated forests in the Sierra Nevada. Observations from the 1930s Wieslander Survey provide a foundation for characterizing the historical relationship between Sierra Nevada vegetation and climate before the onset of recent, rapid climate change. Based on comparing the historical climatic niche to the modern distribution of conifers and climate, ~19.5% of modern Sierra Nevada coniferous forests are experiencing VCM, 95% of which is below an elevation of 2356 m. We found that these VCM estimates carry empirical consequences: likelihood of type-conversion increased by 9.2% for every 10% decrease in habitat suitability. Maps of Sierra Nevada VCM can help guide long-term land management decisions by distinguishing areas likely to transition from those expected to remain stable in the near future. This can help direct limited resources to their most effective uses—whether it be protecting land or managing vegetation transitions—in the effort to maintain biodiversity, ecosystem services, and public health in the Sierra Nevada.

**Keywords:** ecology, habitat suitability modeling, vegetation transitions, vegetation climate mismatch, climate change, California

## Significance Statement

Warming climatic conditions over the last century have led to observable shifts in the spatial organization of dominant tree species in California's Sierra Nevada. Little is known, however, about the extent to which these shifts have tracked the magnitude of climate change. This study maps Vegetation Climate Mismatch in the Sierra Nevada—areas where climate change has left trees in climatic conditions where they have not historically occurred. Different vegetation types support different wildfire regimes, ecosystems, and ecosystem services. Our maps will be useful for anticipating vegetation transitions and informing long-term wildfire and ecosystem management across the Sierra Nevada mountains of California.

## Introduction

Warmer and drier conditions prime forests for ignition (1), but climate change also directly affects the species composition of future vegetation. Climate-driven vegetation conversion is an understudied phenomenon in general and a potentially significant determinant of catastrophic wildfire risk that could require changes in management strategies (2–4).

Broadly, climate change has caused vegetation to shift poleward and up-slope (5–7). In long-lived ecosystems like forests, climate change is occurring faster than the ability of many plants to shift their distributions or adapt, resulting in vegetation disequilibrium (8) or vegetation climate mismatch (VCM). Forests

experiencing VCM are at risk of converting to alternative species assemblages, particularly after stand-replacing disturbances such as severe wildfire (4). In some cases, VCM can even make forests more susceptible to wildfires (9).

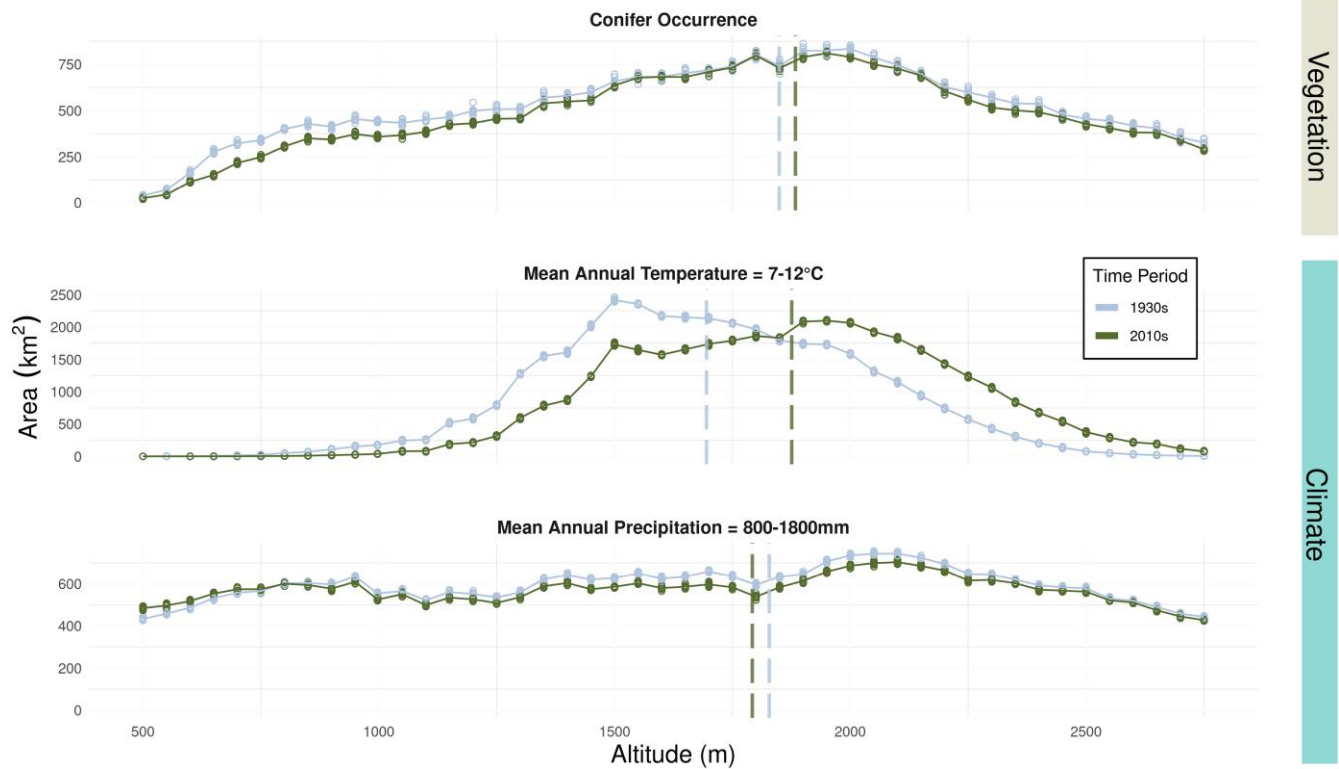
VCM is likely to be found in California's transition zone between low-elevation conifer-dominated forest and angiosperm-dominated vegetation (including mixed chaparral, oak woodland, and mixed broadleaf forest) (Fig. 1; elevation ~1000–1400 m)—where the foothills of the Sierra Nevada end and the mountains of the western flank begin. These forests lie on the warm end of mixed conifer distributions, where canopy dominants include ponderosa pine, sugar pine, and Douglas-fir (10), and understories

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**Fig. 1.** Observed elevation shifts in temperature, precipitation, and conifers across the study area between 80 years. The elevation distribution of modern conifers (top panel, dark green; mean = 1,884 m, SD = 640 m) was 34 m higher (95% CI = [25 m, 43 m]) than the 1930s conifers (top panel, light blue). This average shift in elevation was far less (by 145 m; 95% CI = [135 m, 156 m]) than the up-slope shift in the nominal 7–12°C Mean Annual Temperature envelope of Sierra Nevada low-elevation conifers (182 m; 95% CI = [179 m, 187 m]) (10). Note that the Mean Temperature envelope of Sierra Nevada low-elevation conifers would be shifted approximately 1°C cooler, if calculated based on the vegetation distribution in the Wieslander survey. Mean Annual Precipitation (bottom panel) decreased between the two time periods at most elevations—more so at higher elevations—and the average elevation of the MAP envelope of Sierra low-elevation conifers decreased by 37 m (95% CI = [27 m, 49 m]). Circles represent samples with measurement error randomly introduced, and solid lines represent the averages across 10 samples. Vertical dashed lines show the total mean for the time period.

are typically composed of mixed chaparral's characteristic scrub oak, chaparral oak, and manzanita.

Boundaries between conifer-dominated forest and nonconifer vegetation at the western slope of the Sierra Nevada that were established under a previous climate regime may now be out of equilibrium with the current climate, especially if established trees continue to persist, even as climate conditions become unsuitable for seedlings and saplings of the same species. In these settings, stand-replacing fire—which pushes forests back to seedling stages—can trigger a rapid transition from one vegetation type to another (4, 11, 12). These transitions can potentially lead to the local loss of species, ecosystem services, and irrecoverable carbon stocks, depending on the vegetation that replaces these forests—and can also impact future risk of catastrophic wildfire.

Recent human population growth and large wildfires in these lower-elevation conifer forests punctuate the need to assess ecological stability, particularly as it relates to wildfire risk.

## Results and discussion

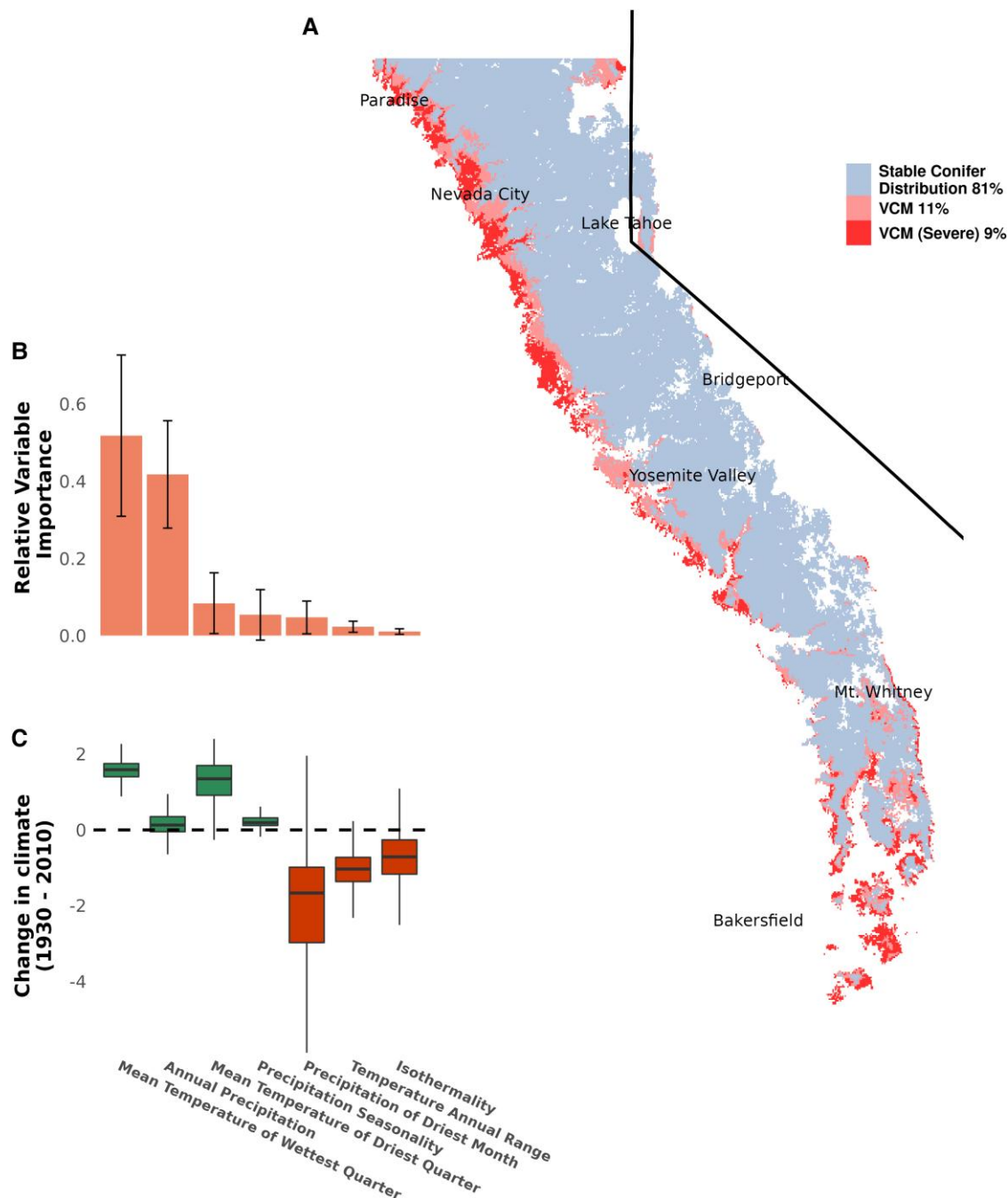
Conceptually, present-day VCM in Sierra Nevada coniferous forests exists if the geographic shift of tree species does not keep pace with climate change. This mismatch between vegetation and climate will make regeneration after disturbance more difficult. The Wieslander survey from the 1930s provides an anachronistically high resolution (minimum mapping unit of 16 ha) and expansive (176,900 km<sup>2</sup>) assessment of historical California

vegetation (13, 14). Comparing the historical vegetation distribution to modern EVeg maps (15, 16), the mean elevation of conifers has shifted up-slope by 34 m on average (95% CI = [25 m, 43 m]). Over the same time period, the characteristic temperature range for conifers (10) has shifted up-slope by 182 m (95% CI = [179 m, 187 m]), based on historical and contemporary temperature and precipitation at 30 arc-second resolution (17) used to calculate 19 bioclimatic variables for 1915–1955 and 2000–2020. The magnitude of this temperature shift is approximately three to five times greater than the shift of the conifers ( $Altitude_{MAT} - Altitude_{conifer} = 145$  m; 95% CI = [135 m, 156 m]), suggesting the presence of VCM (Fig. 1). In calculating the altitude shifts of both conifer occurrences and temperature variables, 10 pseudo-replicate sets were made by randomly introducing known measurement error (see methods) and bootstrapped 1000 times.

To provide a more accurate and geographically explicit assessment of VCM, we quantified the climatic drivers of conifer distribution (i.e. the climatic niche) within the Sierra Nevada, using the 1930s vegetation data and 800 m resolution climate data (1915–1955) to train a habitat suitability model (HSM) for Sierran conifer forests. The advantage of using these older data is that they come from an era when the vegetation and climate were closer to equilibrium, before the vast majority of human-caused warming (18). Using the *sdm* (v 1.0-89) (19) and *dismo* (v 1.3-3) (20) packages in R (v 4.1.1) (21), we trained a Generalized Additive Model (GAM) on 56,844 conifer presence and 26,504 conifer absence points and 7 bioclimatic variables, using 5-fold

spatial-blocking cross-validation for model evaluation ( $AUC_{test} = 0.94 \pm 0.039$ ,  $COR = 0.78 \pm 0.079$ ,  $AUC_{train} - AUC_{test} = 0.027 \pm 0.042$ ). Mean Temperature of the Wettest Quarter (MTWQ) and Mean Annual Precipitation (MAP) were the strongest determinants of conifer distribution in the 1930s, with 52% (SD = 20.9%) and 42% (SD = 13.9%) relative variable importance, respectively (Fig. 2b).

We used this model to predict regions of suitable conifer habitat across time periods from 1960 to 2100. CMIP6 data from scenarios SSP1-2.6 (an ambitious mitigation future) and SSP5-8.5 (a continued high emissions future) were used to predict future changes in habitat suitability. Habitat suitability ( $HS$ ) was divided into three categories: suitable ( $HS \geq 0.52$ ), unsuitable ( $0.52 > HS \geq$



**Fig. 2.** Estimated conifer VCM in the Sierra Nevada (2015–2020). (a) The conifer HSM projected to contemporary climate and overlaid on the modern conifer distribution (E Veg) reveals that up to 19.5% of modern conifer forest is in VCM, primarily along the low-elevation western slope of the Sierras. The total area of conifers shown is 40,495 km<sup>2</sup>, of which ~32,500 km<sup>2</sup> are in equilibrium with the modern climate. (b) Mean Temperature of Wettest Quarter and Mean Annual Precipitation were the most important predictors in the HSM (mean<sub>MTWQ</sub> = 0.518, SE<sub>MTWQ</sub> = 0.209 and mean<sub>MAP</sub> = 0.418, SE<sub>MAP</sub> = 0.139). Standard error bars are included in the barplot. (c) Boxplots show the difference between modern (2015–2020) and historical (1915–1955) climate within the conifer VCM regions. Change in climate is calculated as the number of standard deviations the modern climate differs from the historical period. Though the differences were statistically significant for each climate variable ( $p < 8.45 \times 10^{-12}$ , independent t-test), Precipitation of Driest Month showed the greatest decrease (mean = -2.41, SD = 2.84) and MTWQ the greatest increase (mean = 1.59, SD = 0.329) between the historical and modern climate. Mean Annual Precipitation changed the least within the VCM area (mean = 0.165, SD = 0.395). Boxplots include the median line, a box denoting the interquartile range, and whiskers showing values  $\pm 1.5 \times$  the interquartile range.

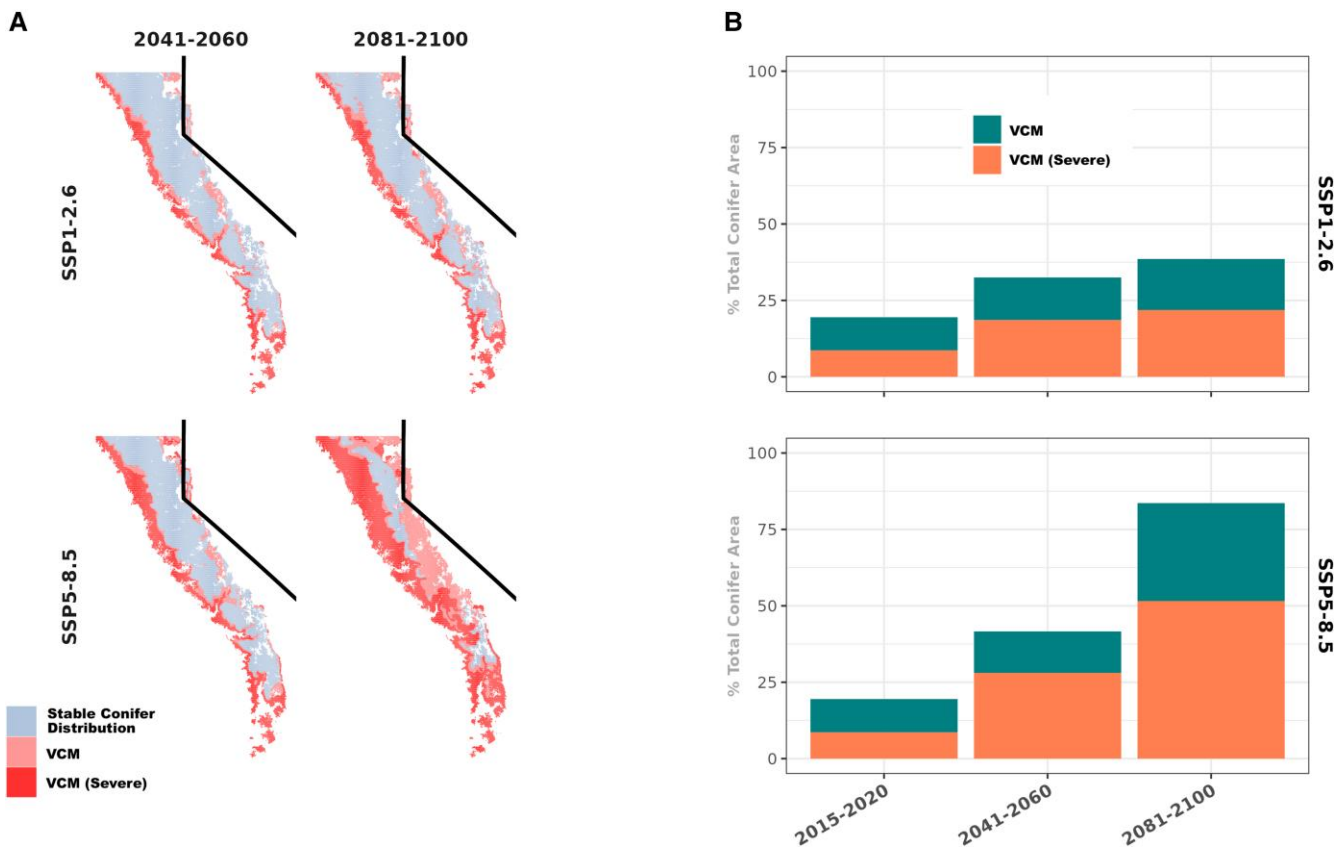
0.18), and severely unsuitable ( $0.18 > HS$ ). These were determined based on habitat suitability thresholds above which 95% and 99% of the historical (Wieslander) conifers occurred (i.e. where sensitivity = 95% and 99%). In other words, less than 5% of historical conifer occurrences were in environmental conditions with  $HS < 0.52$ , which we characterize as “unsuitable” habitat. When compared with contemporary (2010s) EVeg maps of conifer distributions, these habitat suitability estimates reveal large, contiguous patches of conifer VCM in the Sierra Nevada—particularly along the low-elevation western slopes—that account for 19.5% of modern conifer forests ( $\sim 7,500 \text{ km}^2$ ) (Fig. 2a). From 1960 to 2020, the area of conifer VCM has increased consistently (Fig. S1). When projected across the remainder of the 21st century, even the lowest emissions pathway (SSP1-2.6) leads to VCM doubling by the end of the century, if conifer range edges stay static (Fig. 3).

Based on the model, this increase in VCM is primarily attributable to an increase in Mean Temperature of the Warmest Quarter (MTWQ) across the study area between the 1930s and present day (Fig. 2c). The variable response curves (Fig. S2) demonstrate the sensitivity of conifer habitat suitability to high values of MTWQ: above a MTWQ of  $0.5^\circ\text{C}$ , habitat suitability drops by approximately 0.1 for every  $1^\circ\text{C}$  of warming. This sensitivity of low-elevation conifers to higher temperatures presumably reflects a suite of physiological features that make them less competitive against angiosperms under warm conditions. A number of nonclimatic environmental features, like edaphic characteristics and disturbance regimes, can also be important drivers of

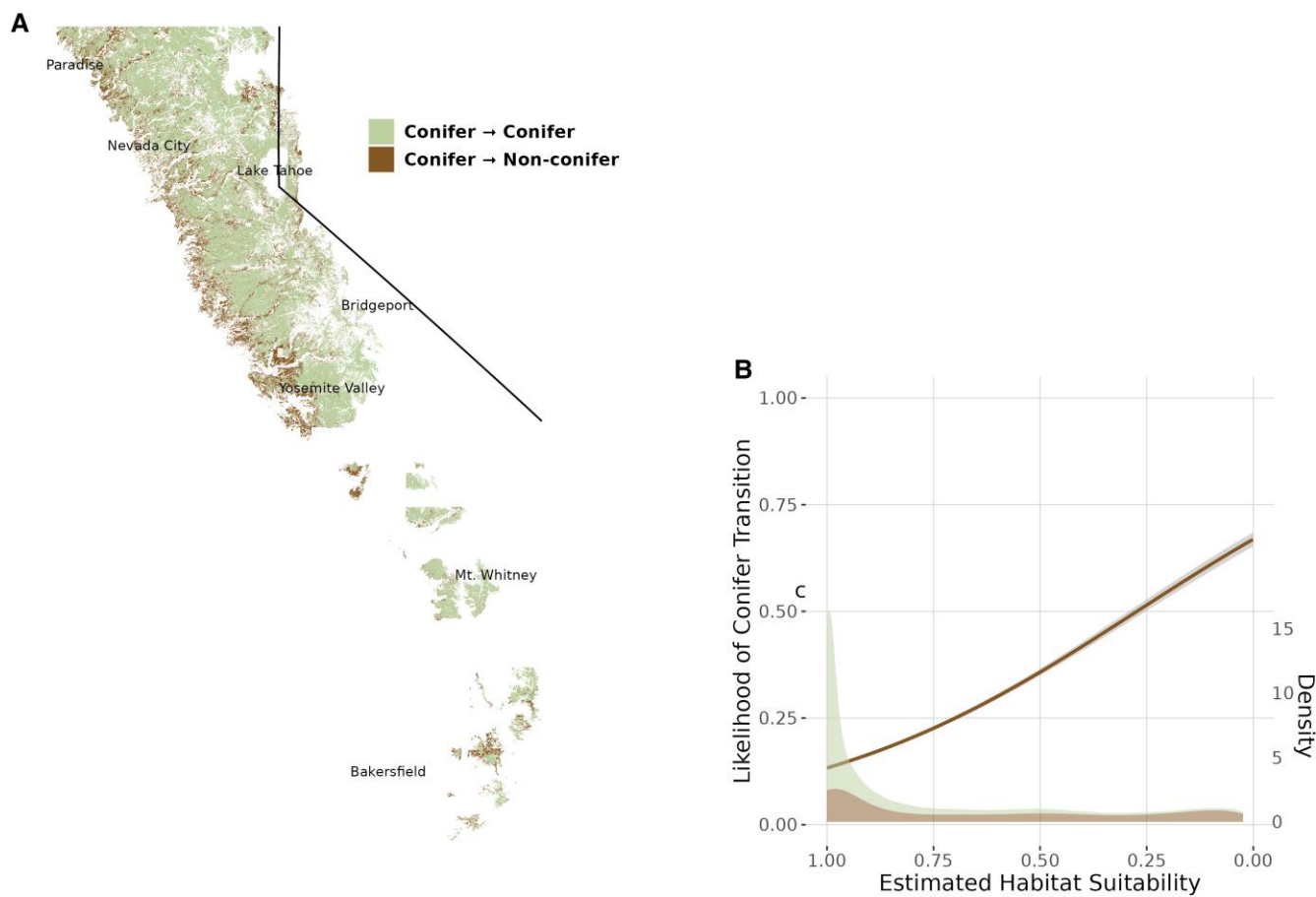
conifer range limits, but there is no evidence for consistent patterns of these along the conifer/angiosperm boundaries in the Sierra Nevada (10).

Areas that transitioned from conifer-dominated in the 1930s to angiosperm-dominated in the 2010s generally have lower contemporary conifer habitat suitability than areas that maintained conifer dominance over that time period. Logistic regression indicates that the odds of conifer forests persisting decreased by 9.2% (95% CI = [0.092, 0.093]) for every 0.1 decrease in predicted habitat suitability (Fig. 4b). The areas of the Sierras where conifer-dominated vegetation transitioned to angiosperm-dominated vegetation occur primarily along the lower-elevation western slopes (Fig. 4a). This finding affirms the empirical implications of the low habitat suitability predicted by our model—these areas are at greater risk of eventually converting to nonconifer dominated vegetation. While it is difficult to tease apart the relative contribution of different possible drivers of vegetation transitions observed in this area, it is likely that decreased climatic suitability has compounded the impacts of other activities like logging or fire suppression.

These results are consistent with recent literature documenting observed and expected vegetation change in the Sierra Nevada and climate-induced vegetation transitions more broadly. A number of studies document observed or expected geographic shifts in low-elevation conifer-dominated forests, due at least in part to warming temperatures. Examples come from the Alps (22), Rocky Mountains (11), the state of California (23), and the Sierra Nevada (24). In the Sierra Nevada, studies using the



**Fig. 3.** Future projections of VCM for two emissions pathways. Projection of the conifer HSM to mid-century and late-century climates suggest a dramatic increase in VCM by the end of the century if the contemporary conifer range edges do not move. The expected growth in severe VCM area (SSP1-2.6:  $2.53 \text{ km}^2/\text{yr}$ ; SSP5-8.5:  $5.98 \text{ km}^2/\text{yr}$ ) outpaced the more moderate VCM growth (SSP1-2.6:  $1.54 \text{ km}^2/\text{yr}$ ; SSP5-8.5:  $2.95 \text{ km}^2/\text{yr}$ ) under both emissions scenarios. Following the trend in projections of historical VCM (Fig. S1), VCM is expected to increase most along the western slope of the Sierra Nevada.



**Fig. 4.** Habitat suitability of observed vegetation transitions between 1930s and 2010s. Areas that transitioned from conifer-dominated to angiosperm-dominated vegetation from 1930s to present tended to have lower modern conifer habitat suitability ( $p < 2 \times 10^{-16}$ ). (a) All areas in the Sierra Nevada with complete vegetation data from the 1930s (Wieslander) and 2010s (EVeg) area shown. Most transitions from conifer-dominant vegetation are along the low-elevation edges of the historic conifer distribution. (b) The fitted logistic regression line indicates that the odds of conifer forests persisting decreased by 9.2% (95% CI = [0.092, 0.093]) for every 0.1 decrease in predicted habitat suitability. Probability density estimates for the areas of either transition or persistence are included.

Wieslander survey show that an increase in oak or hardwood vegetation is concomitant with a reduction in pine species prevalence (in western El Dorado county in particular, ponderosa pine decreased in area by 570 km<sup>2</sup>, and montane hardwood increased by 498 km<sup>2</sup>) (25, 26). Fire suppression has played a notable role in shaping the distribution and demography of these Sierra Nevada forests as well, and has worked in concert with warming temperatures to favor hardwood species like *Quercus spp.* while reducing the dominance of less shade-tolerant species like *Pinus spp.* (27). Conifer regeneration failure is likely a major driver of these observed and expected patterns, and researchers such as Shive et al. have found that a combination of climatic shifts and disturbance characteristics (e.g. burn severity) significantly affect the likelihood of conifer regeneration (28).

Is it possible that this analysis based on vegetation maps from the 1930s and the 2010s artificially inflates the area of VCM? Effects of logging prior to the 1930s and differences in mapping criteria warrant evaluation. The contrast between the projected and actual distribution of Sierran conifer forest depends on the robustness of the assumption that 1930s vegetation was in equilibrium with the climate. It is clear that there was little warming before the 1930s and that most of the anthropogenic climate change expected to cause VCM has been within the last few decades (29). Is it also possible that, even in the 1930s, vegetation was out of equilibrium with climate as a result of logging limiting

conifers at the warm, dry end of their distribution? Logging was widespread throughout the Sierras in the late 19th and early 20th centuries. By 1945, ~55% of nonsubalpine Sierra Nevada forests were second or third growth forest (30). We do not find evidence that logging consistently led to permanent vegetation conversions, and logged Sierran forests often regrow with the pre-logging dominants. Ponderosa pine forests, for example, can return to dominance within 50 years of being clear-cut (31); Sierran mixed conifer, as few as 12 (32). We think it more unlikely that logging and other anthropogenic impacts would have specifically impacted the low-elevation edge of conifer distributions across the entirety of the Sierras in a way that would meaningfully truncate the estimated climatic niche. In the Manual of Field Instructions (33), Wieslander et al. write: “Except for the following, do not attempt to map smaller units than 40 acres of any type. (1) Remnant woodland and timber types in chaparral areas, or timber types in woodland would always be mapped if 10 acres or more” (pp. 39–40). The use of the word “remnant” implies that the surveyors were sensitive to vegetation transitions and made an effort to classify conifer types even after disturbance, which further mitigates the possibility that anthropogenic vegetation conversions prior to 1930 affected conifer range edges.

Even so, we cannot eliminate the possibility that pre-1930s logging or anthropogenic disturbance led to vegetation conversions and some overestimation of modern VCM. We explored the

sensitivity of our results to the possibility that 1930s conifers were missing from the lower-elevation range edge as a result of human activity and, therefore, shifted toward the cool end of their climatic niche (opposite the pattern that has emerged since the 1930s). To do this, we trained HSMs on Wieslander data manipulated such that the highest elevation nonconifer samples were randomly converted to conifer samples. Our results followed the expectation that the area of modern VCM decreases with an assumed expansion of lower-elevation historical conifer distribution (Fig. S6). However, even under the extreme scenario in which the Wieslander survey did not detect conifer vegetation over 2,700 km<sup>2</sup> of the lowest elevation regions that may once have contained conifers, total VCM is still >10% of all modern conifer forests within the study area.

Vegetation mapping criteria were generally similar for the maps from the 1930s and the 2010s, but there are subtle differences in vegetation classification and minimum mapping unit (MMU) size (25). Under the CALVEG classification system, an area is classified as the taller of a set of possible vegetation types if the taller type (e.g. coniferous) occupies >10% of the mapping unit. In contrast, Wieslander VTM has a threshold of 20%. Because the EVeg maps lean towards classifying areas with only a few conifers as conifer-dominant vegetation, the modern maps might exaggerate conifer area at low elevations, contributing to an overestimate of VCM. Likewise, the difference in MMU between the two maps may contribute to an overestimate of modern conifer VCM. The MMU of EVeg ( $\leq 1$  ha) is smaller than that of the Wieslander data (16 ha total; 4 ha for “timber types” (33)), so EVeg data are more likely to register smaller stands of conifers, which may be more common along the lower-elevation edge of conifer distributions. To compensate for this, our vegetation aggregation method for both the 1930s and 2010s maps is intentionally sensitive to conifer occurrences, registering conifer presence if  $\geq 5\%$  of an 800 m (64 ha) grid cell contains conifer vegetation.

Our first-of-a-kind maps of areas experiencing VCM represent a new consideration when planning for forest management. These VCM forests are at risk of failing to regenerate after a disturbance. The exact mechanisms and sequences of events that lead to vegetation change will vary across the landscape and should be a target of future studies. But overall, VCM requires a move away from simply resisting fire and vegetation change to a more active management approach that directs the changes in a way that is beneficial to ecosystems and the nearby communities (34, 3).

Incorporating VCM into forest management plans will require experimentation and a delicate balancing of constituencies and their interests. There will likely be tradeoffs to be negotiated and difficult decisions to be made. For example, the public is often reticent to engage in large scale thinning or prescribed burns due to economic and esthetic reasons. But these very interventions may be important for forest health and fire safety. These and other interventions that move away from traditional resilience and towards a more “adaptive” or “transformative” resilience are likely to be necessary (35).

Understanding a region’s habitat suitability also influences management choice after a disturbance event. Most notably, in a VCM area, efforts to reforest after a fire or other disturbance with the same vegetation type as before are unlikely to be successful. Post-disturbance restoration needs to take into account the species mix and density that can currently be supported, but also the kinds of vegetation that future conditions are likely to support. This requires managers grappling with uncertainty in climate projections as they plan the future of the lands they manage; accessible tools that can synthesize climate projections

with species distribution modeling can facilitate this planning process.

Maps of vegetation-climate mismatch can also inform conservation priorities. Habitats that are in equilibrium today and projected to remain in climate equilibrium should be prioritized for protection. In contrast, habitats that are out of equilibrium today or that are projected to go out of equilibrium could be treated to reduce the risk of catastrophic fires. Alternatively, transitions to new vegetation types could be facilitated in forests experiencing VCM. Schemes that incentivize ecosystem management for climate mitigation, like California’s forest offset program (36), will need to integrate nonstationary future climate and vegetation risks and opportunities (37).

## Conclusion

We have identified, quantified, and mapped vegetation climate mismatch in the Sierra Nevada: a new risk factor relevant to long-term management of catastrophic wildfire. Up to 19.5% of conifer forests are in areas that no longer have suitable climate for conifer regeneration. Thus, when there is a disturbance, such as a large fire, the conifer forest will be unlikely to reestablish. Conifer forests experiencing VCM may also be out-competed by vegetation types like mixed broad-leaf forests and chaparral that are better suited to the new climate and often grow more quickly than conifers, especially at the seedling stage.

Impending vegetation shifts across such a significant portion of California require a change in management strategy and a more long-term framing of catastrophic fire-risk in California.

Tools to prioritize treatment and protection are desperately needed given that more than 20% of California’s forestland would benefit from fuel treatments; myriad barriers, including funding, stand in the way (38). Our maps of conifer forest VCM provide new guidance on what types of management are likely to be successful and where. Investments made in better prioritizing conservation, fuel management, and fire mitigation in high-risk forestlands can have compounding returns—economically, ecologically, and in the form of human health and well-being.

## Materials and methods

### Vegetation data

The US Forest Service’s Wieslander Survey (1928–1940) provides the oldest spatially explicit, landscape-scale vegetation data in California. The surveyors mapped dominant vegetation (at a minimum mapping unit of 16 ha) through a combination of plot surveys and remote observation from peaks and vistas (13). We accessed the digitized and georeferenced shapefiles of these maps through Berkeley’s Vegetation Type Mapping Project Collection ([vtm.berkeley.edu](http://vtm.berkeley.edu)), which cover an area of more than 175,000 km<sup>2</sup> across California (14). While digitizing the maps, Kelly et al. translated the vegetation classification system used by Wieslander to the more contemporary and widely used California Wildlife Habitat Relationships models (CWHR) (39). The geographic error of the basemaps ranges between 127 and 462 m (mean = 232 m) (40).

The Wieslander survey also collected plot data, with ostensibly higher spatial and taxonomic resolution. We used the Wieslander vegetation maps, rather than the plot data, for 4 reasons. (1) The vegetation data provide a much larger sample size than the plot data when up-scaled to 800 m resolution (Fig. S5). Besides the plot data clearly being more sparse, there are also large tracts of land that are mapped in VTM for which there are no plots

available (e.g. Lake Tahoe Basin). (2) The more contiguous vegetation data allowed us to estimate the percent cover of different vegetation types and more effectively reconcile the difference in resolution between the occurrence and climate data used to train the habitat suitability model (further details below). (3) The Wieslander vegetation maps appear to be the Wieslander survey's primary data product, and the plots are more of an exercise in surveyor training/ground-truthing (33). From the Wieslander Manual of Field Instructions: "The plots serve as a check on the mapper's field judgment and assist him in an understanding of types. They are used immediately in the field for this purpose" (p. 74). (4) The digitized Wieslander plot data do not include CWHR vegetation data, only species lists, and the primary goal of this study is to find patterns at the scale of vegetation.

We sourced recent vegetation data from the US Forest Service's EVeg (Existing Vegetation) maps for the North Sierra and South Sierra regions (15, 16). These vector maps were produced from source data including NAIP and WorldView-2 using the CALVEG (Classification and Assessment with Landsat of Visible Ecological Groupings) classification system, and have a horizontal positioning accuracy of ~50 m. The North Sierra data were produced from satellite images from 2000 to 2014, while the South Sierra source data ranged from 1995 to 2016. The CALVEG vegetation classes "crosswalk easily" to CWHR classes, which are provided in the EVeg map product (41).

We cropped all vegetation data to the general extent of the Sierra Nevada mountains, which we derived from the Northwestern Forested Mountains ecoregion (42) east of the Central Valley and south of 40°. We added a 45 arc-minute (~70 km) buffer to the southern, western, and eastern extents to include vegetation data from surrounding lower-elevation areas, where available.

## Climate data

We sourced contemporary and historical monthly precipitation, maximum temperature, and minimum temperature data from Oregon State University's PRISM (Parameter-elevation Regressions on Independent Slopes Model) Climate Group at 30 arc-second resolution (17). PRISM data are widely used and produced from the interpolation of observations from a multitude of US meteorological stations using a regression model which weights grid cells by their physiographic similarity to the station. Mean absolute error is 1°C for temperature variables and 10% for precipitation variables in the western US (43).

We used the `biovars()` function from the `dismo v. 1.3-3` R package to convert the monthly precipitation and temperature variables to 19 bioclimatic variables—including physiologically relevant variables such as mean diurnal temperature range and precipitation of the driest quarter—and produced averages for the following time periods: 1915–1955, 1960–1980, 1980–2000, 2000–2010, 2010–2020, 2015–2020.

The climate data for future scenarios came from the Coupled Model Intercomparison Project Phase 6 (CMIP6). We chose the Shared Socioeconomic Pathways (SSPs) SSP1-2.6 and SSP5-8.5 for the 2041–2060 and 2081–2100 time periods from the CanESM5 (Canadian Centre for Climate Modelling and Analysis, Canada) global circulation model. We chose CANESM5 because its projections for future climate in California are near the middle of results from CMIP6 (44). We downloaded a set of 19 bioclimatic variables at 2.5 arc-minute resolution from the Worldclim dataset (<http://www.worldclim.org>, accessed on April 27th, 2021) (45). SSP1-2.6 and SSP5-8.5 represent the lowest and highest potential

emission scenarios for the next century, and are derived from estimates of future energy and land-use trajectories (46).

## Conifer habitat suitability model

We started building the Sierra Nevada conifer habitat suitability model by identifying all CWHR habitat types that were conifer-dominated within the study area. These included Sierran Mixed Conifer, Subalpine Conifer, Douglas Fir, Eastside Pine, Jeffrey Pine, Closed-Cone Pine-Cypress, Lodgepole Pine, Pinyon-Juniper, Ponderosa Pine, Red fir, and White fir. The Montane Hardwood-Conifer type is defined as at least 33% conifer-dominated and 33% hardwood-dominated vegetation (39), which we classified as 50% conifer presence and 50% conifer absence. All other explicitly nonanthropogenic CWHR types were considered conifer absences within the context of the model. To mediate the large difference in resolution between our occurrence and climate data we effectively up-scaled the binary occurrence data. We calculated the percent-cover of conifer presence and absence polygons within the 30 arc-second grid cells of the climate data. If 5% of the grid cell contained conifers, we considered it a presence. If the grid cell contained less than 5% conifer cover and nonconifer vegetation exceeded 5% then it was classified as an absence. Our threshold was chosen to reduce omission error so that the resulting habitat suitability model would capture the breadth of the climatic niche of conifers in the Sierra Nevada.

To reduce collinearity among the 19 bioclimatic predictors within the extent of our study area, we calculated the Variance Inflation Factor (VIF) for the set using the R package `usdm` (47) and incrementally excluded collinear variables until  $VIF < 10$ , as recommended. The 7 remaining variables were Mean Temperature of Wettest Quarter, Mean Annual Precipitation, Mean Temperature of Driest Quarter, Precipitation Seasonality, Precipitation of Driest Month, Temperature Annual Range, and Isothermality. Reducing collinearity among predictors helps to increase model efficiency and mitigate uncertainty (48). When transferring models across space or time, differences in predictor collinearity between training data and projecting data can lead to poor performance. We quantified collinearity shift by comparing the correlation matrices of historical predictors to those of the present and future (49). Among the 7 climate variables vetted for collinearity, the greatest absolute shift in  $r$  was 0.183 for Isothermality and Mean Annual Precipitation and the average absolute shift in  $r$  was 0.005 (Fig. S3).

All of our habitat suitability modeling was completed using the `sdm` (v 1.0-89) (19) and `dismo` (v 1.3-3) (20) packages in R (v 4.1.1) (21). We used five different presence-absence modeling algorithms available from the `sdm` package: Random Forest, Multivariate Adaptive Regression Spline, Generalized Linear Model, Boosted Regression Tree, and BIOCLIM with default settings. We trained each model on historical occurrence and predictor data and used 5-fold cross validation with spatial blocking (block size = 70 km, `blockCV` (v 2.1.4) package (50)) to partition the occurrence data into testing and training sets in order to evaluate model performance.  $k$ -fold cross validation works by splitting source data into  $k$  groups (or "folds") and iteratively withholding each group as a "test" set while models train on the other  $k - 1$  groups. The metrics of model performance are averaged across all ( $k$ ) iterations. We used both AUC (the area under the receiver characteristic operating curve) and COR (point-biserial correlation coefficient) as model evaluation metrics. Because model extrapolation was a key feature of this work, we quantified the extent of over-fitting in the models by subtracting the training

AUC from the test AUC (51). We selected the GAM model because GAM can perform better than other popular SDM methods when extrapolated to novel environments (52). Test statistics (Fig. S4A) and variable importance (Fig. S4B) across the different methods show that each method produced models with high AUC and COR and the most important predictors were MAP and MTWQ. The relative weighting of MAP and MTWQ was the greatest difference between the models, with GAM, MARS, and GLM weighting MTWQ relatively higher than the decision tree-based methods.

We calculated a series of thresholds for the historical conifer HSM to delineate the probability of presence into three categories: suitable habitat, unsuitable habitat, and severely unsuitable habitat. We defined these thresholds using model sensitivity (i.e. the proportion of true conifer occurrences that are classified as suitable conifer habitat at a given threshold of habitat suitability) where unsuitable habitat was defined as habitat suitability values under which 5% of all Wieslander conifer occurrences occurred (i.e. sensitivity = 0.95). Similarly, severely unsuitable habitat was defined by the habitat suitability threshold which excluded 1% of Wieslander occurrences (i.e. sensitivity = 0.99).

The HSM was used to predict conifer habitat suitability at seven different time slices throughout the 20th and 21st centuries, sourcing PRISM climate variables for present-day and historical time periods and CMIP6 for future projections. At every time slice, we intersected our HSM predictions with observed conifer occurrences from EVeg, to produce maps of conifer forests that grow in suitable, unsuitable, or severely unsuitable climates. We down-scaled the future projections to 30 arc-seconds via bilinear interpolation to match the resolution of the other time periods and simplify the comparison of relative area. Estimations of VCM are approximate for time periods outside of the present-day because EVeg conifer maps only reflect the modern distribution.

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## Supplementary material

Supplementary material is available at PNAS Nexus online.

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## Author contributions

Conceptualization: A.P.H., C.J.N., K.S.H., T.W.C., and C.B.F. Data Analysis: A.P.H., C.J.N., K.S.H., and T.W.C. Writing—original Draft: A.P.H., C.J.N. Writing—review and editing: A.P.H., C.J.N., K.S.H., and C.B.F.

## Data availability

Wieslander survey data are available thanks to the efforts of the VTM Digitization Project ([vtm.berkeley.edu](http://vtm.berkeley.edu)). Contemporary vegetation data are available from US Forest Service ([www.fs.usda.gov](http://www.fs.usda.gov)). Historical and contemporary climate data at 800 m resolution are available from the PRISM Climate Group ([prism.oregon-state.edu](http://prism.oregon-state.edu)). Future climate data are available from CMIP6 ([esgf-](https://node.llnl.gov/projects/cmip6/)

[node.llnl.gov/projects/cmip6/](https://node.llnl.gov/projects/cmip6/)). Data and code for our analysis are available at [github.com/avephill/sierra-nevada-VCM](https://github.com/avephill/sierra-nevada-VCM).

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